



## MINUTES

**Meeting of the Legal & Legislative Committee  
of the Board of Trustees of the  
State Universities Retirement System  
Friday, December 4, 2020, 9:15 a.m.  
Phone Dial In: Zoom Meeting Number: 1-312-626-6799  
Via remote access only due to COVID-19 statewide restrictions**

The meeting of the Legal and Legislative Committee of the Board of Trustees of the State Universities Retirement System convened on Dec. 4, 2020, at 9:15 a.m. via zoom (video conference) based on the Executive Order allowing the Open Meetings Act to allow public meetings to be conducted via phone or video conference.

The following trustees were present via video conference (zoom): Dr. Steven Rock, chair, Mr. Collin Van Meter, Mr. John Atkinson, Dr. Fred Giertz, Mr. Scott Hendrie, Mr. John Lyons, Mr. Antonio Vasquez and Mr. Mitch Vogel.

Others present: Mr. Martin Noven, Executive Director; Mr. Doug Wesley, Chief Investment Officer; Ms. Bianca Green, General Counsel; Ms. Kristen Houch, Director of Legislative and Stakeholder Relations; Ms. Jacki Hohn, Chief Auditor; Ms. Suzanne Mayer, Chief Benefits Officer; Ms. Tara Myers, Chief Financial Officer; Mr. Jefferey Saiger, Chief Technology Officer; Ms. Kelly Carson, Ms. Annette Ackerman and Ms. Chelsea McCarty, Executive Assistants; and Mr. Michael Calabrese of Foley.

Legal & Legislative Committee roll call attendance was taken. Trustee Ammons, absent; Trustee Flaherty, absent; Trustee Giertz, present; Trustee Lyons, present; and Trustee Rock, present.

Mr. Richard Figueroa joined the meeting at 9:50 a.m. Ms. Jamie Flaherty joined the meeting at 9:20 a.m. Mr. Aaron Ammons joined the meeting at 9:30 a.m.

## APPROVAL OF MINUTES

Trustee Rock presented the minutes from the Legal & Legislative Committee meeting of September 11, 2020.

Trustee Giertz moved:

- That the minutes from the September 11, 2020 Legal & Legislative Committee meeting be approved as presented.

Trustee Lyons seconded the motion.

Trustee Ammons	-	absent
Trustee Flaherty	-	absent
Trustee Giertz	-	aye
Trustee Lyons	-	aye
Trustee Rock	-	aye

### **CHAIRPERSON’S REPORT**

Trustee Rock did not have a formal chairperson’s report.

### **LEGISLATIVE UPDATE**

Ms. Houch provided the legislative update and discussed recent legislation affecting SURS. Ms. Houch notified the board that the veto session that was scheduled for November 17 – 19, 2020, and December 1 – 3, 2020, in Springfield was cancelled due to the rise of coronavirus cases. Ms. Houch confirmed the 102<sup>nd</sup> General Assembly is expected to convene on January 13, 2021.

Ms. Houch reported that SURS will present before the Senate Special Committee on Pension Investments on December 11, 2020 at 1:00 p.m.

A copy of the report entitled “Bill Summaries” is incorporated as part of these minutes as [Exhibit 1](#).

### **RULEMAKING AND COMPLIANCE UPDATES**

Ms. Green presented the proposed January 2021 Regulatory Agenda and explained the requirements for the bi-annual filing of the same.

A copy of the memo is incorporated as part of these minutes titled “January 2021 Regulatory Agenda” as [Exhibit 2](#).

Trustee Flaherty moved:

- That the proposed January 2021 Regulatory Agenda be approved as presented and be filed in substantially the same format.

Trustee Lyons seconded the motion.

Trustee Ammons	-	aye
Trustee Flaherty	-	aye
Trustee Giertz	-	aye
Trustee Lyons	-	aye
Trustee Rock	-	aye

Mr. Lee presented an update on the status of the pending SURS JCAR rules.

A copy of the memo is incorporated as part of these minutes titled “Status of Pending Rules” as Exhibit 3.

### **Compliance Stop Light Report**

Ms. Green discussed the updated Compliance Stoplight Report that shows that SURS is in compliance with its reporting requirements.

A copy of the report titled “November 2020 Compliance Stop Light Report” is incorporated as part of these minutes as Exhibit 4.

### **LEGAL DEPARTMENT UPDATE**

Ms. Green introduced two new staff members recently hired in the Legal Department: Ms. Heather Kimmons and Ms. Anna Dempsey.

### **PUBLIC COMMENT**

There were no public comments presented to the Legal & Legislative Committee.

There was no further business before the committee and Trustee Ammons moved that the meeting be adjourned. The motion was seconded by Trustee Giertz and carried with all trustees present voting in favor.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'M. Noven', with a long horizontal flourish extending to the right.

Mr. Martin Noven  
Secretary, Board of Trustees

MMN:aa

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HA #1 to HB 64</a>	Rep. Harris	FY 2020 Supplemental Budget and FY 2021 Budget	Appropriates \$50,000 from the General Revenue Fund to SURS for employer contributions required by the State as an employer in FY 2020. (These contributions are required due to the collectively bargained back wages paid to participating employees at the Department of Innovation and Technology pursuant to Public Act 101-7.) Appropriates \$181,700 to the State Comptroller as the Governor's salary for FY 2021. (The Governor's salary for FY 2020 is \$177,500. 40 ILCS 5/15-155(j-5) requires employers to pay the employer normal cost on the portion of an employee's earnings in excess of the Governor's salary.) Appropriates \$1,995,767,000 to SURS for the annual required State contribution for FY 2021. (Of this amount, \$1,780,767,000 comes from the General Revenue Fund, and \$215,000,000 comes from the State Pensions Fund. This amount is equal to the amount of the annual required State contribution certified by SURS for FY 2021.) Appropriates \$4,622,773 from the Education Assistance Fund for the State's contribution to the College Insurance Program for FY 2021.	Similar to SA #1 to SB 264 (Sen. Harmon) and HA #1, #2, #3, #4, and #5 to SB 264 (Rep. Harris)	HA #1 Tabled on 5/20/20
<a href="#">HA #1 to HB 139</a>	Rep. Greenwood	SEGIP Re-Enrollment after Nonpayment of Premiums	Allows an annuitant, survivor, or retired employee whose coverage has been terminated under the State Employees Group Insurance Program for nonpayment of premiums to re-enroll in the State Employees Group Insurance Program during the next enrollment period upon repayment of the unpaid premiums.	Similar to SB 3004 (Sen. Belt)	HA #1 Referred to Rules Committee on 4/9/19
<a href="#">HB 199</a>	Rep. Flowers	No Investments in Ford Motor Company	Prohibits the state-funded retirement systems from investing in Ford Motor Company and its subsidiaries.		House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 280</a>	Rep. Guzzardi	No Investments in Companies that Contract to Build Border Wall	Prohibits the state-funded retirement systems from investing in companies that contract to build a border wall.		House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 329</a>	Rep. Jones	University Student Athlete Employees	Requires universities to classify student athletes in the top three financially profitable intercollegiate athletic programs as employees. Authorizes universities to classify student athletes in other intercollegiate athletic programs as employees.		House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 350</a>	Rep. Willis	Repeal 3% Rule and Re-Enact 6% Rule	Requires employers to pay the present value of the portion of any salary increases in excess of 6% (currently, 3%) during a participant's final rate of earnings period.	Identical to SB 60 (Sen. Bennett)	House Re-Referred to Rules Committee on 4/12/19
<a href="#">SA #1 to HB 357</a>	Sen. Harmon	FY 2021 Budget Implementation Act	Creates the FY 2021 Budget Implementation Act to make changes in State programs that are necessary to implement the FY 2021 budget. Authorizes the use of money in the State Pensions Fund as part of the annual State contribution to SURS for FY 2021. Extends the lapse period for FY 2020 from August 31, 2020 to December 31, 2020.	Similar to HA #2, #3, and #4 to SB 1805 (Rep. Harris) and SA #2 to HB 357 (Sen. Harmon)	SA #1 Tabled on 5/23/20

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">SA #2 to HB 357</a>	Sen. Harmon	FY 2021 Budget Implementation Act	Creates the FY 2021 Budget Implementation Act to make changes in State programs that are necessary to implement the FY 2021 budget. Authorizes the use of money in the State Pensions Fund as part of the annual State contribution to SURS for FY 2021. Extends the lapse period for FY 2020 from August 31, 2020 to December 31, 2020.	Similar to HA #2, #3, and #4 to SB 1805 (Rep. Harris) and SA #1 to HB 357 (Sen. Harmon)	SA #2 Adopted on 5/23/20
<a href="#">HB 357 (ENRL)</a>	Rep. Harris (Sen. Harmon)	FY 2021 Budget Implementation Act	Creates the FY 2021 Budget Implementation Act to make changes in State programs that are necessary to implement the FY 2021 budget. Authorizes the use of money in the State Pensions Fund as part of the annual State contribution to SURS for FY 2021. Extends the lapse period for FY 2020 from August 31, 2020 to December 31, 2020.		Governor Pritzker Signed into Law on 6/10/20 as Public Act 101-0636 (House: 62-47-1; Senate: 33-19-0)
<a href="#">HB 816 (ENGR)</a>	Rep. Welch (Sen. Steans)	Fiscal Year 2020 Budget Implementation Act	Creates the FY 2020 Budget Implementation Act to make changes in State programs that are necessary to implement the State budget for Fiscal Year 2020. Authorizes the use of moneys in the State Pensions Fund as part of the FY 2020 State contribution to SURS. Repeals the 3% rule and re-enacts the 6% rule. Extends the existing accelerated pension benefit payment options for three years (from June 30, 2021 to June 30, 2024).	Similar to SB 1814 (Sen. Steans; Rep. Harris)	House Re-Referred to Rules Committee on 7/2/19; Passed the Senate (51-6-0) on 6/1/19
<a href="#">SA #1 to HB 816</a>	Sen. Steans	Fiscal Year 2020 Budget Implementation Act	Creates the FY 2020 Budget Implementation Act to make changes in State programs that are necessary to implement the State budget for Fiscal Year 2020. Authorizes the use of moneys in the State Pensions Fund as part of the FY 2020 State contribution to SURS. Repeals the 3% rule and re-enacts the 6% rule. Extends the existing accelerated pension benefit payment options for three years (from June 30, 2021 to June 30, 2024).	Similar to HA #1 to SB 1814 (Rep. Harris)	SA #1 Adopted on 6/1/19
<a href="#">HB 902</a>	Rep. Ammons	Cannabis Legalization Equity Act	Requires 2.5% of the proceeds from the excise tax on cannabis to be transferred to SURS every three months. (Makes the same change for SERS and TRS.)		House Re-Referred to Rules Committee on 3/29/19
<a href="#">HA #1 to HB 1260</a>	Rep. Zalewski	Sporting Contest Safety and Integrity Act + Sports Wagering Act	Requires the remainder of net proceeds from sports wagering (after proceeds returned to players and amounts owed to the central system provider and licensed agent) to be deposited into the State Lottery Fund and then deposited in equal amounts to the Pension Stabilization Fund, the Common School Fund, and the State Construction Account Fund. Requires moneys collected by the Illinois Gaming Board under the Sports Wagering Act to be deposited into Sports Wagering Fund and then transferred in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #2, HA #3, and HA #4 to HB 1260 (Rep. Zalewski)	HA #1 Referred to Rules Committee on 5/8/19
<a href="#">HA #2 to HB 1260</a>	Rep. Zalewski	Sporting Contest Safety and Integrity Act + Sports Wagering Act	Requires moneys collected by the Illinois Gaming Board under the Sports Wagering Act to be deposited into Sports Wagering Fund and then transferred in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #1, HA #3, and HA #4 to HB 1260 (Rep. Zalewski)	HA #2 Referred to Rules Committee on 5/8/19
<a href="#">HA #3 to HB 1260</a>	Rep. Thapedi	Sporting Contest Safety and Integrity Act + Sports Wagering Act	As it relates to SURS, HA #3 is identical to HA #2. Requires moneys collected by the Illinois Gaming Board under the Sports Wagering Act to be deposited into Sports Wagering Fund and then transferred in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #1, HA #2, and HA #4 to HB 1260 (Rep. Zalewski)	HA #3 Re-Referred to Rules Committee on 5/31/19

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HA #4 to HB 1260</a>	Rep. Thapedi	Sporting Contest Safety and Integrity Act + Sports Wagering Act	As it relates to SURS, HA #4 is identical to HA #3. Requires moneys collected by the Illinois Gaming Board under the Sports Wagering Act to be deposited into Sports Wagering Fund and then transferred in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #1, HA #2, and HA #3 to HB 1260 (Rep. Zalewski)	HA #4 Re-Referred to Rules Committee on 5/31/19
<a href="#">HB 1576</a>	Rep. Spain	Downstate Police and Firefighter Pension Funds - Investment Consolidation	Increases the annual compliance fee paid by each pension fund to the Illinois Department of Insurance from \$8,000 to \$16,000. Establishes that certain penalties for non-compliance with the Illinois Pension Code apply to governmental units and pension funds (currently, governmental units) that are subject to any law establishing a pension fund or retirement system for the benefit of employees of the governmental unit.		House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 1605</a>	Rep. Lilly	Climate Change Risk Minimization Policy	Requires each pension fund to develop a climate change risk minimization policy by December 31, 2020. Requires the climate change risk minimization policy to be updated annually and published on the pension fund's website. Requires previous versions of the policy to be kept on the pension fund's website for a period of 5 years.		House Re-Referred to Rules Committee on 6/23/20
<a href="#">HB 1625</a>	Rep. Skillicorn	Voluntary Tier III Plan + Opt-Out + No Credit for Unused Vacation and Sick Leave	Requires SURS to prepare and implement a Tier III plan by July 1, 2020. Allows active Tier I and Tier II participants of SURS to elect to participate in the Tier III plan for future service. Allows Tier I and Tier II participants who elect to participate in the Tier III plan to irrevocably elect to terminate all participation in the defined benefit plan. Establishes that a person is not required to participate in SURS and allows active employees to terminate participation in SURS. Prohibits payments for unused vacation and sick leave from counting towards earnings for individuals who first begin participation in SURS on or after the effective date of the legislation. Prohibits unused sick leave from counting toward service credit for individuals who first begin participation in SURS on or after the effective date of the legislation.	Identical to HB 2279 (Rep. Morrison)	House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 2029 (ENRL)</a>	Rep. Walker (Sen. Aquino)	Vested Inactive Buyout – Health Insurance Preservation	Ensures that members who take the Vested Inactive Buyout on or after June 4, 2018 under the State Employees' Retirement System, State Universities Retirement System, and Teachers' Retirement System preserve any applicable retiree and survivor health insurance benefits upon receipt of the buyout payment.		Governor Pritzker Signed into Law as Public Act 101-0242 on 8/9/19 (House: 116-0-0; Senate: 56-0-0)
<a href="#">HB 2054</a>	Rep. Meier	Hydraulic Fracturing Tax Revenues Fund Pensions	Requires all moneys received by the Illinois Department of Revenue under the Hydraulic Fracturing Tax Act to be used only for the payment of pension obligations of the State of Illinois.	Identical to HB 4117 (Rep. Meier)	House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 2101</a>	Rep. Smith	Racial Bias, Discrimination, and Harassment Omnibus	Establishes that all persons have a right to work in an environment free from racial discrimination and harassment. Prohibits all persons subject to the State Officials and Employees Ethics Act from racially discriminating against or harassing any person, regardless of any employment relationship or lack thereof. Requires each officer, member, and employee to complete, at least annually, beginning in 2020, a racial bias, discrimination, and harassment training program.	Identical to HB 2547 (Rep. Lilly) and SB 1485 (Sen. Lightford)	House Tabled on 4/3/19

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HB 2279</a>	Rep. Morrison	Voluntary Tier III Plan + Opt-Out + No Credit for Unused Vacation and Sick Leave	Requires SURS to prepare and implement a Tier III plan by July 1, 2020. Allows active Tier 1 and Tier 2 participants of SURS to elect to participate in the Tier III plan for future service. Allows Tier I and Tier II participants who elect to participate in the Tier III plan to irrevocably elect to terminate all participation in the defined benefit plan. Establishes that a person is not required to participate in SURS and allows active employees to terminate participation in SURS. Prohibits payments for unused vacation and sick leave from counting towards earnings for individuals who first begin participation in SURS on or after the effective date of the legislation. Prohibits unused sick leave from counting toward service credit for individuals who first begin participation in SURS on or after the effective date of the legislation.	Identical to HB 1625 (Rep. Skillicorn)	House Re-Refered to Rules Committee on 3/29/19
<a href="#">HB 2394</a>	Rep. Meier	New Revenues Fund State Pension Obligations	Requires any new and additional revenue sources that exceed the fiscal year 2019 revenue forecasts reported by the Commission on Government Forecasting and Accountability in 2018 to be allocated towards the payment of current and continuing State pension obligations. Defines new and additional revenue sources as including, but not limited to, any new tax, fee, or surcharge that is enacted by the General Assembly on or after the effective date of the legislation.	Nearly Identical to HB 4114 (Rep. Meier)	House Re-Refered to Rules Committee on 3/29/19
<a href="#">HB 2440</a> <a href="#">(ENGR)</a>	Rep. Martwick (Sen. Aquino)	SURS Technical Corrections Bill	SURS initiative that makes three technical changes to Article 15 of the Illinois Pension Code to reflect SURS' longstanding interpretation and administration of benefits.	Identical to SB 1265 (Sen. Aquino)	Senate Re-Refered to Assignments Committee on 5/10/19; Passed the House (111-0-0) on 3/27/19
<a href="#">HB 2441</a>	Rep. Martwick	State Serial Long Term Pension Obligation Bonds	Authorizes the issuance of \$105.620 billion in State Serial Long Term Pension Obligation Bonds to bring the funded status of the state pension systems up to 90% of assets to liabilities. Requires SERS, SURS, and TRS to deposit 36% of bond proceeds received into investment funds for the purposes of taking advantage of interest arbitrage from the bond proceeds and for making debt service contributions for the bonds. Creates a continuing appropriation for the repayment of principal and interest due on the bonds.	Similar to SB 178 (Sen. Aquino)	House Re-Refered to Rules Committee on 3/29/19
<a href="#">HB 2452</a>	Rep. Martwick	Tier I Social Security Benefit Buyout Option	Creates a voluntary buyout option whereby an eligible SURS member receives 50 percent of the difference between the present value of his or her Tier I retirement benefits and estimated Social Security benefits in exchange for a retirement annuity equal to estimated Social Security benefits with reduced and delayed automatic annual increases.		House Re-Refered to Rules Committee on 4/12/19
<a href="#">HB 2460</a> <a href="#">(ENRL)</a>	Rep. Davis (Sen. Martinez)	Illinois Sustainable Investing Act	Requires every retirement system, pension fund, or investment board to adopt a written investment policy and file a copy of that policy with the Department of Insurance within 30 days after its adoption. Requires the investment policy to include material, relevant, and decision-useful sustainability factors to be considered by the board, within the bounds of financial and fiduciary prudence, in evaluating investment decisions. Establishes that sustainability factors include, but are not limited to: (1) corporate governance and leadership factors; (2) environmental factors; (3) social capital factors; (4) human capital factors; and (5) business model and innovation factors, as provided under the Illinois Sustainable Investing Act. Establishes that whenever a board changes its investment policy, it must file a copy of the new policy with the Department within 30 days.	Introduced Version Identical to SB 2062 (Sen. Martinez)	Governor Pritzker Signed into Law as Public Act 101-0473 on 8/23/19 (House: 73-42-0; Senate: 37-19-0)

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HB 2537</a>	Rep. Crespo	State Officials and Employees -- Revolving Door Fiscal Administration of State Contracts	Adds individuals who participated personally and substantially in the fiscal administration of State contracts to existing revolving door prohibitions. Adds individuals who hold an equivalent level of managerial oversight as a chief of staff, deputy chief of staff, associate chief of staff, assistant chief of staff, or deputy governor to the list of (h) group employees subject to existing revolving door prohibitions.		House Re-Referred to Rules Committee on 6/23/20
<a href="#">HB 2547</a>	Rep. Lilly	Racial Bias, Discrimination, and Harassment Omnibus	Establishes that all persons have a right to work in an environment free from racial discrimination and harassment. Prohibits all persons subject to the State Officials and Employees Ethics Act from racially discriminating against or harassing any person, regardless of any employment relationship or lack thereof. Requires each officer, member, and employee to complete, at least annually, beginning in 2020, a racial bias, discrimination, and harassment training program.	Identical to HB 2101 (Rep. Smith) and SB 1485 (Sen. Lightford)	House Re-Referred to Rules Committee on 6/23/20
<a href="#">HB 2567</a>	Rep. Stava-Murray	Means Matter Suicide Prevention Act	Requires every public official in Illinois to complete a course of training on suicide prevention, beginning in 2020. Defines a "public official" as any person who is elected or appointed to public office in Illinois.		House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 2592</a>	Rep. Cabello	No Elected Official Participation in Public Retirement Systems	Prohibits a person from participating in any pension fund or retirement system under the Illinois Pension Code with respect to an elected position to which the person was first elected or appointed on or after the effective date of the legislation.	Identical to HB 4076 (Rep. Cabello)	House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 2664</a>	Rep. Robinson	RUUPA Trailer Bill for Public Retirement Systems	Ensures that annuity, pension, and benefit funds held in a fiduciary capacity by or on behalf of certain public retirement systems remain in their respective trusts and are not paid or delivered to the State Treasurer's Office under the Revised Uniform Unclaimed Property Act.	Identical to SB 1264 (Sen. Aquino)	House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 2740</a>	Rep. Morrison	Supplemental Defined Contribution Plan	Requires the SURS Board of Trustees to establish and maintain a defined contribution plan to address the retirement preparedness gap for participants in a defined benefit plan who are not on track to maintain their standard of living in retirement. Authorizes Tier I participants and Tier II participants who first became participants before the defined contribution plan was established to voluntarily elect to enroll in the plan. Requires each Tier II participant who becomes a participant on or after the establishment of the defined contribution plan to be automatically enrolled in the plan at a contribution rate that is established by the SURS Board of Trustees, unless he or she opts out within 60 days after the date that he or she becomes a participant.	Nearly Identical to HB 4712 (Rep. Sosnowski)	House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 2749</a>	Rep. Morrison	Alternative Retirement Plan – Local Control of Benefits	Authorizes the board of trustees of a community college district that is an employer under Article 15 of the Illinois Pension Code to provide by resolution for an alternative retirement plan, either in addition to or in lieu of the existing plans under SURS, for its eligible new employees. Requires the alternative retirement plan provided by the community college district to be funded with contributions from that community college district and its employees who participate in the alternative retirement plan.		House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 2902</a>	Rep. Hoffman	Tier II SURS Public Safety Retire at 60	Allows Tier II police officers and Tier II firefighters in SURS to retire at age 60 with 20 years of service, if eligible for the retirement annuity calculation for police officers and firefighters under SURS.	Similar to HB 3256 (Rep. Brady)	House Re-Referred to Rules Committee on 3/29/19



101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HB 2910</a>	Rep. Martwick	Early Retirement Incentive	Allows certain Tier I members between 55 and 60 years of age under the State Universities Retirement System to elect to retire with an undiscounted retirement annuity up to five years early in exchange for accepting reduced automatic annual increases on retirement annuities and survivor annuities.		House Re-Refered to Rules Committee on 3/29/19
<a href="#">HB 3082 (ENRL)</a>	Rep. Martwick (Sen. Aquino)	SRS Automatic Enrollment into State 457 Plan	Creates a default investment option under the State Employees Deferred Compensation Plan. Automatically enrolls new members of the General Assembly Retirement System, State Employees' Retirement System, and Judges' Retirement System into the State Employees Deferred Compensation Plan.		Governor Pritzker Signed into Law on 8/9/19 as Public Act 101-0277 (House: 111-0-0; Senate: 59-0-0)
<a href="#">HB 3131</a>	Rep. Wehrli	Annuitant Database	Requires SURS to establish and post an annuitant database on its website by July 1, 2020. Requires the annuitant database to be a searchable database of the names of all persons receiving an annuity from SURS and the amount of the annuity paid by SURS to that person each month.	Nearly Identical to HB 5412 (Rep. Wehrli)	House Re-Refered to Rules Committee on 3/29/19
<a href="#">HB 3256</a>	Rep. Brady	Tier II SURS Police Officers Retire at 50 or 55	Allows Tier II members under SURS to retire with an undiscounted retirement annuity at age 55 with at least 10 years of service as a police officer or to retire with a discounted retirement annuity at age 50 with at least 10 years of service as a police officer.	Similar to HB 2902 (Rep. Hoffman)	House Re-Refered to Rules Committee on 3/29/19
<a href="#">HA #1 to HB 3308</a>	Rep. Zalewski	Sports Wagering Act	Requires moneys collected by the Illinois Gaming Board under the Sports Wagering Act to be deposited into the Sports Wagering Fund and then transferred in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #2, HA #3, and HA #4 to HB 3308 (Rep. Zalewski)	HA #1 Re-Refered to Rules Committee on 3/29/19
<a href="#">HA #2 to HB 3308</a>	Rep. Zalewski	Sports Wagering Act	Requires moneys collected by the Illinois Gaming Board under the Sports Wagering Act to be deposited into the Sports Wagering Fund. Requires the net profit (i.e., the amount remaining after the deduction of administrative expenses of the Illinois Gaming Board) must be deposited in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #1, HA #3, and HA #4 to HB 3308 (Rep. Zalewski)	HA #2 Re-Refered to Rules Committee on 3/29/19
<a href="#">HA #3 to HB 3308</a>	Rep. Thapedi	Sports Wagering Act	Requires the privilege tax on sports wagering revenues and any fees and penalties collected under the Sports Wagering Act to be deposited into the State Gaming Fund and then transferred in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #1, HA #2, and HA #4 to HB 3308 (Rep. Zalewski)	HA #3 Re-Refered to Rules Committee on 3/29/19
<a href="#">HA #4 to HB 3308</a>	Rep. E. Hernandez	Illinois Lottery Sports Wagering Act	Requires the privilege tax on sports wagering revenue to be deposited in equal amounts to the Pension Stabilization Fund, the Common School Fund, and the State Construction Account Fund.	Similar to HA #1, HA #2, and HA #3 to HB 3308 (Rep. Zalewski)	HA #4 Re-Refered to Rules Committee on 3/29/19
<a href="#">HB 3376</a>	Rep. Batinick	Property Tax Relief and Pension Stabilization Fund Act	Creates the Property Tax Relief and Pension Stabilization Fund Act. Reduces the funding target for each of the five state-funded retirement systems from 90 percent to 70 percent of assets to liabilities in State Fiscal Year 2045. Requires the state to contribute the normal cost and unfunded liability components to the state-funded retirement systems each year. Requires a portion of \$2.4 billion deposited into the Property Tax Relief and Pension Stabilization Fund to be used for state contributions to the state-funded retirement systems.		House Re-Refered to Rules Committee on 3/29/19

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HB 3428</a>	Rep. E. Hernandez	No Investments in For-Profit Companies that Contract to Shelter Migrant Children	Prohibits the state-funded retirement systems from investing in for-profit companies that contract to shelter migrant children. Defines "contract to shelter migrant children" as entering into a contract with the federal government to shelter migrant children under the federal Unaccompanied Alien Children Program or a substantially similar federal program.		House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 3518</a>	Rep. Batinick	Tier I Automatic Annual Increase Calculation	Allows Tier I participants in retirement systems and pension funds (other than police and firefighter pension funds) to irrevocably elect to have automatic annual increases on retirement or supplemental annuities calculated as the annual unadjusted percentage increase in the consumer price index-u, except that no increase can be less than one percent or more than five percent.		House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 3524</a>	Rep. Durkin	Tier I Offer and Consideration Pension Reform	Offers each Tier I member the option to elect lower automatic annual increases on his or her retirement and survivors annuities, in exchange for having future salary increases count towards the calculation of his or her pension benefits, receiving a consideration payment equal to 10 percent of his or her employee contributions made before the election, and paying 10 percent less in future employee contributions after the election.		House Re-Referred to Rules Committee on 3/29/19
<a href="#">HB 3718</a>	Rep. Harris	FY 2020 Governor's Introduced Budget	Appropriates \$1,626,692,000 for the state contribution to SURS for FY 2020 (\$1,426,692,000 from the General Revenue Fund and \$200,000,000 from the State Pensions Fund). The FY 2020 certified state contribution to SURS is \$1,854,692,000. Appropriates \$4,431,113 from the Education Assistance Fund to SURS for deposit into the Community College Health Insurance Security Fund for the state contribution to the College Insurance Program for FY 2020. The FY 2020 certified state contribution to the College Insurance Program is \$4,431,113.	Identical to SB 2165 (Sen. Harmon)	House Re-Referred to Rules Committee on 7/2/19
<a href="#">HB 3854</a>	Rep. Skillicorn	Maximum Dollar Limit for Retirement Benefits	Limits the total amount of retirement annuity or pension benefits that any person who first becomes a participant in any retirement system or pension fund on or after the effective date of the legislation can receive to \$132,900 in any year. Annually increases the \$132,900 limit by the percentage increase (but not less than zero) in the consumer price index-u, compounded.	Similar to HB 3859 (Rep. Skillicorn)	House Referred to Rules Committee on 10/17/19
<a href="#">HB 3855</a>	Rep. West	General Assembly COLA Opt-Out	Allows members of the General Assembly to elect not to receive cost of living adjustments and to have funds associated with cost of living adjustments paid into the State Pensions Fund. (Through Fiscal Year 2020, the State Pensions Fund is mostly used to make the State's required contribution to the State Universities Retirement System. Beginning in Fiscal Year 2021, the State Pensions Fund is mostly used to reduce the unfunded liabilities of the five state-funded retirement systems.)		House Re-Referred to Rules Committee on 12/16/19
<a href="#">HB 3859</a>	Rep. Skillicorn	Maximum Dollar Limit for Retirement Benefits	Limits the total amount of retirement annuity or pension benefits that any person can receive from any pension fund or retirement system to \$132,900 in any year. Annually increases the \$132,900 limit by the percentage increase (but not less than zero) in the consumer price index-u, compounded. Applies without regard to whether a person became a member, participant, beneficiary, or annuitant before the effective date of the legislation.	Similar to HB 3854 (Rep. Skillicorn)	House Referred to Rules Committee on 10/17/19
<a href="#">HB 3860</a>	Rep. Skillicorn	Change Automatic Annual Increases to CPI-U	Requires the retirement annuity or supplemental annuity of a participant, member, or annuitant in any pension fund or retirement system to be subject to annual increases equal to the annual unadjusted percentage increase in the consumer price index-u (but not less than zero). Applies without regard to whether a participant or a member is in active service on or after the effective date of the legislation.		House Referred to Rules Committee on 10/17/19

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HB 3868</a>	Rep. Skillicorn	Minimum Retirement Age Increase by 2 Years	Increases the minimum age at which a person is eligible to receive a retirement annuity or pension by two years, using the following schedule: one year beginning on the effective date of the legislation and one additional year beginning five years after the effective date of the legislation.		House Referred to Rules Committee on 10/17/19
<a href="#">HB 3919</a>	Rep. Skillicorn	Mandatory Tier III Plan for All Members	Creates a mandatory Tier III plan (defined contribution plan) for existing members of the five state-funded retirement systems. Prohibits State funding for plans other than the Tier III plan.		House Referred to Rules Committee on 10/17/19
<a href="#">HB 3988</a>	Rep. Skillicorn	Governmental Ethics Reforms	Creates a uniform statement of economic interests beginning with statements filed in 2020. Requires the following information to be disclosed in the uniform statement of economic interests: (1) Each asset with a value in excess of \$5,000; (2) Each source of income in excess of \$1,200 and the transaction dates for assets that generated more than \$5,000 in capital gains when sold or transferred; (3) Each creditor of a debt in excess of \$5,000; (4) Each debtor of a debt in excess of \$5,000; (5) The name of each unit of local government of which the filer was an employee, contractor, or office holder; (6) Each person known to the filer to be a registered lobbyist with any unit of government and with whom the filer has an economic or familial relationship; and (7) Each source and type of gift or honorarium valued in excess of \$500. Requires the Secretary of State to create a publicly accessible and searchable database with disclosures made by registered lobbyists under the Lobbyist Registration Act, contributions made by registered lobbyists that are required to be disclosed under the Election Code, and statements of economic interests required to be filed by State officials and employees under the Illinois Governmental Ethics Act.	Nearly Identical to HA #2 to SB 1639 (Rep. Harris) and similar to HB 4618 (Rep. Stava-Murray) and SB 3318 (Sen. Morrison)	House Referred to Rules Committee on 1/8/20
<a href="#">HB 3990</a>	Rep. Skillicorn	Actuary Bonds	Requires each actuary that prepares an actuarial statement for a pension fund or retirement system to post a bond of \$2 billion.		House Referred to Rules Committee on 1/8/20
<a href="#">HB 4002</a>	Rep. Grant	Lobbyist Revolving Door	Prohibits a person who has served as a statewide elected official, the executive or administrative head of a State agency, the deputy executive or administrative head of a State agency, or a member of the General Assembly from becoming a lobbyist for a period of 2 years after termination of service or employment.		House Re-Referred to Rules Committee on 6/23/20
<a href="#">HB 4023</a>	Rep. Murphy	State Travel Reimbursement Rates - Federal Maximums	Establishes that State travel reimbursement rates for lodging and mileage for automobile travel, as well as allowances for meals, must be set at the maximum rates established by the federal government. Establishes that if the federal rates increase or decrease during the State's fiscal year, then the effective date of the new rate must be the effective date of the change in the federal rate. (Currently, mileage reimbursement rates are set at the federal rates, and reimbursement rates for other travel expenses and lodging reimbursement rates may be more restrictive than the federal rates.)		House Re-Referred to Rules Committee on 6/23/20
<a href="#">HB 4036</a>	Rep. Scherer	SEGIP Define Qualifying Change in Status	Defines the phrase "qualifying change in status" under the State Employees Group Insurance Act of 1971 with language from IRS rules and regulations.		House Re-Referred to Rules Committee on 6/23/20

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HA #1 to HB 4036</a>	Rep. Scherer	SEGIP Define Qualifying Change in Status	Re-inserts the provisions of the original legislation with technical changes. Requires any request to elect dependent coverage due to a qualifying change in status to be consistent with the change in status experienced by the member.		HA #1 Referred to Rules Committee on 3/5/20
<a href="#">HB 4076</a>	Rep. Cabello	No Elected Official Participation in Public Retirement Systems	Prohibits a person from participating in any pension fund or retirement system under the Illinois Pension Code with respect to an elected position to which the person was first elected or appointed on or after the effective date of the legislation.	Identical to HB 2592 (Rep. Cabello)	House Referred to Rules Committee on 1/16/20
<a href="#">HB 4090</a>	Rep. Skillicorn	Unbalanced Budget Response Act	Authorizes the Governor to designate a contingency reserve from amounts appropriated from funds held by the Treasurer for Fiscal Years 2021 and 2022 to any agency, including amounts appropriated pursuant to a statutory continuing appropriation. Authorizes the Governor to delay payments under statutory continuing appropriations for Fiscal Years 2021 and 2022 and allows delayed payments to be paid out of the next fiscal year's appropriation. Authorizes the Governor to transfer amounts from funds held by the Treasurer to general funds held by the Treasurer.		House Referred to Rules Committee on 1/16/20
<a href="#">HB 4091</a>	Rep. Skillicorn	SURS Employer Normal Cost Shift	Requires the actual employer of a participating employee in SURS to contribute the employer normal cost for that employee. Requires SURS to recalculate and recertify the required State contribution for the current fiscal year, taking into account the actual-employer normal-cost contributions required by the legislation.		House Referred to Rules Committee on 1/16/20
<a href="#">HB 4114</a>	Rep. Meier	New Revenues Fund State Pension Obligations	Requires any new and additional revenue sources that exceed the fiscal year 2020 revenue forecasts reported by the Commission on Government Forecasting and Accountability in 2019 to be allocated towards the payment of current and continuing State pension obligations. Defines new and additional revenue sources as including, but not limited to, any new tax, fee, or surcharge that is enacted by the General Assembly on or after the effective date of the legislation.	Nearly Identical to HB 2394 (Rep. Meier)	House Referred to Rules Committee on 1/16/20
<a href="#">HB 4117</a>	Rep. Meier	Hydraulic Fracturing Tax Revenues Fund Pensions	Requires all moneys received by the Illinois Department of Revenue under the Hydraulic Fracturing Tax Act to be used only for the payment of pension obligations of the State of Illinois.	Identical to HB 2054 (Rep. Meier)	House Referred to Rules Committee on 1/16/20
<a href="#">HB 4260</a>	Rep. Smith	BEP – Descendants of American Slavery	Requires State agencies, as defined under the Illinois State Auditing Act, to include information regarding employees who are descendants of American Slavery in reports required under the State Employment Records Act. Modifies the definition of "minority person" under the Business Enterprise for Minorities, Women, and Persons with Disabilities Act to include Descendants of American Slavery. Defines a "Descendant of American Slaves" as a person having direct ancestral lineage to victims of chattel slavery in the United States of America. Provides that terms such as "Black," "African American," or "American Descendant of Slavery" can be used in addition to "Descendant of American Slaves."	Similar to HB 4865 (Rep. Buckner)	House Re-Referred to Rules Committee on 6/23/20
<a href="#">HB 4323</a>	Rep. Burke	SURS Trustee Status Clarification	SURS initiative that clarifies the intent of the General Assembly regarding a SURS trustee's change in status.	Identical to SB 2545 (Sen. Castro)	House Re-Referred to Rules Committee on 6/23/20

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HB 4454</a>	Rep. Robinson	Diversity, Inclusion, and Cultural Competence Training	Requires the annual completion of a diversity, inclusion, and cultural competence training program, beginning January 1, 2021.		House Re-Referred to Rules Committee on 6/23/20
<a href="#">HB 4464</a>	Rep. Skillicorn	State Spending Limitation	Sets \$31.374 billion as the State spending limitation for fiscal years 2021 through 2028. Provides that if State spending exceeds the limitation and the Governor is not presented with legislation to reduce State spending to an amount equal to or less than the limitation, then the Governor may designate amounts to be set aside as a reserve from amounts appropriated from the State general funds for all boards, commissions, agencies, institutions, authorities, colleges, universities, and bodies politic and corporate of the State. Authorizes the issuance of \$7 billion in bonds to pay vouchers incurred by the State prior to July 1, 2020.		House Referred to Rules Committee on 2/3/20
<a href="#">HB 4483</a>	Rep. Mazzochi	Statement of Economic Interests - Legal Representation	Requires the statement of economic interests to provide for the disclosure of any appearance as a legal representative of a client, including any such appearance by the law firm of the person filing or by anyone on behalf of the person filing, before any unit of local government or State agency.		House Referred to Rules Committee on 2/4/20
<a href="#">HB 4580</a>	Rep. Wehrli	Open Meetings Act - Record Open Meetings	Requires each meeting that is required to be open to the public under the Open Meetings Act to be audio recorded by the public body and maintained by the public body for at least one year after the date of the meeting.		House Re-Referred to Rules Committee on 6/23/20
<a href="#">HB 4594</a>	Rep. Mazzochi	State-Funded Retirement Systems – Opt-Out	Establishes that a person who first becomes an employee after the effective date of the legislation is not required to participate in SURS. Provides that an employee may elect not to participate in SURS by notifying SURS in writing no later than 30 days after first becoming an employee. Makes similar changes under the State Employees Retirement System and Teachers Retirement System.		House Referred to Rules Committee on 2/5/20
<a href="#">HB 4618</a>	Rep. Stava-Murray	Governmental Ethics Reforms	Creates a uniform statement of economic interests beginning with statements filed in 2020. Requires the following information to be disclosed in the uniform statement of economic interests: (1) Each asset with a value in excess of \$5,000; (2) Each source of income in excess of \$1,200 and the transaction dates for assets that generated more than \$5,000 in capital gains when sold or transferred; (3) Each creditor of a debt in excess of \$5,000; (4) Each debtor of a debt in excess of \$5,000; (5) The name of each unit of local government of which the filer was an employee, contractor, or office holder; (6) Each person known to the filer to be a registered lobbyist with any unit of government and with whom the filer has an economic or familial relationship; and (7) Each source and type of gift or honorarium valued in excess of \$500.	Nearly Identical to SB 3318 (Sen. Morrison) and Similar to HA #2 to SB 1639 (Rep. Harris) and HB 3988 (Rep. Skillicorn)	House Re-Referred to Rules Committee on 6/23/20
<a href="#">HB 4710</a>	Rep. Sosnowski	BEP – Employee Qualifying Business	Includes an employee qualifying business as a minority-owned business, women-owned business, and business owned by a person with a disability under the Business Enterprise for Minorities, Women, and Persons with Disabilities Act. Defines an “employee qualifying business” as a business with 50 or more full-time employees that is not 51% owned by a minority person, woman, or person with a disability, but whose employees are comprised of, at a minimum: (1) 66% minority persons; (2) 66% women; (3) 66% persons with a disability; or (4) any combination of persons identified in items (1) through (3) that amounts to 66% or higher.		House Re-Referred to Rules Committee on 6/23/20

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HB 4712</a>	Rep. Sosnowski	Supplemental Defined Contribution Plan	Requires the SURS Board of Trustees to establish and maintain a defined contribution plan to address the retirement preparedness gap for participants in a defined benefit plan who are not on track to maintain their standard of living in retirement. Authorizes Tier I participants and Tier II participants who first became participants before the defined contribution plan was established to voluntarily elect to enroll in the plan. Requires each Tier II participant who becomes a participant on or after the establishment of the defined contribution plan to be automatically enrolled in the plan at a contribution rate that is established by the SURS Board of Trustees, unless he or she opts out within 60 days after the date that he or she becomes a participant.	Nearly Identical to HB 2740 (Rep. Morrison)	House Re-Refered to Rules Committee on 6/23/20
<a href="#">HB 4808</a>	Rep. Wilhour	FOIA – Denial Index and Deposit of Funds	Requires a public body to include an index with each denial of a request for public records and to set aside \$7,500 for each denied request until the review process has been completed or a final order has been entered. Requires the index to include: (1) a description of the nature of the contents of each document withheld, or each deletion from a released document; (2) the date on which each document withheld was created; (3) each author and recipient of each document withheld; and (4) a statement of the exemption or exemptions claimed for each withheld document or each deletion in a released document.		House Referred to Rules Committee on 2/18/20
<a href="#">HB 4809</a>	Rep. Wilhour	State Officials and Employees -- Lobbyist Revolving Door	Prohibits any of the following persons from registering as a lobbyist and engaging in lobbying with members of the General Assembly for 3 years immediately following termination of that person's most recent term of office: members of the General Assembly; persons whose appointment to office is subject to the advice and consent of the Senate; and heads of departments, commissions, boards, divisions, bureaus, authorities, or other administrative units within State government who take office on or after the effective date of the legislation.		House Referred to Rules Committee on 2/18/20
<a href="#">HB 4865</a>	Rep. Buckner	BEP – Descendants of American Slavery	Modifies the definition of "minority person" under the Business Enterprise for Minorities, Women, and Persons with Disabilities Act to include Descendants of American Slavery. Defines a Descendant of American Slavery as a person having direct ancestral lineage to victims of slavery in the United States of America.	Similar to HB 4260 (Rep. Smith)	House Re-Refered to Rules Committee on 6/23/20
<a href="#">HB 4944</a>	Rep. Mason	Veterans Benefits – Honorable Discharge	Establishes that, in determining the meaning of any statute or rule or interpretation by the various administrative agencies of the State, for purposes of determining eligibility for any veterans benefit available from the State, the words "honorable discharge" and "honorably discharged" include a discharge under other than honorable conditions due to post-traumatic stress disorder, traumatic brain injury, status as a survivor of sexual assault or harassment, LGBTQ-related issues, or mental health issues, but do not include a bad conduct discharge or a dishonorable discharge.		House Re-Refered to Rules Committee on 6/23/20
<a href="#">HB 5020</a>	Rep. Stava-Murray	FOIA - Format of Records	Requires a public body to take all reasonable steps to provide records under the Freedom of Information Act in a format that is readable by the requester, including, but not limited to, making the record available in any format that is available to the public body and requested by the requester.		House Re-Refered to Rules Committee on 6/23/20
<a href="#">HB 5021</a>	Rep. Stava-Murray	FOIA - No Fees to Certain Taxpayers	Prohibits a public body from charging a fee under the Freedom of Information Act to a requester who is a taxpayer within the jurisdiction of the public body, unless the request is made for a commercial purpose.		House Re-Refered to Rules Committee on 6/23/20

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HB 5022</a>	Rep. Stava-Murray	FOIA - Extension Requirements	Allows the person making a request and the public body to agree in writing to extend the time for compliance under the Freedom of Information Act for a period to be determined by the parties only after the public body has reviewed the request, determined that an extension is necessary, and provided notification of the reasons for the extension and the date by which the response will be forthcoming.		House Re-Refered to Rules Committee on 6/23/20
<a href="#">HB 5030</a>	Rep. Bourne	JCAR - Impact on Downstate Illinois	Requires regulatory agendas submitted under the Illinois Administrative Procedure Act to include a statement as to whether a proposed rule will affect persons or entities in downstate Illinois. Requires agencies to reduce the impact of a new rule or amendment to an existing rule on persons or entities in downstate Illinois and to provide an opportunity for persons or entities in downstate Illinois to participate in the rulemaking process. Defines "Downstate Illinois" as all counties other than Cook, DuPage, Kane, Kendall, Lake, McHenry, and Will.		House Re-Refered to Rules Committee on 6/23/20
<a href="#">HB 5370</a>	Rep. Wilhour	Statement of Economic Interests - Additional Disclosures	Requires disclosure of the following information on statements of economic interest: (1) all businesses with which the person is associated; (2) all sources of income in excess of \$1,000; (3) securities in excess of \$5,000; (4) any known blind trust and the names of the trustees; (5) all real property and its location; (6) creditors who are owed debts of more than \$10,000; (7) leases or contracts with the State held or entered into by the person or a business with which he or she is associated; and (8) a description of any partnership, joint ownership, or similar business affiliation between: a business that is associated with the person, the person's spouse, or a relative of the person and a person who is registered under the Lobbyist Registration Act or doing business with or seeking to do business with the State.		House Referred to Rules Committee on 2/18/20
<a href="#">HB 5412</a>	Rep. Wehrli	Annuitant Database	Requires SURS to establish and post an annuitant database on its website by July 1, 2020. Requires the annuitant database to be a searchable database of the names of all persons receiving an annuity from SURS and the amount of the annuity paid by SURS to that person each month.	Nearly Identical to HB 3131 (Rep. Wehrli)	House Referred to Rules Committee on 2/18/20
<a href="#">HB 5445</a>	Rep. Smith	Non-Tenured and Adjunct Faculty - State Benefits	Requires the board of trustees of each public university and community college district to provide State benefits, including health insurance and pension benefits, for adjunct faculty teaching a combined 50% workload at any combination of public higher education institutions.	Identical to SB 3766 (Sen. Fine)	House Re-Refered to Rules Committee on 6/23/20
<a href="#">HB 5584</a>	Rep. E. Hernandez	Wire Transfer Tax Act	Creates the Wire Transfer Tax Act. Imposes a tax of 1% of the amount transferred on each wire transfer originating from within Illinois, beginning January 1, 2021. Requires the tax to be collected and remitted to the Illinois Department of Revenue by the financial institution that facilitates the wire transfer. Requires moneys received from the tax to be deposited into the Illinois DREAM Fund, which provides post-secondary educational scholarships to the children of immigrants.		House Re-Refered to Rules Committee on 6/23/20
<a href="#">HB 5687</a>	Rep. Harris	Governor's FY 2021 Introduced Budget	Appropriates \$1,995,767,000 to SURS for the annual required State contribution for FY 2021. (Of this amount, \$1,780,767,000 comes from the General Revenue Fund, and \$215,000,000 comes from the State Pensions Fund. This amount is equal to the amount of the annual required State contribution certified by SURS for FY 2021.) Appropriates \$7,282,374 from the Education Assistance Fund for the State's contribution to the College Insurance Program. Appropriates \$37,560,255 from the General Revenue Fund for additional State contributions to CIP for FY 2021.	Identical to SB 3950 (Sen. Harmon)	House Referred to Rules Committee on 2/25/20



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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HB 5746</a>	Rep. Harris	FY 2020 Supplemental Budget	Appropriates \$50,000 from the General Revenue Fund to SURS for employer contributions required by the State as an employer in FY 2020. (These contributions are required due to the collectively bargained back wages paid to participating employees at the Department of Innovation and Technology pursuant to Public Act 101-7.)	Identical to SB 3922 (Sen. Harmon)	House Referred to Rules Committee on 2/25/20
<a href="#">HB 5755</a>	Rep. Harris	FY 2021 Governor's Salary	Appropriates \$186,400 to the State Comptroller for the Governor's salary for FY 2021. (The Governor's salary for FY 2020 is \$177,500. 40 ILCS 5/15-155(j-5) requires employers to pay the employer normal cost on the portion of an employee's earnings in excess of the Governor's salary.)		House Referred to Rules Committee on 5/18/20
<a href="#">HB 5764</a>	Rep. Harris	First 2020 General Revisory Act	Creates the First 2020 General Revisory Act. Does not intend to make any substantive change in the law. Reconciles conflicts that have arisen from multiple amendments and enactments and makes technical corrections and revisions in the law. Corrects a reference to the Illinois Pension Code and removes obsolete text that was repealed by Public Act 101-10 (the FY 2020 Budget Implementation Act).		House Referred to Rules Committee on 5/18/20
<a href="#">HB 5769</a>	Rep. Thapedi	Personal Protective Equipment Responsibility Act	Creates the Personal Protective Equipment Responsibility Act. Requires essential businesses to adhere to the following requirements upon the issuance of a disaster proclamation by the Governor, or any executive order issued in furtherance of the disaster proclamation, until the disaster proclamation or executive order expires: to provide appropriate face coverings and other personal protective equipment for independent contractors and employees; to require that independent contractors and employees wear face coverings when a 6-foot social distance cannot be maintained at all times; and to ensure personal protective equipment complies with OSHA standards and is provided, used, and maintained in a sanitary and reliable condition. Provides for injunctive relief and action for damages.		House Referred to Rules Committee on 5/18/20
<a href="#">HB 5827</a>	Rep. Flowers	Committee on the Elimination of Eugenics-Inspired Laws Act	Creates the Committee on the Elimination of Eugenics-Inspired Laws Act. Requires each state agency to perform an internal investigation of its administrative rules, policies, and procedures to determine whether any are eugenics-inspired or have a discriminatory impact. Requires each state agency to issue an annual report to the Governor, General Assembly, Committee on the Elimination of Eugenics-Inspired Laws, and the public. Requires each state agency to implement strategies and programs to eliminate and prevent disparities created by discriminatory administrative rules, policies, and procedures and make the services provided by the state agency more readily accessible to the public.		House Referred to Rules Committee on 11/10/20
<a href="#">SB 60</a>	Sen. Bennett	Repeal 3% Rule and Re-Enact 6% Rule	Requires employers to pay the present value of the portion of any salary increases in excess of 6% (currently, 3%) during a participant's final rate of earnings period.	Identical to HB 350 (Rep. Willis)	Senate Re-Referred to Assignments Committee on 3/22/19
<a href="#">SB 75</a> <a href="#">(ENRL)</a>	Sen. Bush (Rep. A. Williams)	Workplace Protections Omnibus	Contains several provisions designed to provide employee protections in the workplace. These include: protections against discrimination and harassment (including the rights of complainants); protections against non-disclosure clauses; annual training to prevent discrimination and harassment; the right to employment leave due to incidents of sexual violence and gender violence; and the annual reporting of certain settlements and adverse judgments and administrative rulings by employers to the Illinois Department of Human Rights.		Governor Pritzker Signed into Law as Public Act 101-0221 on 8/9/19 (Senate: 59-0-0; House: 114-0-0)



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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">SB 178</a>	Sen. Aquino	State Serial Long Term Pension Obligation Bonds	Authorizes the issuance of \$120.015 billion in State Serial Long Term Pension Obligation Bonds to bring the funded status of the state pension systems up to 90% of assets to liabilities. Requires SERS, SURS, and TRS to deposit 36% of bond proceeds received into investment funds for the purposes of taking advantage of interest arbitrage from the bond proceeds and for making debt service contributions for the bonds. Creates a continuing appropriation for the repayment of principal and interest due on the bonds.	Similar to HB 2441 (Rep. Martwick)	Senate Re-Referred to Assignments Committee on 3/22/19
<a href="#">SB 262 (ENRL)</a>	Sen. J. Cullerton (Rep. Harris)	FY 2020 Budget	Appropriates \$1,854,692,000 for the state contribution to SURS for FY 2020. The FY 2020 certified state contribution to SURS is \$1,854,692,000. Appropriates \$4,431,113 from the Education Assistance Fund to SURS for deposit into the Community College Health Insurance Security Fund for the state contribution to the College Insurance Program for FY 2020. The FY 2020 certified state contribution to the College Insurance Program (CIP) is \$4,431,113.		Governor Pritzker Signed into Law as Public Act 101-0007 on 6/5/19 (Senate: 40-19-0; House: 83-35-0)
<a href="#">SA #1 to SB 264</a>	Sen. Harmon	FY 2020 Supplemental Budget and FY 2021 Budget	Appropriates \$50,000 from the General Revenue Fund to SURS for employer contributions required by the State as an employer in FY 2020. (These contributions are required due to the collectively bargained back wages paid to participating employees at the Department of Innovation and Technology pursuant to Public Act 101-7.) Appropriates \$181,700 to the State Comptroller as the Governor's salary for FY 2021. (The Governor's salary for FY 2020 is \$177,500. 40 ILCS 5/15-155(j-5) requires employers to pay the employer normal cost on the portion of an employee's earnings in excess of the Governor's salary.) Appropriates \$1,995,767,000 to SURS for the annual required State contribution for FY 2021. (Of this amount, \$1,780,767,000 comes from the General Revenue Fund, and \$215,000,000 comes from the State Pensions Fund. This amount is equal to the amount of the annual required State contribution certified by SURS for FY 2021.) Appropriates \$4,622,773 from the Education Assistance Fund for the State's contribution to the College Insurance Program for FY 2021.	Similar to HA #1 to HB 64 (Rep. Harris) and HA #1 and #2 to SB 264 (Rep. Harris)	SA #1 Tabled on 5/20/20
<a href="#">HA #1 to SB 264</a>	Rep. Harris	FY 2020 Supplemental Budget and FY 2021 Budget	Appropriates \$50,000 from the General Revenue Fund to SURS for employer contributions required by the State as an employer in FY 2020. (These contributions are required due to the collectively bargained back wages paid to participating employees at the Department of Innovation and Technology pursuant to Public Act 101-7.) Appropriates \$181,700 to the State Comptroller as the Governor's salary for FY 2021. (The Governor's salary for FY 2020 is \$177,500. 40 ILCS 5/15-155(j-5) requires employers to pay the employer normal cost on the portion of an employee's earnings in excess of the Governor's salary.) Appropriates \$1,995,767,000 to SURS for the annual required State contribution for FY 2021. (Of this amount, \$1,780,767,000 comes from the General Revenue Fund, and \$215,000,000 comes from the State Pensions Fund. This amount is equal to the amount of the annual required State contribution certified by SURS for FY 2021.) Appropriates \$4,622,773 from the Education Assistance Fund for the State's contribution to the College Insurance Program for FY 2021.	Similar to SA #1 to SB 264 (Sen. Harmon), HA #1 to HB 64 (Rep. Harris), and HA #2, #3, and #4 to SB 264 (Rep. Harris)	HA #1 Withdrawn on 5/23/20

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HA #2 to SB 264</a>	Rep. Harris	FY 2020 Supplemental Budget and FY 2021 Budget	Appropriates \$50,000 from the General Revenue Fund to SURS for employer contributions required by the State as an employer in FY 2020. (These contributions are required due to the collectively bargained back wages paid to participating employees at the Department of Innovation and Technology pursuant to Public Act 101-7.) Appropriates \$181,700 to the State Comptroller as the Governor's salary for FY 2021. (The Governor's salary for FY 2020 is \$177,500. 40 ILCS 5/15-155(j-5) requires employers to pay the employer normal cost on the portion of an employee's earnings in excess of the Governor's salary.) Appropriates \$1,995,767,000 to SURS for the annual required State contribution for FY 2021. (Of this amount, \$1,780,767,000 comes from the General Revenue Fund, and \$215,000,000 comes from the State Pensions Fund. This amount is equal to the amount of the annual required State contribution certified by SURS for FY 2021.) Appropriates \$4,622,773 from the Education Assistance Fund for the State's contribution to the College Insurance Program for FY 2021.	Similar to SA #1 to SB 264 (Sen. Harmon), HA #1 to HB 64 (Rep. Harris), and HA #1, #3, #4, and #5 to SB 264 (Rep. Harris)	HA #2 Withdrawn on 5/23/20
<a href="#">HA #3 to SB 264</a>	Rep. Harris	FY 2020 Supplemental Budget and FY 2021 Budget	Appropriates \$50,000 from the General Revenue Fund to SURS for employer contributions required by the State as an employer in FY 2020. (These contributions are required due to the collectively bargained back wages paid to participating employees at the Department of Innovation and Technology pursuant to Public Act 101-7.) Appropriates \$181,700 to the State Comptroller as the Governor's salary for FY 2021. (The Governor's salary for FY 2020 is \$177,500. 40 ILCS 5/15-155(j-5) requires employers to pay the employer normal cost on the portion of an employee's earnings in excess of the Governor's salary.) Appropriates \$1,995,767,000 to SURS for the annual required State contribution for FY 2021. (Of this amount, \$1,780,767,000 comes from the General Revenue Fund, and \$215,000,000 comes from the State Pensions Fund. This amount is equal to the amount of the annual required State contribution certified by SURS for FY 2021.) Appropriates \$4,622,773 from the Education Assistance Fund for the State's contribution to the College Insurance Program for FY 2021.	Similar to SA #1 to SB 264 (Sen. Harmon), HA #1 to HB 64 (Rep. Harris), and HA #1, #2, #4, #5 to SB 264 (Rep. Harris)	HA #3 Withdrawn on 5/23/20
<a href="#">HA #4 to SB 264</a>	Rep. Harris	FY 2020 Supplemental Budget and FY 2021 Budget	Appropriates \$50,000 from the General Revenue Fund to SURS for employer contributions required by the State as an employer in FY 2020. (These contributions are required due to the collectively bargained back wages paid to participating employees at the Department of Innovation and Technology pursuant to Public Act 101-7.) Appropriates \$181,700 to the State Comptroller as the Governor's salary for FY 2021. (The Governor's salary for FY 2020 is \$177,500. 40 ILCS 5/15-155(j-5) requires employers to pay the employer normal cost on the portion of an employee's earnings in excess of the Governor's salary.) Appropriates \$1,995,767,000 to SURS for the annual required State contribution for FY 2021. (Of this amount, \$1,780,767,000 comes from the General Revenue Fund, and \$215,000,000 comes from the State Pensions Fund. This amount is equal to the amount of the annual required State contribution certified by SURS for FY 2021.) Appropriates \$4,622,773 from the Education Assistance Fund for the State's contribution to the College Insurance Program for FY 2021.	Similar to SA #1 to SB 264 (Sen. Harmon), HA #1 to HB 64 (Rep. Harris), and HA #1, #2, #3, and #5 to SB 264 (Rep. Harris)	HA #4 Referred to Rules Committee on 5/23/20

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HA #5 to SB 264</a>	Rep. Harris	FY 2020 Supplemental Budget and FY 2021 Budget	Appropriates \$50,000 from the General Revenue Fund to SURS for employer contributions required by the State as an employer in FY 2020. (These contributions are required due to the collectively bargained back wages paid to participating employees at the Department of Innovation and Technology pursuant to Public Act 101-7.) Appropriates \$181,700 to the State Comptroller as the Governor's salary for FY 2021. (The Governor's salary for FY 2020 is \$177,500. 40 ILCS 5/15-155(j-5) requires employers to pay the employer normal cost on the portion of an employee's earnings in excess of the Governor's salary.) Appropriates \$1,995,767,000 to SURS for the annual required State contribution for FY 2021. (Of this amount, \$1,780,767,000 comes from the General Revenue Fund, and \$215,000,000 comes from the State Pensions Fund. This amount is equal to the amount of the annual required State contribution certified by SURS for FY 2021.) Appropriates \$4,622,773 from the Education Assistance Fund for the State's contribution to the College Insurance Program for FY 2021.	Similar to SA #1 to SB 264 (Sen. Harmon), HA #1 to HB 64 (Rep. Harris), and HA #1, #2, #3, and #4 to SB 264 (Rep. Harris)	HA #5 Adopted on 5/23/20
<a href="#">SB 264 (ENRL)</a>	Sen. Harmon (Rep. Harris)	FY 2020 Supplemental Budget and FY 2021 Budget	Appropriates \$50,000 from the General Revenue Fund to SURS for employer contributions required by the State as an employer in FY 2020. (These contributions are required due to the collectively bargained back wages paid to participating employees at the Department of Innovation and Technology pursuant to Public Act 101-7.) Appropriates \$181,700 to the State Comptroller as the Governor's salary for FY 2021. (The Governor's salary for FY 2020 is \$177,500. 40 ILCS 5/15-155(j-5) requires employers to pay the employer normal cost on the portion of an employee's earnings in excess of the Governor's salary.) Appropriates \$1,995,767,000 to SURS for the annual required State contribution for FY 2021. (Of this amount, \$1,780,767,000 comes from the General Revenue Fund, and \$215,000,000 comes from the State Pensions Fund. This amount is equal to the amount of the annual required State contribution certified by SURS for FY 2021.) Appropriates \$4,622,773 from the Education Assistance Fund for the State's contribution to the College Insurance Program for FY 2021.		Governor Pritzker Signed into Law on 6/10/20 as Public Act 101-0637 (Senate: 37-19-0; House: 68-44-0)
<a href="#">SB 1187</a>	Sen. Oberweis	Right to Shop Act	Requires the Director of Central Management Services to conduct an analysis of the cost effectiveness of implementing an incentive-based program for enrollees and retirees of the state group health benefits plan offered under the State Employees Group Insurance Act of 1971. Requires a program found to be cost effective to be implemented as part of the next open enrollment.		Senate Re-Referred to Assignments Committee on 6/24/20
<a href="#">SB 1264 (ENRL)</a>	Sen. Aquino (Rep. Martwick)	RUUPA Trailer Bill for Public Retirement Systems	Ensures that annuity, pension, and benefit funds held in a fiduciary capacity by or on behalf of a retirement system, pension fund, or investment board under the Illinois Pension Code remain in their respective trusts and are not paid or delivered to the State Treasurer's Office under the Revised Uniform Unclaimed Property Act. Requires pension funds, retirement systems, and investment boards created pursuant to Articles 3, 4, and 22 of the Illinois Pension Code to adhere to certain compliance requirements regarding best practices to locate owners of unclaimed property.		Governor Pritzker Signed into Law as Public Act 101-0546 on 8/23/19 (Senate: 58-0-1; House: 106-0-0)
<a href="#">SB 1265 (ENRL)</a>	Sen. Aquino (Rep. Martwick)	SURS Technical Corrections Bill	SURS initiative that makes three technical changes to Article 15 of the Illinois Pension Code to reflect SURS' longstanding interpretation and administration of benefits.	Identical to HB 2440 (Rep. Martwick)	Governor Pritzker Signed into Law as Public Act 101-0321 on 8/9/19 (Senate: 55-0-0; House: 116-0-0)

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">SB 1300</a> <a href="#">(ENRL)</a>	Sen. Castro (Rep. Hoffman)	Suburban and Downstate Police/Fire Investment Consolidation	Allows Tier 2 police officers and firefighters to retire at age 60 (instead of age 67) without a reduced retirement annuity under the special formula for police officers and firefighters. Requires the Governor to designate the Chairperson of the SURS Board of Trustees (instead of the Chairperson of the Illinois Board of Higher Education automatically serving as the Chairperson of the SURS Board of Trustees). Makes a technical change related to the accelerated pension benefit payment option for Tier 1 and Tier 2 vested, inactive members.		Governor Pritzker Signed into Law as Public Act 101-0610 on 12/18/19 (Senate: 42-12-0; House: 96-14-3)
<a href="#">SB 1376</a>	Sen. Rose	Merge ICCB and ISAC into IBHE	Merges the Illinois Community College Board and the Illinois Student Assistance Commission into the Illinois Board of Higher Education on January 1, 2020. Establishes that the rights of State employees, the State, and its agencies under the Personnel Code and applicable collective bargaining agreements and retirement plans are not affected by this change.	Nearly identical to SB 3006 (Sen. Rose)	Senate Re-Referred to Assignments Committee on 3/22/19
<a href="#">SB 1485</a> <a href="#">(ENGR)</a>	Sen. Lightford (Rep. Lilly)	Racial Bias, Discrimination, and Harassment Omnibus	Establishes that all persons have a right to work in an environment free from racial discrimination and harassment. Prohibits all persons subject to the State Officials and Employees Ethics Act from racially discriminating against or harassing any person, regardless of any employment relationship or lack thereof. Requires each officer, member, and employee to complete, at least annually, beginning in 2020, a racial bias, discrimination, and harassment training program.	Identical to HB 2101 (Rep. Smith) and HB 2547 (Rep. Lilly)	House Re-Referred to Rules Committee on 5/10/19; Passed the Senate (48-0-0) on 4/12/19
<a href="#">SB 1505</a>	Sen. Hastings	Judges Keep Tier I Status	Establishes that a person who participates in the Judges' Retirement System prior to January 1, 2011 is deemed to be a person who first became a member or participant of the State Employees' Retirement System, State Universities Retirement System, or Teachers' Retirement System prior to January 1, 2011.		Senate Referred to Assignments Committee on 2/15/19
<a href="#">SB 1639</a> <a href="#">(ENRL)</a>	Sen. Steans (Rep. Harris)	Governmental Ethics Reforms	Requires the Secretary of State to create a publicly accessible and searchable database with disclosures made by registered lobbyists under the Lobbyist Registration Act, contributions made by registered lobbyists that are required to be disclosed under the Election Code, and statements of economic interests required to be filed by State officials and employees under the Illinois Governmental Ethics Act.		Governor Pritzker Signed into Law as Public Act 101-0595 on 12/5/19 (Senate: 48-0-0; House: 110-5-0)
<a href="#">SB 1671</a> <a href="#">(ENGR)</a>	Sen. Martinez (Rep. Harris)	Emerging Manager RFP Exemption	Modifies the definition of "emerging investment manager" to include investment managers with assets under management of less than \$10 million and more than \$20 billion, if they had assets of at least \$10 million but less than \$20 billion at the time of the initial contract with the retirement system, pension fund, or investment board. Exempts contracts for investment services with emerging investment managers from the competitive bidding requirements of the Illinois Pension Code. Allows a retirement system, pension fund, or investment board to contract with an emerging investment manager providing investment services in a multimanager portfolio for at least 2 years under a manager of emerging investment managers program, upon the written recommendation of the manager of emerging investment managers.	Similar to SB 3748 (Sen. Martinez) and SB 3750 (Sen. Martinez)	House Re-Referred to Rules Committee on 11/28/19; Passed the Senate (57-0-0) on 4/10/19
<a href="#">SB 1698</a> <a href="#">(ENGR)</a>	Sen. Martinez (Rep. Willis)	Public Retirement Systems Broadcast Open Meetings	Requires certain public pension funds and retirement systems to broadcast and maintain audio and video of their open meetings in real-time on their websites.		Passed the Senate (48-0-0) on 3/28/19

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HA #1 to SB 1698</a>	Rep. Martwick	Public Retirement Systems Broadcast Open Meetings	Re-inserts the provisions of the introduced bill but exempts the Chicago Policemen's Pension Fund from the requirements of the legislation (in addition to Downstate Policemen's Pension Funds and Downstate Firefighters' Pension Funds).		HA #1 Re-Referred to House Rules Committee on 5/10/19
<a href="#">HA #2 to SB 1698</a>	Rep. Willis	Local Government Officer Compensation Act Trailer Bill	Replaces everything after the enacting clause with provisions amending the Local Government Officer Compensation Act.		HA #2 Adopted on 10/28/19
<a href="#">SB 1784 (ENRL)</a>	Sen. Harmon (Rep. Hoffman)	Post-Janus Employee Labor Union Protections	Exempts information prohibited from being disclosed under the Illinois Pension Code from inspection and copying under the Freedom of Information Act. Prohibits a pension fund or retirement system from disclosing the following information of any members or participants of any pension fund or retirement system: (1) the individual's home address (including ZIP code and county); (2) the individual's date of birth; (3) the individual's home and personal phone number; (4) the individual's personal email address; (5) personally identifying member or participant deduction information; or (6) any membership status in a labor organization or other voluntary association affiliated with a labor organization or labor federation (including whether participants are members of such organization, the identity of such organization, whether or not participants pay or authorize the payment of any dues or moneys to such organization, and the amounts of such dues or moneys). Establishes that this prohibition does not apply to disclosures: (1) required under the Freedom of Information Act; (2) for purposes of conducting public operations or business; or (3) to a labor organization or other voluntary association affiliated with a labor organization or labor federation.		Governor Pritzker Signed into Law as Public Act 101-0620 on 12/20/19 (Senate: 45-9-0; House: 93-23-0)
<a href="#">HA #2 to SB 1805</a>	Rep. Harris	FY 2021 Budget Implementation Act	Creates the FY 2021 Budget Implementation Act to make changes in State programs that are necessary to implement the FY 2021 budget. Creates the Emergency Budget Act of FY 2021. Authorizes the Governor to designate a contingency reserve from general funds and any special fund for FY 2021, with certain notification requirements. Establishes that any expenditure from State funds is made subject to appropriation during FY 2021, except for non-appropriated funds, non-appropriated accounts, locally held funds, and appropriations with continuing authority. Authorizes the use of money in the State Pensions Fund as part of the annual State contribution to SURS for FY 2021. Extends the lapse period for FY 2020 from August 31, 2020 to December 31, 2020.	Similar to HA #3 to SB 1805 (Rep. Harris) and SA #1 and #2 to HB 357 (Sen. Harmon)	HA #2 Recommends Be Adopted in Executive Committee on 5/22/20
<a href="#">HA #3 to SB 1805</a>	Rep. Harris	FY 2021 Budget Implementation Act	Creates the FY 2021 Budget Implementation Act to make changes in State programs that are necessary to implement the FY 2021 budget. Authorizes the use of money in the State Pensions Fund as part of the annual State contribution to SURS for FY 2021. Extends the lapse period for FY 2020 from August 31, 2020 to December 31, 2020.	Similar to HA #2 and #4 to SB 1805 (Rep. Harris) and SA #1 and #2 to HB 357 (Sen. Harmon)	HA #3 Referred to Rules Committee on 5/23/20
<a href="#">HA #4 to SB 1805</a>	Rep. Harris	FY 2021 Budget Implementation Act	Creates the FY 2021 Budget Implementation Act to make changes in State programs that are necessary to implement the FY 2021 budget. Authorizes the use of money in the State Pensions Fund as part of the annual State contribution to SURS for FY 2021. Extends the lapse period for FY 2020 from August 31, 2020 to December 31, 2020.	Similar to HA #2 and #3 to SB 1805 (Rep. Harris) and SA #1 and #2 to HB 357 (Sen. Harmon)	HA #4 Referred to Rules Committee on 5/23/20

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">SB 1814</a> <a href="#">(ENRL)</a>	Sen. Steans (Rep. Harris)	Fiscal Year 2020 Budget Implementation Bill	Creates the FY 2020 Budget Implementation Act to make changes in State programs that are necessary to implement the State budget for Fiscal Year 2020. Authorizes the use of moneys in the State Pensions Fund as part of the FY 2020 State contribution to SURS. Repeals the 3% rule and re-enacts the 6% rule. Extends the existing accelerated pension benefit payment options for three years (from June 30, 2021 to June 30, 2024).	Similar to SA #1 to HB 816 (Sen. Steans)	Governor Pritzker Signed into Law as Public Act 101-0010 on 6/5/19 (Senate: 52-6-0; House: 97-17-1)
<a href="#">SB 1827</a> <a href="#">(ENGR)</a>	Sen. Morrison (Rep. Morgan)	Uniform Statement of Economic Interests	Creates a uniform statement of economic interests. Requires all filers to disclose: (1) assets with a value of more than \$25,000; (2) sources of income that generated in excess of \$10,000; (3) each creditor of a debt in excess of \$25,000; (4) each debtor of a debt in excess of \$25,000; (5) each lobbyist registered with any unit of government in Illinois with whom the filer maintains an economic relationship or who is a member of the filer's family; and (6) each source and type of gifts or honoraria in excess of \$1,000. Applies to statements of economic interest filed in 2020 and each year thereafter.		House Re-Refered to Rules Committee on 6/23/20; Passed the Senate (56-0-0) on 3/13/19
<a href="#">SB 1846</a>	Sen. Schimpf	Veteran-Owned Businesses Utilization	Requires public retirement systems, pension funds, and investment boards to utilize veteran-owned businesses for certain contracts and services.		Senate Re-Refered to Assignments Committee on 3/22/19
<a href="#">SA #1 to SB 1846</a>	Sen. Schimpf	Veteran-Owned Businesses Utilization	Makes technical changes.		SA #1 Re-Refered to Assignments Committee on 3/22/19
<a href="#">HA #5 to SB 1863</a>	Rep. Harris	2020 General Election Procedures	Establishes November 3, 2020 as a State holiday known as 2020 General Election Day. Requires all government offices, with the exception of election authorities, to be closed unless authorized to be used as a location for election day services or as a polling place. Makes other changes.		HA #5 Adopted on 5/21/20
<a href="#">SB 1863</a> <a href="#">(ENRL)</a>	Sen. Morrison (Rep. Burke)	2020 General Election Procedures	Establishes November 3, 2020 as a State holiday known as 2020 General Election Day. Requires all government offices, with the exception of election authorities, to be closed unless authorized to be used as a location for election day services or as a polling place. Makes other changes.		Governor Pritzker Signed into Law on 6/16/20 as Public Act 101-0642 (Senate: 37-19-0; House: 72-43-0)
<a href="#">SB 1948</a>	Sen. Tracy	3% Rule Exemptions	Creates exemptions to the 3% rule created by Public Act 100-0587 for overload work, overtime, and promotions.	Similar to SB 2145 (Sen. Villivalam)	Senate Re-Refered to Assignments Committee on 3/28/19
<a href="#">SB 1952</a> <a href="#">(ENGR)</a>	Sen. Manar (Rep. Willis)	Repeal 3% Rule and Re-Enact 6% Rule	Requires employers to pay the present value of the portion of any salary increases in excess of 6% (currently, 3%) during a participant's final rate of earnings period. Makes other changes.	Similar to SB 60 (Sen. Bennett) and HB 350 (Rep. Willis)	Passed the Senate (51-5-0) on 4/10/19
<a href="#">SA #1 to SB 1952</a>	Sen. Manar	Repeal 3% Rule and Re-Enact 6% Rule	As it relates to SURS, SA #1 to SB 1952 is identical to the underlying bill.		SA #1 Adopted on 4/10/19

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HA #1 to SB 1952</a>	Rep. Willis	Repeal 3% Rule and Re-Enact 6% Rule	Removes provisions of the bill that repeal the 3% rule and re-enact the 6% rule.		HA #1 Adopted on 5/15/19
<a href="#">SB 2060 (ENGR)</a>	Sen. Martinez (Rep. Martwick)	Emerging Investment Manager Goals – Total Fees Paid	Requires the goals for the policy for the utilization of emerging investment managers to be based on the percentage of total dollar amount of fees paid under (currently, the dollar amount of) investment service contracts let to minority-owned businesses, women-owned businesses, and businesses owned by a person with a disability. Requires the annual report to the Governor and the General Assembly to include the total dollar amount of fees paid under investment contracts with emerging investment managers (currently, the percentage of the assets under the investment control of emerging investment managers) for the three separate goals. Establishes that it must be the aspirational goal for a retirement system, pension fund, or investment board to use emerging investment managers for not less than 20% of fees paid in each asset class (currently, funds under management).	Similar to SB 3749 (Sen. Martinez)	House Re-Referred to Rules Committee on 5/10/19; Passed the Senate (56-0-0) on 4/10/19
<a href="#">SB 2062</a>	Sen. Martinez	Illinois Sustainable Investing Act	Requires every retirement system, pension fund, or investment board to adopt a written investment policy and file a copy of that policy with the Department of Insurance within 30 days after its adoption. Requires the investment policy to include material, relevant, and decision-useful sustainability factors to be applied by the board in evaluating investment decisions, including, but not limited to: (1) corporate governance and leadership factors; (2) environmental factors; (3) social capital factors; (4) human capital factors; and (5) business model and innovation factors, as provided under the Illinois Sustainable Investing Act. Establishes that whenever a board changes its investment policy, it must file a copy of the new policy with the Department within 30 days.	Identical to HB 2460 as Introduced (Rep. Davis)	Senate Re-Referred to Assignments Committee on 4/12/19
<a href="#">SA #1 to SB 2062</a>	Sen. Martinez	Illinois Sustainable Investing Act	Establishes that the investment policy must include material, relevant, and decision-useful sustainability factors to be considered by the board, within the bounds of financial and fiduciary prudence, in evaluating investment decisions. (In the original bill, the investment policy must include material, relevant, and decision-useful sustainability factors to be applied by the board in evaluating investment decisions.) Makes changes to the Illinois Sustainable Investing Act.	Nearly identical to HA #1 to HB 2460	SA #1 Adopted on 3/20/19
<a href="#">SA #2 to SB 2062</a>	Sen. Martinez	Illinois Sustainable Investing Act	Modifies the description of human capital factors to include responsible contractor and responsible bidder policies.	Identical to HA #2 to HB 2460	SA #2 Re-Referred to Assignments Committee on 7/3/19
<a href="#">HA #2 to SB 2099</a>	Rep. Zalewski	FY 2020 and FY 2021 Borrowing	Creates the Coronavirus Urgent Remediation Emergency Borrowing Act (CURE Borrowing Act). Authorizes the Governor to borrow up to \$5 billion during FY 2020 and FY 2021 from the Federal Reserve Bank or its agent under the Municipal Liquidity Facility program. Requires moneys borrowed to be used to: (1) meet failures of revenue resulting from COVID-19 and to support the emergency response to COVID-19; (2) pay or reimburse new or increased costs of State government resulting from COVID-19 and the emergency response to COVID-19; (3) respond to any other disaster or emergency or failure of revenues or the costs of essential government services; (4) pay or reimburse Medicaid costs; and (5) pay or reimburse costs payable from the Health Insurance Reserve Fund. Requires moneys borrowed to be deposited into the Coronavirus Urgent Remediation Emergency Borrowing Fund (CURE Borrowing Fund) to pay for the aforementioned expenses and to be repaid within 10 years.	Similar to HA #3 to SB 2099 (Rep. Zalewski)	HA #2 Adopted on 5/22/20



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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HA #3 to SB 2099</a>	Rep. Zalewski	FY 2020 and FY 2021 Borrowing	Creates the Coronavirus Urgent Remediation Emergency Borrowing Act (CURE Borrowing Act). Authorizes the Governor to borrow up to \$5 billion during FY 2020 and FY 2021 from the Federal Reserve Bank or its agent under the Municipal Liquidity Facility program. Requires moneys borrowed to be used to: (1) meet failures of revenue resulting from COVID-19 and to support the emergency response to COVID-19; (2) pay or reimburse new or increased costs of State government resulting from COVID-19 and the emergency response to COVID-19; (3) respond to any other disaster or emergency or failure of revenues or the costs of essential government services; (4) provide funds for deposit into the Healthcare Provider Relief Fund; and (5) pay or reimburse costs payable from the Health Insurance Reserve Fund. Requires moneys borrowed to be deposited into the Coronavirus Urgent Remediation Emergency Borrowing Fund (CURE Borrowing Fund) to pay for the aforementioned expenses and to be repaid within 10 years.	Similar to HA #2 to SB 2099 (Rep. Zalewski)	HA #3 Adopted on 5/22/20
<a href="#">SB 2099 (ENRL)</a>	Sen. Harmon (Rep. Zalewski)	FY 2020 and FY 2021 Borrowing	Creates the Coronavirus Urgent Remediation Emergency Borrowing Act (CURE Borrowing Act). Authorizes the Governor to borrow up to \$5 billion during FY 2020 and FY 2021 from the Federal Reserve Bank or its agent under the Municipal Liquidity Facility program. Requires moneys borrowed to be used to: (1) meet failures of revenue resulting from COVID-19 and to support the emergency response to COVID-19; (2) pay or reimburse new or increased costs of State government resulting from COVID-19 and the emergency response to COVID-19; (3) respond to any other disaster or emergency or failure of revenues or the costs of essential government services; (4) provide funds for deposit into the Healthcare Provider Relief Fund; and (5) pay or reimburse costs payable from the Health Insurance Reserve Fund. Requires moneys borrowed to be deposited into the Coronavirus Urgent Remediation Emergency Borrowing Fund (CURE Borrowing Fund) to pay for the aforementioned expenses and to be repaid within 10 years.		Governor Pritzker Signed into Law on 5/29/20 as Public Act 101-0630 (Senate: 37-19-0; House: 71-45-0)
<a href="#">HA #4 to SB 2135</a>	Rep. Burke	Government Emergency Administration Act	Creates the Government Emergency Administration Act. Allows a meeting subject to the Open Meetings Act to be conducted by audio or video conference during a disaster declaration because of a health pandemic or similar emergency, without the physical presence of a quorum of the members, as long as certain conditions are met. Establishes that no public body is considered to be in violation of the Freedom of Information Act for failing to respond within the time prescribed by the Act to any request due on or after March 9, 2020 and prior to June 1, 2020, if the public body provides a response by the later of 30 days after the effective date of the legislation or the time prescribed by the Act for the relevant request. Gives statutory approval to the remote notary and witness guidelines provided in Executive Order 2020-14. Suspends the deadlines for filing statements of economic interests on or after March 17, 2020 until August 1, 2020.	Similar to HA #5 to SB 2135 (Rep. Burke)	HA #4 Withdrawn on 5/23/20



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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HA #5 to SB 2135</a>	Rep. Burke	Government Emergency Administration Act	Creates the Government Emergency Administration Act. Allows an open or closed meeting subject to the Open Meetings Act to be conducted by audio or video conference during a disaster declaration related to public health concerns, without the physical presence of a quorum of the members, as long as certain conditions are met. Establishes that no public body is considered to be in violation of the Freedom of Information Act for failing to respond within the time prescribed by the Act to any request due on or after March 9, 2020 and within 15 days after the effective date of the legislation, if the public body provides a response by the later of 30 days after the effective date of the legislation or the time prescribed by the Act for the relevant request. Gives statutory approval to the remote notary and witness guidelines provided in Executive Order 2020-14. Suspends the deadlines for filing statements of economic interests on or after March 17, 2020 until August 1, 2020. Allows for remote participation by members of the following bodies: the General Assembly, the Joint Committee on Administrative Rules, the Commission on Government Forecasting and Accountability, and the Legislative Audit Commission.	Similar to HA #4 to SB 2135 (Rep. Burke)	HA #5 Adopted on 5/23/20
<a href="#">HA #7 to SB 2135</a>	Rep. Burke	Government Emergency Administration Act	Removes language from HA #5 allowing remote participation by members of the General Assembly.		HA #7 Withdrawn on 5/23/20
<a href="#">HA #8 to SB 2135</a>	Rep. Burke	Government Emergency Administration Act	Removes language from HA #5 providing that no public body is considered to be in violation of the Freedom of Information Act for failing to respond within the time prescribed by the Act to any request due on or after March 9, 2020 and within 15 days after the effective date of the legislation, if the public body provides a response by the later of 30 days after the effective date of the legislation or the time prescribed by the Act for the relevant request. Removes language from HA #5 allowing remote participation by members of the General Assembly.		HA #8 Withdrawn on 5/23/20
<a href="#">HA #9 to SB 2135</a>	Rep. Burke	Government Emergency Administration Act	Removes language from HA #5 providing that no public body is considered to be in violation of the Freedom of Information Act for failing to respond within the time prescribed by the Act to any request due on or after March 9, 2020 and within 15 days after the effective date of the legislation, if the public body provides a response by the later of 30 days after the effective date of the legislation or the time prescribed by the Act for the relevant request. Removes language from HA #5 allowing remote participation by members of the General Assembly, the Joint Committee on Administrative Rules, the Commission on Government Forecasting and Accountability, and the Legislative Audit Commission.		HA #9 Adopted on 5/23/20
<a href="#">SB 2135 (ENRL)</a>	Sen. Sims (Rep. Burke)	Government Emergency Administration Act	Creates the Government Emergency Administration Act. Allows an open or closed meeting subject to the Open Meetings Act to be conducted by audio or video conference during a disaster declaration related to public health concerns, without the physical presence of a quorum of the members, as long as certain conditions are met. Gives statutory approval to the remote notary and witness guidelines provided in Executive Order 2020-14. Suspends the deadlines for filing statements of economic interests on or after March 17, 2020 until August 1, 2020.		Governor Pritzker Signed into Law on 6/12/20 as Public Act 101-640 (Senate: 36-19-0; House: 66-44-2)

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">SB 2145</a>	Sen. Villivalam	3% Rule Exemptions	Creates exemptions to the 3% rule created by Public Act 100-0587 for participants who are 10 or more years away from retirement eligibility, overload work, overtime, and promotions.	Similar to SB 1948 (Sen. Tracy)	Senate Referred to Assignments Committee on 2/15/19
<a href="#">SB 2165</a>	Sen. Harmon	FY 2020 Governor's Introduced Budget	Appropriates \$1,626,692,000 for the state contribution to SURS for FY 2020 (\$1,426,692,000 from the General Revenue Fund and \$200,000,000 from the State Pensions Fund). The FY 2020 certified state contribution to SURS is \$1,854,692,000. Appropriates \$4,431,113 from the Education Assistance Fund to SURS for deposit into the Community College Health Insurance Security Fund for the state contribution to the College Insurance Program for FY 2020. The FY 2020 certified state contribution to the College Insurance Program is \$4,431,113.	Identical to HB 3718 (Rep. Harris)	Senate Referred to Assignments Committee on 2/27/19
<a href="#">SB 2320</a>	Sen. Plummer	State Officials and Employees Ethics Act - No Private Pay for Public Work	Prohibits a State employee from receiving monetary or other compensation from any private party for work performed within the scope of his or her employment by a State agency under the State Officials and Employees Ethics Act.		Senate Re-Referred to Assignments Committee on 6/24/20
<a href="#">SB 2545</a>	Sen. Castro	SURS Trustee Status Clarification	SURS initiative that clarifies the intent of the General Assembly regarding a SURS trustee's change in status.	Identical to HB 4323 (Rep. Burke)	Senate Re-Referred to Assignments Committee on 4/12/20
<a href="#">SB 2554</a>	Sen. Steans	Pension Stabilization Fund - Transfers	Requires \$200 million to be transferred into the Pension Stabilization Fund when the State's bill backlog is less than \$3 billion. (Money in the Pension Stabilization Fund must be used to reduce the unfunded liabilities of the state-funded retirement systems.)		Senate Re-Referred to Assignments Committee on 4/12/20
<a href="#">SB 2747</a>	Sen. Fine	Payment of Pension Benefits – Recovery of Legal Costs	Gives the court discretion to allow parties to a legal action concerning the payment of pension benefits to recover reasonable legal costs associated with the action.		Senate Referred to Assignments Committee on 2/4/20
<a href="#">SB 2795 (ENGR)</a>	Sen. Martwick (Rep. Zalewski)	Required Minimum Distribution Age Technical Correction	SURS initiative that corrects an outdated reference to the required minimum distribution age under the Internal Revenue Code.		Arrived in the House on 3/4/20; Passed the Senate (56-0-0) on 3/4/20
<a href="#">SB 3004</a>	Sen. Belt	SEGIP Re-Enrollment after Non-Payment of Premiums	Permits an annuitant, survivor, or retired employee whose coverage has been terminated for nonpayment of premiums to re-enroll in the program of group health benefits during the next annual benefit choice period, as determined by the Director of Central Management Services, if he or she has fully paid all previous nonpayments prior to that re-enrollment. Permits re-enrollment in such circumstances prior to January 1, 2022.	Similar to HA #1 to HB 139 (Rep. Greenwood)	Senate Re-Referred to Assignments Committee on 4/12/20

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">SB 3006</a>	Sen. Rose	Merge ICCB and ISAC into IBHE	Merges the Illinois Community College Board and the Illinois Student Assistance Commission into the Illinois Board of Higher Education on January 1, 2021. Establishes that the rights of State employees, the State, and its agencies under the Personnel Code and applicable collective bargaining agreements and retirement plans are not affected by this change.	Nearly identical to SB 1376 (Sen. Rose)	Senate Re-Referred to Assignments Committee on 6/24/20
<a href="#">SB 3019</a>	Sen. Martwick	SURS Supplemental Defined Contribution Plan – EACA	Requires the supplemental defined contribution plan to provide for an eligible automatic contribution arrangement that permits a withdrawal of default elective contributions in accordance with Section 414(w) of the Internal Revenue Code of 1986, as amended, and United States Department of Treasury regulations.		Senate Re-Referred to Assignments Committee on 4/12/20
<a href="#">SA #1 to SB 3019</a>	Sen. Martwick	SURS Supplemental Defined Contribution Plan – EACA	Requires the supplemental defined contribution plan to provide for one or more automatic contribution arrangements, at least one of which shall be an eligible automatic contribution arrangement that permits a withdrawal of default elective contributions in accordance with Section 414(w) of the Internal Revenue Code of 1986, as amended, and United States Department of Treasury regulations.		SA #1 Referred to Assignments Committee on 3/5/20
<a href="#">SB 3061</a>	Sen. Hastings	Repeal CMS Report	Repeals a requirement that the Department of Central Management Services prepare an annual report showing, on a fiscal year by fiscal year basis, the amount by which the State's cost for health insurance coverage for retirees of the State's universities and their survivors has declined as a result of requiring some of those retirees and survivors to contribute to the cost of their basic health insurance.		Senate Re-Referred to Assignments Committee on 4/12/20
<a href="#">SB 3318</a>	Sen. Morrison	Governmental Ethics Reforms	Creates a uniform statement of economic interests beginning with statements filed in 2020. Requires the following information to be disclosed in the uniform statement of economic interests: (1) Each asset with a value in excess of \$5,000; (2) Each source of income in excess of \$1,200 and the transaction dates for assets that generated more than \$5,000 in capital gains when sold or transferred; (3) Each creditor of a debt in excess of \$5,000; (4) Each debtor of a debt in excess of \$5,000; (5) The name of each unit of local government of which the filer was an employee, contractor, or office holder; (6) Each person known to the filer to be a registered lobbyist with any unit of government and with whom the filer has an economic or familial relationship; and (7) Each source and type of gift or honorarium valued in excess of \$500.	Nearly Identical to HB 4618 (Rep. Stava-Murray) and Similar to HA #2 to SB 1639 (Rep. Harris) and HB 3988 (Rep. Skillicorn)	Senate Referred to Assignments Committee on 2/14/20
<a href="#">SB 3391</a>	Sen. Ellman	Pension Code - Noncompliance	Imposes and increases penalties for noncompliance with the Illinois Pension Code. Authorizes the Attorney General or State's Attorney to order a pension fund's compliance with the Illinois Pension Code.		Senate Referred to Assignments Committee on 2/14/20
<a href="#">SB 3562</a>	Sen. Curran	Open Meetings Act - Review Minutes of Closed Meetings	Requires each public body to periodically meet to review minutes of all closed meetings every 6 months, or as soon thereafter as is practicable, taking into account the nature and meeting schedule of the public body. Requires committees that are ad hoc in nature to review closed session minutes at the later of: (1) 6 months from the date of the last review of closed session minutes or (2) at the next scheduled meeting of the ad hoc committee. Under current law, each public body must meet periodically, but no less than semi-annually, to review minutes of all closed meetings. Establishes that when a public body is dissolved, disbanded, eliminated, or consolidated by executive action, legislative action, or referendum, the governing body of the unit of local government in which the public body was located must review the closed session minutes of that public body.		Senate Re-Referred to Assignments Committee on 4/12/20

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">SB 3600</a>	Sen. Bennett	SURS Affected Annuitant Exemption	Exempts an employer that employs an affected annuitant to fill a posted position that has been vacant for at least 180 days from the requirement to pay the employer contribution for that annuitant for one academic year. Requires the employer to immediately notify SURS upon employing an affected annuitant under these circumstances.		Senate Re-Referred to Assignments Committee on 4/12/20
<a href="#">SB 3680</a>	Sen. Brady	Transition from Public to Nonpublic Universities	Requires each public university in Illinois to transition into a nonpublic institution of higher education, beginning on July 1, 2020. Requires each board of trustees of a public university to develop and implement a 6-year plan for the transition that includes all of the following: (1) a date upon which the university will be deemed to be a nonpublic institution of higher education; (2) the appointment of a governing board to oversee the nonpublic university; (3) a determination of all books, records, funds, and other property that will be transferred; (4) how employees, along with their health care, retirement, and all other benefits, as well as any collective bargaining agreements, will be addressed; and (5) recommendations concerning the statutory changes needed to effectuate and reflect the transition.		Senate Referred to Assignments Committee on 2/14/20
<a href="#">SB 3698</a>	Sen. Holmes	Electronic Notarization	Provides for the electronic notarization of documents. Specifically, establishes application, registration, regulatory, procedural, bonding, and insurance policy requirements for an electronic notary public. Increases the fee for appointment and commission as a notary public from \$10 to \$15. Establishes an additional fee of \$25 for commission as an electronic notary public. Modifies fees for non-electronic notarization and establishes fees for electronic notarization. Requires a notary public or an electronic notary public to keep a journal of each notarial act or electronic notarial act performed. Makes other changes.		Senate Re-Referred to Assignments Committee on 4/12/20
<a href="#">SB 3747</a>	Sen. Martinez	MWDBE Utilization and Sustainable Investing Continuing Education	Requires trustees to complete a minimum of two hours of continuing education regarding the requirements and obligations of Sections 1-109.1, 1-113.6, and 1-113.7 of the Illinois Pension Code. (These Sections contain requirements related to MWDBE utilization and sustainable investing.) Requires existing trustees to complete the continuing education within 6 months after the effective date of the legislation and at least once every three years thereafter. Requires new trustees to complete the continuing education training within 6 months after first becoming a trustee and at least once every three years thereafter.		Senate Re-Referred to Assignments Committee on 4/12/20
<a href="#">SB 3748</a>	Sen. Martinez	Emerging Investment Manager RFP Exemption	Exempts contracts for investment services with emerging investment managers from the competitive bidding requirements of the Illinois Pension Code.	Similar to SB 1671 (Sen. Martinez)	Senate Re-Referred to Assignments Committee on 4/12/20
<a href="#">SB 3749</a>	Sen. Martinez	Emerging Investment Manager Goals – Total Fees Paid	Requires the goals for the policy for the utilization of emerging investment managers to be based on the percentage of total dollar amount of fees paid under (currently, the dollar amount of) investment service contracts let to minority-owned businesses, women-owned businesses, and businesses owned by a person with a disability. Requires the annual report to the Governor and the General Assembly to include the total dollar amount of fees paid under investment contracts with emerging investment managers (currently, the percentage of the assets under the investment control of emerging investment managers) for the three separate goals. Establishes that it must be the aspirational goal for a retirement system, pension fund, or investment board to use emerging investment managers for not less than 20% of fees paid in each asset class (currently, funds under management).	Similar to SB 2060 (Sen. Martinez)	Senate Referred to Assignments Committee on 2/14/20

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">SB 3750</a>	Sen. Martinez	Emerging Investment Manager - Definition	Modifies the definition of "emerging investment manager" to include investment managers with assets under management of less than \$10 million and more than \$20 billion, if they had assets of at least \$10 million but less than \$20 billion at the time of the initial contract with the retirement system, pension fund, or investment board.	Similar to SB 1671 (Sen. Martinez)	Senate Referred to Assignments Committee on 2/14/20
<a href="#">SB 3766</a>	Sen. Fine	Non-Tenured and Adjunct Faculty - State Benefits	Requires the board of trustees of each public university and community college district to provide State benefits, including health insurance and pension benefits, for adjunct faculty teaching a combined 50% workload at any combination of public higher education institutions.	Identical to HB 5445 (Rep. Smith)	Senate Re-Referred to Assignments Committee on 4/12/20
<a href="#">SB 3810</a>	Sen. Martwick	SURS 12 Days for Month of Service Credit	Requires a participating employee to work for at least 12 days in a month (currently, 15 days in a month) in order to receive one month of service credit.		Senate Referred to Assignments Committee on 2/14/20
<a href="#">SB 3893</a>	Sen. Villivalam	BEP - Socially Disadvantaged Individuals	Modifies the definition of a "minority person" under the Business Enterprise for Minorities, Women, and Persons with Disabilities Act to include a person who qualifies as a socially disadvantaged individual. Defines a socially disadvantaged person as a person individually certified by the Business Enterprise Council as having been subjected to racial or ethnic prejudice or cultural bias within American society because of his or her identity as a member of a group and without regard to his or her individual qualities. Establishes that social disadvantage includes the following: (1) at least one objective distinguishing feature that has contributed to social disadvantage, such as race, ethnic origin, gender, disability, long-term residence in an environment isolated from the mainstream of American society, or other similar causes not common to individuals who are not socially disadvantaged; (2) personal experiences of substantial and chronic social disadvantage in American society; and (3) negative impact on entry into or advancement in the business world because of the disadvantage.		Senate Re-Referred to Assignments Committee on 6/24/20
<a href="#">SA #1 to SB 3893</a>	Sen. Villivalam	BEP - Socially Disadvantaged Persons and Economically Disadvantaged Persons	Modifies the definition of a "minority person" under the Business Enterprise for Minorities, Women, and Persons with Disabilities Act to include a person who qualifies as a socially disadvantaged person and a person who qualifies as an economically disadvantaged person. Defines a socially disadvantaged person as a person individually certified by the Business Enterprise Council as having been subjected to racial or ethnic prejudice or cultural bias within American society because of his or her identity as a member of a group and without regard to his or her individual qualities. Establishes that social disadvantage includes the following: (1) at least one objective distinguishing feature that has contributed to social disadvantage, such as race, ethnic origin, gender, disability, long-term residence in an environment isolated from the mainstream of American society, or other similar causes not common to individuals who are not socially disadvantaged; (2) personal experiences of substantial and chronic social disadvantage in American society; and (3) negative impact on entry into or advancement in the business world because of the disadvantage. Defines an economically disadvantaged person as a socially disadvantaged person whose ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same or similar line of business who are not socially disadvantaged.		SA #1 Referred to Assignments Committee on 5/27/20

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">SB 3922</a>	Sen. Harmon	FY 2020 Supplemental Budget	Appropriates \$50,000 from the General Revenue Fund to SURS for employer contributions required by the State as an employer in FY 2020. (These contributions are required due to the collectively bargained back wages paid to participating employees at the Department of Innovation and Technology pursuant to Public Act 101-7.)	Identical to HB 5746 (Rep. Harris)	Senate Referred to Assignments Committee on 2/21/20
<a href="#">SB 3950</a>	Sen. Harmon	Governor's FY 2021 Introduced Budget	Appropriates \$1,995,767,000 to SURS for the annual required State contribution for FY 2021. (Of this amount, \$1,780,767,000 comes from the General Revenue Fund, and \$215,000,000 comes from the State Pensions Fund. This amount is equal to the amount of the annual required State contribution certified by SURS for FY 2021.) Appropriates \$7,282,374 from the Education Assistance Fund for the State's contribution to the College Insurance Program. Appropriates \$37,560,255 from the General Revenue Fund to SURS for additional State contributions to CIP for FY 2021.	Identical to HB 5687 (Rep. Harris)	Senate Referred to Assignments Committee on 2/21/20
<a href="#">HJRCA 14</a>	Rep. Skillicorn	No State Tax on Retirement Income	Amends Article IX, Section 3 of the Illinois Constitution to prohibit a State tax on retirement income. Defines "retirement income" as income derived from a pension or any other retirement plan.		House Referred to Rules Committee on 1/29/19
<a href="#">HJRCA 19</a>	Rep. Skillicorn	Repeal Constitutional Pension and Retirement Rights	Repeals Article XIII, Section 5 of the Illinois Constitution (Pension and Retirement Rights).	Identical to HJRCA 20 (Rep. Sosnowski) and HJRCA 46 (Rep. Sosnowski)	House Referred to Rules Committee on 2/13/19
<a href="#">HJRCA 20</a>	Rep. Sosnowski	Repeal Constitutional Pension and Retirement Rights	Repeals Article XIII, Section 5 of the Illinois Constitution (Pension and Retirement Rights).	Identical to HJRCA 19 (Rep. Skillicorn) and HJRCA 46 (Rep. Sosnowski)	House Referred to Rules Committee on 2/13/19
<a href="#">HJRCA 21</a>	Rep. Mazzochi	Protect Accrued and Payable Pension and Retirement Benefits	Amends Article XIII, Section 5 of the Illinois Constitution to apply to benefits that are already accrued and payable under the public pension systems and public retirement systems. Establishes that nothing in Article XIII, Section 5 of the Illinois Constitution limits the power of the General Assembly to make changes to future benefit accruals or benefits not yet payable, including for existing members of any public pension or public retirement system.	Identical to SJRCA 9 (Sen. Weaver); Nearly Identical to HJRCA 38 (Rep. Mazzochi)	House Referred to Rules Committee on 2/13/19
<a href="#">HJRCA 31</a>	Rep. Skillicorn	No Bailout of Pensions for Elected Officials	Adds Article VIII, Section 5 to the Illinois Constitution to prohibit public funds from being expended for the purpose of a subsidy or bailout of a pension fund or retirement system for General Assembly members or constitutional officers.		House Referred to Rules Committee on 4/11/19
<a href="#">HJRCA 38</a>	Rep. Mazzochi	Protect Accrued and Payable Pension and Retirement Benefits	Amends Article XIII, Section 5 of the Illinois Constitution to apply to benefits that are already accrued and payable under the public pension systems and public retirement systems. Establishes that nothing in the Illinois Constitution limits the power of the General Assembly to make changes to future benefit accruals or benefits not yet payable, including for existing members of any public pension or public retirement system.	Nearly Identical to HJRCA 38 (Rep. Mazzochi) and SJRCA 9 (Sen. Weaver)	House Referred to Rules Committee on 1/29/20

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HJRCA 45</a>	Rep. Sosnowski	Change Pension Benefits	Amends Article XIII, Section 5 of the Illinois Constitution to establish that a person who has not attained 65 years of age is not entitled to receive a retirement pension. Establishes that automatic annual increases to any pension cannot exceed the lesser of 2% of the pension amount or the annual unadjusted percentage increase (but not less than zero) in the consumer price index, compounded. Prohibits a pension from exceeding \$110,000 annually, except that the \$110,000 is annually increased by the lesser of 2% or the annual unadjusted percentage increase (but not less than zero) in the consumer price index, compounded.		House Referred to Rules Committee on 2/20/20
<a href="#">HJRCA 46</a>	Rep. Sosnowski	Repeal Constitutional Pension and Retirement Rights	Repeals Article XIII, Section 5 of the Illinois Constitution (Pension and Retirement Rights).	Identical to HJRCA 19 (Rep. Skillicorn) and HJRCA 20 (Rep. Sosnowski)	House Referred to Rules Committee on 2/26/20
<a href="#">SJRC A 5</a>	Sen. Schimpf	Budget - Continuing Appropriations	Amends Article VIII, Section 2 of the Illinois Constitution to establish that if the General Assembly fails to pass a State budget by May 31st, then existing appropriations for the previous fiscal year are in effect in the new fiscal year and all subsequent fiscal years until amended or eliminated by the General Assembly.		Senate Referred to Assignments Committee on 2/13/19
<a href="#">SJRC A 9</a>	Sen. Weaver	Protect Accrued and Payable Pension and Retirement Benefits	Amends Article XIII, Section 5 of the Illinois Constitution to apply to benefits that are already accrued and payable under the public pension systems and public retirement systems. Establishes that nothing in Article XIII, Section 5 of the Illinois Constitution limits the power of the General Assembly to make changes to future benefit accruals or benefits not yet payable, including for existing members of any public pension or public retirement system.	Identical to HJRCA 21 (Rep. Mazzochi); Nearly Identical to HJRCA 38 (Rep. Mazzochi)	Senate Referred to Assignments Committee on 2/15/19
<a href="#">HJR 16</a>	Rep. Murphy (Sen. Manar)	State Sponsored Health Clinic Task Force	Resolves that the State Sponsored Health Clinic Task Force is created to study the possibility of implementing a State of Illinois sponsored health clinic for state employees, dependents, and retirees, with the purpose of providing quality care and annual savings to the State's overall group insurance costs.		Resolution Adopted in Both Houses on 5/31/19 (House: 113-0-0; Senate: 50-1-0)
<a href="#">HJR 93</a>	Rep. Harris (Sen. Castro)	Joint Commission on Ethics and Lobbying Reform	Resolves that the Joint Commission on Ethics and Lobbying Reform is created to: (1) review best practices concerning governmental ethics from local governments and other states; (2) seek input from experts and the general public concerning proposals to improve governmental ethics; and (3) review and make recommendations for changes to the State Officials and Employees Ethics Act, the Illinois Governmental Ethics Act, the Lobbyist Registration Act, the Public Officers Prohibited Activities Act, and Article 50 of the Illinois Procurement Code to improve public trust in government.		Resolution Adopted in Both Houses on 11/14/19 (House: 111-4-0; Senate: 32-18-0)
<a href="#">HJR 124</a>	Rep. Madigan	Graduated Income Tax Constitutional Amendment Information	Describes how the graduated income tax constitutional amendment will be presented to voters for consideration at the November 2020 general election. Specifically, provides for an explanation of the proposed amendment, arguments in favor of the amendment, and arguments against the amendment, and the form of ballot.	Identical to SJR 1 (Sen. Harmon)	House Re-Referred to Rules Committee on 6/23/20
<a href="#">HJR 126</a>	Rep. McSweeney	Withdraw Graduated Income Tax Constitutional Amendment	Resolves that the proposal to amend the Illinois Constitution authorized by SJRCA 1 is withdrawn from the general election ballot for November 3, 2020.	Identical to SJR 66 (Sen. Brady)	Filed with the Clerk on 5/26/20

101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">HJR 128</a>	Rep. Ammons	Racism - Public Health Crisis	Declares racism to be a public health crisis affecting Illinois. Asks the Governor to establish a working group to promote racial equity throughout Illinois. Supports the establishment of a working group to promote racially equitable economic and workforce development in Illinois, promote racially equitable hiring and promotion of all employees, and provide training on workplace biases and how to mitigate them (among other responsibilities). Commits to reviewing Illinois law with a racial equity lens. Commits to conducting human resource, vendor selection, and grant management activities with a racial equity lens, including reviewing internal policies and practices such as hiring, promotion, leadership appointments, and funding.		Filed with the Clerk on 10/1/20
<a href="#">HR 6</a>	Rep. Flowers	Urge Solution to Windfall Elimination Provision	Resolves that the Illinois House of Representatives urges President Trump and the United States Congress to continue to work to find a solution to the problems created by the Windfall Elimination Provision.		Resolution Adopted in the House on 5/15/19
<a href="#">HR 27</a>	Rep. McSweeney	Oppose Educational Pension Cost Shift	Resolves that the Illinois House of Representatives states its belief that an educational pension cost shift is financially wrong and would only serve to shift pension burdens from the State to the status of an unfunded mandate.	Similar to HR 117 (Rep. Skillicorn)	House Re-Refered to Rules Committee on 7/2/19
<a href="#">HR 32</a>	Rep. McSweeney	Oppose Tax on Retirement Income	Resolves that the Illinois House of Representatives states its belief that the Illinois Income Tax Act should not be amended to permit taxing retirement income.	Identical to SR 127 (Sen. T. Cullerton)	House Re-Refered to Rules Committee on 7/2/19
<a href="#">HR 117</a>	Rep. Skillicorn	Oppose Educational Pension Cost Shift	Resolves, by the Illinois House of Representatives, that the normal cost of pensions for state educators is the responsibility of the state and that the General Assembly should not use the current budget crisis as a reason to shift its financial responsibility for state pension costs to local taxpayers.	Similar to HR 27 (Rep. McSweeney)	House Referred to Rules Committee on 2/19/19
<a href="#">HR 151</a>	Rep. Skillicorn	Oppose Sale of Illinois Tollway	Opposes any plan to sell the Illinois Tollway. (In its introductory provisions, HR 151 refers to the potential sale of the Illinois Tollway to improve the funded status of public pensions.) Makes other resolutions.	Similar to SR 144 (Sen. T. Cullerton)	House Re-Refered to Rules Committee on 7/2/19
<a href="#">HR 343</a>	Rep. E. Hernandez	Urge Divestment from For-Profit Companies that Contract to Shelter Migrant Children	Resolves, by the Illinois House of Representatives, that the State of Illinois is urged to divest from any for-profit companies that contract to shelter migrant children. Resolves that suitable copies of the resolution be delivered to the Illinois State Board of Investment, the State Universities Retirement System, the Teachers' Retirement System, and the Office of the Governor.		Resolution Adopted in the House on 5/30/19
<a href="#">HR 600</a>	Rep. Butler	Oppose Chicago + State Pension Consolidation	Resolves that the Illinois House of Representatives urges Governor Pritzker and the Illinois Pension Consolidation Feasibility Task Force, in further studying the impact of any pension consolidation proposal, to refuse any attempt to consolidate downstate and suburban pension funds with the City of Chicago or Cook County pension funds or any attempt for the State of Illinois to absorb any liability for any City of Chicago or Cook County pension funds.		House Referred to Rules Committee on 11/13/19
<a href="#">HR 727</a>	Rep. Sosnowski	Oppose Financial Transaction Tax	Resolves, by the Illinois House of Representatives, that they support the continued health and operation of the financial transaction markets of Illinois and oppose the concept of a privilege tax on financial transactions. Resolves that any tax of this type will be passed on to burden the savings activities of people all over the world, including Illinois workers saving for retirement.		House Re-Refered to Rules Committee on 6/23/20



101st General Assembly					
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status
<a href="#">SJR 1</a>	Sen. Harmon (Rep. Madigan)	Graduated Income Tax Constitutional Amendment Information	Describes how the graduated income tax constitutional amendment will be presented to voters for consideration at the November 2020 general election. Specifically, provides for an explanation of the proposed amendment, arguments in favor of the amendment, and arguments against the amendment, and the form of ballot.	Identical to HJR 124 (Rep. Madigan)	Resolution Adopted in Both Houses on 5/22/20 (Senate: 36-19-0; House: 71-45-0)
<a href="#">SJR 66</a>	Sen. Brady	Withdraw Graduated Income Tax Constitutional Amendment	Resolves that the proposal to amend the Illinois Constitution authorized by SJRCA 1 is withdrawn from the general election ballot for November 3, 2020.	Identical to HJR 126 (Rep. McSweeney)	Senate Referred to Assignments Committee on 5/19/20
<a href="#">SR 127</a>	Sen. T. Cullerton	Oppose Tax on Retirement Income	Resolves that the Illinois Senate states its belief that the Illinois Income Tax Act should not be amended to permit taxing retirement income.	Identical to HR 32 (Rep. McSweeney)	Senate Referred to Assignments Committee on 2/20/19
<a href="#">SR 144</a>	Sen. T. Cullerton	Oppose Sale of Illinois Tollway	Resolves, by the Illinois State, that it states its firm opposition to any sale or lease of the properties of the State toll highway system and to any redirection of any portion of the tolls paid for the operation and maintenance of the State toll highway system for the enrichment of private parties. (In its introductory provisions, SR 144 indicates the belief that using the Illinois Tollway as a revenue source for Illinois' pension funds violates Article IX, Section 11 of the Illinois Constitution.) Makes other resolutions.	Similar to HR 151 (Rep. Skillicorn)	Senate Referred to Assignments Committee on 2/27/19
<a href="#">SR 973</a>	Sen. McConchie	Audit of Boards and Commissions	Resolves, by the Illinois Senate, that the Illinois Auditor General is directed to conduct a management audit of the State's boards and commissions. Resolves that the audit include, but not be limited to, the following for every known State board and commission: (1) its name and purpose; (2) the number of appointed members and the number of vacancies and the length of the vacancies; (3) costs of member stipends, salaries, and per diems and expense reimbursements to members and State officials and employees for attending board and commission meetings during Fiscal Years 2019 and 2020; (4) the date of each board's or commission's meetings during Fiscal Years 2019 and 2020 and the number of members in attendance and the number of members absent; and (5) identification of any report or work product prepared and made available by the board or commission during Fiscal Years 2019 and 2020. Resolves that the Illinois Auditor General commence this audit as soon as possible and report his or her findings and recommendations upon completion.		Senate Referred to Assignments Committee on 2/4/20
<a href="#">SR 1201</a>	Sen. Harmon	Senate Rule Changes	Resolves to amend the Senate Rules as follows: (1) The President of the Senate, in consultation with the Minority Leader of the Senate, may establish a process by which Senators and members of the public may participate remotely in hearings for standing committees, special committees, subcommittees or special subcommittees, and service committees; (2) The Senate Government Accountability and Pensions Committee is split into two separate committees: the Senate Government Accountability and Ethics Committee; and the Senate Pensions Committee; and (3) In times of pestilence or public danger, the Senate may adopt a motion to allow a member to remotely participate and vote in the regular and special sessions of the Senate, provided that at all times a quorum of members is physically present at the location of session.		Resolution Adopted in the Senate on 5/24/20 (54-0-0)



## MEMORANDUM

**To:** Legal & Legislative Committee

**From:** Albert J. Lee, Associate General Counsel

**Date:** November 20, 2020

**Re:** January 2021 Regulatory Agenda

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This memorandum summarizes the rulemaking topics appearing on the proposed January 2021 Regulatory Agenda. Section 5-60 of the Illinois Administrative Procedures Act (5 ILCS 100-5-60) requires each agency to submit a regulatory agenda for publication in the Illinois Register by January 1 and July 1 of each year. The aim of the regulatory agenda is to solicit public comment on future rulemakings that the agency is considering, but for which no First Notice has yet been submitted to the Illinois Register. SURS is not required to promulgate rules appearing in the agenda, and it is not prohibited from proposing rules not appearing in the agenda. Rather, the goal is to give the public a sense of the rulemaking activities SURS might pursue in the coming year.

**1. Amend Section 1600.150 (Group Trust Provisions) to expressly include the SURS Deferred Compensation Plan as a participating trust in the Group Trust and to update the reference to the Self-Managed Plan to the Retirement Savings Plan.**

SURS recently adopted a new 457(b) Deferred Compensation Plan (DCP) that allows SURS participants to set aside additional retirement savings in a defined contribution plan administered by Voya. Employees will contribute to the DCP through “elective deferrals” that are deducted from paychecks by each participating employer and then forwarded to SURS. SURS will deposit the contributions into a group trust that has commingled assets from the SURS defined benefit plans and the SURS Retirement Savings Plan (formerly, the Self-Managed Plan). The contributions will be sent to Voya to be recordkept in the employee’s DCP account. The current rule specifies that the group trust contains defined benefit plan and RSP assets but does not expressly include DCP assets. The proposed rulemaking will make the inclusion of the DCP explicit to ensure that the group trust provisions conform with IRS requirements and conform the references to the Self-Managed Plan to the RSP.

**2. Add Section 1600.200 Definition of Employee to implement Public Act 99-0897, effective January 1, 2017, regarding the definition of "employee" under Section 15-107 of the Illinois Pension Code.**

Public Act 99-0897, effective January 1, 2017, granted the SURS Board with the authority to make the final determination as to who is an "employee" for purposes of participation in SURS under new Section 15-107(k) of the Illinois Pension Code. Additionally, Public

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Act 99-0897 authorized the Board to promulgate rules on the topic. Prior to this legislation, SURS abided by the Fourth Appellate District's decision under *Wargo v. State Universities Retirement System*, 106 Ill. App. 3d 930 (1982), that held that employers were to construe who was an "employee", rather than the Board. Employer comments were solicited concerning a preliminary draft rule and are anticipated to be received by the comment deadline of November 30, 2020.

**3. Amend Section 1600.420 Making Preliminary Estimated Payments to include procedures for holding payments when the member has not responded to informational requests, and to suspend the benefit after a period of non-compliance with the request.**

This amendment would apply Section 15-168 of the Illinois Pension Code (Item #6, below) to Preliminary Estimated Payments (PEPs). SURS begins paying PEPs on the 1st of the month on or following the effective date of retirement while benefits are being calculated and employment information is being verified with employers. Pursuant to Section 15-168(c) of the Illinois Pension Code, the rulemaking would permit PEPs to be suspended if an annuitant is unresponsive to informational requests necessary for the finalization of the benefit after 90 days of the request.

**4. Amend Section 1600.450 Overpayment Recovery to address *de minimis* revisions to benefit calculations and deductions for overpayments of less than \$100.**

This amendment would address small overpayments that are generated from revisions in benefits. These revisions may occur if an employer is delayed in submitting earnings or termination information, or if such data is revised by an employer. The rulemaking would create a *de minimis* rule that would allow staff to write off small overpayments balances of less than \$100.

**5. Promulgate rules to establish acceptable documentary evidence for demographic information such as birth dates and marital status.**

Currently, SURS accepts government-issued forms of identification and documentation to substantiate birth dates and marital status. The rulemaking under consideration would provide procedures in cases where SURS needs substantiation from members and beneficiaries living in foreign countries that may not have the same types of official documentation available in the U.S. Furthermore, the rulemaking may address topics such as identity verification and the substitute notarial requirements for members residing overseas.

**6. Promulgate rules to provide specific definitions and procedures to implement 40 ILCS 5/15-168 (concerning information requests necessary for the proper administration of the System and suspensions/penalties for non-compliance).**

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In the event that a participant, beneficiary, or annuitant fails to respond to a request for information that is necessary for the calculation, payment, or finalization of any SURS benefit within 90 days of the request, Section 15-168(c) of the Illinois Pension Code permits SURS to "immediately cease processing the benefit and may not pay any additional benefit payment... until the requested information is provided." The rulemaking would provide more specificity as to the situations in which an information request would trigger the suspension and any potential exemptions.

**7. Promulgate rules to clarify SURS' use of Reciprocal service to advance the step-rate formula used under Rule 4 of Section 15-136 of the Illinois Pension Code (40 ILCS 5/15-136) pertaining to police officers and firefighters.**

This rulemaking will be in response to an inquiry from Internal Audit regarding the calculation reciprocal retirement benefits involving the police officer/firefighter formula under Rule 4 of Section 15-136 of the Illinois Pension Code. Rule 4 grants retirement benefits using a percentage multiplier that increases with service accrued as a police officer or firefighter ("2 1/4% of the final rate of earnings for each of the first 10 years of service as a police officer or firefighter, 2 1/2% for each of the next 10 years of service as a police officer or firefighter, and 2 3/4% for each year of service as a police officer or firefighter in excess of 20.") SURS practice has been to use prior service credit accrued under a reciprocal retirement system for purposes of advancing the Rule 4 percentage multiplier. However, when applying the percentage to service, SURS uses police officer or firefighter service accrued under SURS, only. The proposed rulemaking will codify this practice.

**8. Promulgate rules to clarify SURS' benefit forfeiture procedures and refund calculation methods arising from work-related felonies under Section 15-187 of the Illinois Pension Code (40 ILCS 5/15-187).**

Section 15-187 states, "None of the benefits provided under this Article shall be paid to any person who is convicted of any felony relating to or arising out of or in connection with a person's service as an employee from which the benefit derives." Although benefits are forfeited as of the felony sentencing date, the statute provides an absolute right to a refund of contributions without interest as held by the Illinois Supreme Court in *Shields v. Judges' Retirement System*, 204 Ill. 2d 488 (Ill. 2003). The proposed rulemaking will codify administrative practices concerning this provision.

**9. Amend Sections 1600.700 (Nomination of Candidates) and 1600.710 (Petitions) to permit electronic signatures for petitions that nominate either a participant or annuitant candidate in a trustee election through an independent, third-party vendor.**

The proposed rulemaking will amend Section 1600.700 (Nomination of Candidates) and Section 1600.710 (Petitions) to allow SURS to offer the services of a third-party vendor who can create a secure portal through whom SURS members can submit their "written signatures" electronically for candidate nomination petitions. Doing so will reduce close, face-to-face contact between candidates (and family/friends who may be assisting them in

Memo to Legal & Legislative Committee  
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the signature collection process) and our members to reduce safety concerns relating to COVID-19.

- 10. Amend Section 1600.730 (Return of Ballots and Ballot Counting Process) to address the order of priority given to elected trustees if more than the maximum number of trustees are elected from the University of Illinois campuses during an election for trustee seats that have different terms due to an election for an unexpired term occurring at the same time as an election for a full six (6) year term. Under that scenario, if more than the maximum number of annuitant or participating member candidates from the University of Illinois campuses receive the highest number of votes in an unexpired term election and in a full six-year term election, the candidate(s) running for the full six-year term(s) shall be declared the winner(s). After reaching the maximum number of trustees that are allowed to serve from the University of Illinois campuses, the candidate(s) with the next highest number of votes running for the unexpired term(s) that are not from one of the University of Illinois campuses shall be declared the winner(s).**

This proposed rulemaking will provide procedures to follow if the scenario as described arises with respect to elections that have unexpired terms and full terms so that the Board can comply with the limits for trustees affiliated with the University of Illinois under Sections 15-159(d)(3) and (4) of the Illinois Pension Code.

**Staff Recommendation: That the Legal & Legislative Committee approve the January 2021 Regulatory Agenda for publication in the Illinois Register.**

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ILLINOIS REGISTER

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STATE UNIVERSITIES RETIREMENT SYSTEM

JANUARY 2021 REGULATORY AGENDA

a) Part (Heading and Code Citation): Universities Retirement (80 Ill. Adm. Code 1600)

1) Rulemaking:

A) Description: The System anticipates rulemaking affecting the following:

Amend Section 1600.150 (Group Trust Provisions) to expressly include the SURS Deferred Compensation Plan as a participating trust in the Group Trust and to update the reference to the Self-Managed Plan to the Retirement Savings Plan.

Add Section 1600.200 (Definition of Employee) to implement Public Act 99-0897, effective January 1, 2017, regarding the definition of "employee" under Section 15-107 of the Illinois Pension Code.

Amend Section 1600.420 (Making Preliminary Estimated Payments) to include procedures for holding payments when the member has not responded to informational requests, and to suspend the benefit after a period of non-compliance with the request.

Amend Section 1600.450 (Overpayment Recovery) to address *de minimis* revisions to benefit calculations and deductions for overpayments of less than \$100.

Promulgate rules to establish acceptable documentary evidence for demographic information such as birth dates and marital status.

Promulgate rules to provide specific definitions and procedures to implement 40 ILCS 5/15-168 (concerning information requests necessary for the proper administration of the System and suspensions for non-compliance by members and penalties for non-compliance by employers).

Promulgate rules to clarify SURS' use of Reciprocal service to advance the step-rate formula used under Rule 4 of Section 15-136 of the Illinois Pension Code (40 ILCS 5/15-136) pertaining to police officers and firefighters.

Promulgate rules to clarify SURS' benefit forfeiture procedures and refund calculation methods arising from work-related felonies under Section 15-187 of the Illinois Pension Code (40 ILCS 5/15-187).

ILLINOIS REGISTER

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## STATE UNIVERSITIES RETIREMENT SYSTEM

## JANUARY 2021 REGULATORY AGENDA

Amend Sections 1600.700 (Nomination of Candidates) and 1600.710 (Petitions) to permit electronic signatures for petitions that nominate either a participant or annuitant candidate in a trustee election through an independent, third-party vendor.

Amend Section 1600.730 (Return of Ballots and Ballot Counting Process) to address the order of priority given to elected trustees if more than the maximum number of trustees are elected from the University of Illinois campuses during an election for trustee seats that have different terms due to an election for an unexpired term occurring at the same time as an election for a full six (6) year term. Under that scenario, if more than the maximum number of annuitant or participating member candidates from the University of Illinois campuses receive the highest number of votes in an unexpired term election and in a full six-year term election, the candidate(s) running for the full six-year term(s) shall be declared the winner(s). After reaching the maximum number of trustees that are allowed to serve from the University of Illinois campuses, the candidate(s) with the next highest number of votes running for the unexpired term(s) that are not from one of the University of Illinois campuses shall be declared the winner(s).

- B) Statutory Authority: Article 15 of the Illinois Pension Code, 40 ILCS 5/15-177.
- C) Scheduled meeting/hearing dates: Written comments may be submitted during the 45-day public comment period following publication of the proposed rule in the *Illinois Register*. No public hearings are anticipated.
- D) Date agency anticipates First Notice: Spring through Fall 2021
- E) Effect on small businesses, small municipalities or not for profit corporations:  
None
- F) Agency contact person for information:

Bianca T. Green, General Counsel  
State Universities Retirement System  
1901 Fox Drive  
Champaign, IL 61820

ILLINOIS REGISTER

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STATE UNIVERSITIES RETIREMENT SYSTEM

JANUARY 2021 REGULATORY AGENDA

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- G) Related rulemakings and other pertinent information: Other Amendments may be necessary based on emergent issues.





## **MEMORANDUM**

**To: Legal & Legislative Committee**

**From: Albert J. Lee, Associate General Counsel**

**Date: November 20, 2020**

**Re: December 2020 Rulemaking Update**

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### **I. Rules for First Notice Publication**

In June 2020, the Board approved a proposed rulemaking amending Section 1600.730 to make changes to the trustee elections process. The proposed changes addressed the potential problem that could occur when elections for unexpired trustee seats and regular six-year seats occur in the same election. In such cases, the number of University of Illinois-affiliated trustees could exceed their statutory limits (a maximum of one annuitant trustee and two contributing participant trustees may be affiliated with the University of Illinois). If there is an excess number of winning candidates from the University of Illinois, the proposed regulation will give priority to the winning candidates of the six-year seats until the maximum number of University of Illinois-affiliated trustees is reached. All remaining seats will be filled according to the next highest vote-getters who are not affiliated with the University of Illinois. This proposed rulemaking has been published for First Notice in the Illinois Register at 44 Ill. Reg. 15979 (Sep. 25, 2020). Please note that any comments received from the public on the substance of the rulemaking should be forwarded to the SURS Legal Department.

### **II. Rules for Emergency and Concurrent First Notice Filing**

In October 2020, the Board approved a proposed emergency and permanent rulemaking to amend Section 1600.700 (Nomination of Candidates) and Section 1600.710 (Petitions) to allow SURS to offer the services of a third-party vendor who can create a secure portal through whom SURS members can submit their “written signatures” electronically for candidate nomination petitions. Doing so will reduce close, face-to-face contact between candidates (and family/friends who may be assisting them in the signature collection process) and our members during a time when doing so can be dangerous. These rules are currently going through the emergency and permanent rule filing process with the Joint Committee on Administrative Rules and the Secretary of State.

# NOVEMBER COMPLIANCE REPORT (2020)

Report Owner: Compliance Officer		* indicates new requirement/change in requirement			Complete/Next due > 30 days	Due < 30 days	Past Due/Missed	
Department	Report	Filed With	Frequency	Statute/Rule	Last Filed	Next Due	Status	Notes
Administration	Travel Exception Report	IHETCB	Quarterly	80 IL 2900.105	10/1/2020	12/31/2020		
Administration	Drivers License & Insurance Certification	U of I	Annual	625 ILCS 5/7-203 & JCAR 44 Sec 5040.500	6/30/2020	6/30/2021		
Administration	Certification of Board Training Requirement	DFPR-Public Pension/Insurance Div	Annual	40 ILCS 5/1/113.18	7/27/2020	6/30/2021		
Administration	Notice of Regularly Scheduled Board Meetings	SURS Lobby and SURS website	Annual	5 ILCS 120.202	Dec-19	Dec-20		
Administration	Oath of Office	Internal	Ad Hoc	40 ILCS 5/15-159(h)	As needed	As needed		
Administration	Disclosure of Appointee Interest in State Contracts	SOS	Ad Hoc	5 ILCS 420/3A.30	As needed	As needed		
Administration	Trustee Indemnification Agreements	Internal & Fiduciary Council	Ad Hoc	40 ILCS 5/1-107 & Board Governance Bylaws 1.1	As needed	As needed		
Administration	iPad User Agreements	Internal	Ad Hoc	Internal Requirement	As needed	As needed		
Audit	Deceased Annuitant Reporting	Internal	Quarterly	30 ILCS 805/8.40	9/11/2020	12/31/2020		
Audit	Fiscal Year Audit Completion Report	Internal - Filed with the Executive Director	Annual	Fiscal Control & Auditing Act	8/15/2020	9/30/2021		
Audit	FCIAA Internal Control Certification	Auditor General	Annual	FCIAA	4/27/2020	5/1/2021		
Audit	Two Year Audit Plan	Internal/ ED approval/A & R Committee	Annual	30 ILCS 10/2003	6/30/2020	6/30/2021		
Audit	Submission of System Audit (due after FY end)	Governor - submitted by SURS and by the Auditor General	Annual	State Auditing Act and 30 ILCS 5/3-14 and 40 ILCS 5/15-174	FY19 - 3/10/2020	FY20		
Finance	CAFR	Internal	Annual	40 ILCS 5/15-174	FY19 - Dec 2019	FY20		
Finance	GAAP	Comptroller	Annual (by 10/15)	PA 097-1055/ Financial Reporting Standards Board Act	9/25/2020	10/15/2021		
Finance	Public Accountability Report	Comptroller	Annual (by 11/15)	SAMS	11/15/2019	12/15/2020		This deadline was extended to 12/15 due to COVID. Regular due date is 11/15.
Finance	IRS Form 941-Employer Fed Tax Return Form	IRS	Quarterly	IRS CODE	10/29/2020	1/31/2021		
Finance	IRS Form 945 Annual Return of Withheld Federal Tax	IRS	Annual	IRS Code	1/31/2020	1/31/2021		
Finance	Cash Receipts and Disbursement Reports	Comptroller	Quarterly	SAMS	10/30/2020	12/31/2020		
Finance	Agency Fixed Asset Report	Comptroller	Quarterly	SAMS	11/20/2020	12/31/2020		An email error resulted in a late filing.
Finance	Accounts Receivable	Comptroller	Quarterly	SAMS	10/30/2020	12/31/2020		
Finance	Report on SMP Participation Rate	COGFA	Annual	40 ILCS 5/15-158.3	10/22/2020	11/15/2021		
Finance	Cert. of State Contribution and CIP	Governor, CMS, and Comptroller	Annual (final by 1/15)	40 ILCS 5/14A	1/7/2020	1/15/2021		
Finance	Department of Insurance Report	Pension Division of Illinois Dept. of Ins.	Annual	40 ILCS 5/1A-109	12/30/2019	12/31/2020		
Finance	IRS Form 1099R (FIRE)	IRS	Annual	IRS CODE	5/1/2020	4/30/2021		Ext. Rec.
Finance	IRS 1042: Withholding US income of Foreign Persons	IRS	Annual	IRS CODE	3/12/2020	3/15/2021		
Finance	Report on Voluntary Deductions	Comptroller	Annual (by 3/31)	5 ILCS 340/8	1/9/2019	3/31/2021		
Finance	Comptroller Agency Invoice	Comptroller	Annual	PA 98-0228	9/21/2017	No longer required		By law, we file monthly Debt Transparency Reports instead.
Finance	Fee Imposition Report	Comptroller	Annual by 9/1	15 ILCS 405/16.2	7/31/2020	7/31/2021		
Finance	5 year review of 90% funding target	COGFA	5 years	40 ICLS 1-103.3	12/31/2015	12/31/2020		
Finance	Certification of Overpayments	Internal and Board of Trustees	Determined Internally	80 Ill Adm. Code Sec.1600.450	As needed	As needed		
Finance	IRS W-3	IRS	Annual	IRS Code	1/28/2020	1/31/2021		
Finance	Information to COGFA	COGFA	Ad Hoc/At will	40 ILCS 5/22-803	As needed	As needed		
Finance	Debt Transparency Report	Comptroller	Monthly (due on the 10th)	30 ILCS 105/9.08	11/2/2020	12/10/2020		
Finance	Illinois Revised Unclaimed Property Act	Treasurer's Office	Annual report due 11/1	765 ILCS 1026/15-403	1st due 11/1/20	annually		
Finance/Mem Serv	Fin. Stmts to Participants/ Serv. Cred Stmts	Annuitants	As requested	40 ILCS 5/15-175	Ongoing	ongoing		
Finance/Mem Serv	Reports to Reciprocals	Recip Systems	As requested	40 ILCS 5/20-126	Ongoing	ongoing		
Human Resources	Separation Report	State Universities Civil Service System	Monthly (w/n 10 days EOM)	Civil Service Rule 250.30	11/9/2020	12/10/2020		
Human Resources	Monthly Wage Report	IDES taxnet Online	Monthly (EXCEPT 1/4/7/10)	IDES PA 97-0689	11/10/2020	12/31/2020		
Human Resources	Form UI-3	IDES taxnet Online	Quarterly (1/31, 4/30, 7/31/10/31)	IDES PA 97-0689	10/28/2020	1/31/2021		
Human Resources	Report of Employee Served	State Universities Civil Service System	Quarterly (w/n 10 days EOQ)	Civil Service Rule 250.30	10/2/2020	1/10/2021		
Human Resources	Exempt Employees Report	State Universities Civil Service System	Quarterly	110 ILCS 70/36e	10/2/2020	1/31/2021		
Human Resources	Occupational Ethnic and Gender Report	State Universities Civil Service System	Quarterly (w/n 10 days EOQ)	Civil Service Rule 250.3.1	10/2/2020	1/31/2021		
Human Resources	Agency Workforce Report	Secretary of State; Office of Governor	Annual	5 ILCS 410/20	12/31/2019	12/31/2020		
Human Resources	TA-2	Legislative Audit Commission	Bi-Annually	St. Fin. Act 30 ILCS 105/12-3	7/1/2020	1/31/2021		
Human Resources	I-9 Eligibility	Internal In File	Ad Hoc w/n 20 days of hire	Dept. Homeland Security	Time of Hire	As needed		

# NOVEMBER COMPLIANCE REPORT (2020)

Information Technology	Data Breach Report - Personal Info Protection Act	Notice to resident "in the most expedient time possible and without unreasonable delay."	Ad Hoc	815 ILCS 530/10	Eff. 1/1/20	As needed	
Human Resources	SSA 1945	Internal In File	Ad Hoc w/n 20 days of hire	SSA	Time of Hire	As needed	
Investments	Investment Update Report	Online Surs.org	75 days after month end	Internal procedure - monthly	Sep posted 11/23/20 Oct. due 12/15/2020		These reports are often delayed - waiting for private equity information
Investments Investments	Investments Information Report - Monthly Report to Gov. on MWDB Firms/HR/Vendors	Online Surs.org Governor	Monthly (by the 15th) Annual	30 ILCS 237/10 PA 93-0499 40 ILCS 5/1-109	11/10/2020 12/19/2019	12/15/2020 1/1/2021	
Investments	Consultant Report on MWDB searches*	SURS Board of Trustees	Annual	40 ILCS 5/1-113.22	Both - 1/31/20	1/1/2021	These are filed in the same document with the item below. Both statutes, as written, are impossible to meet. Auditors have accepted 1/31 as the due date.
Investments	Consultant Report on Economic Opportunities*	SURS Board of Trustees	Annual	40 ILCS 5/1-113.23	Both - 1/31/20	1/1/2021	
Investments	Quinquennial Rep. US Owned Foreign Securities**	Federal Reserve Bank	Only upon request 5 years	22 USC Sec 3101	2012	Not due until 2022	*req. threshold for reporting not met for 2018. Next reporting year = 2022 and only if we meet the reporting threshold.
Investments Investments Investments	Restricted Companies Divestiture Reporting* Illinois Finance Entity/High Risk Home Loan Act Cert. Invest in Illinois	Illinois Investment Policy Board DFPR-Public Pension/Insurance Div Governor	Annual Annual Annual	40 ILCS 5/1-110.16 40 ILCS 5/1-110.10 PA 96-0753	3/27/2020 8/28/2020 8/28/2020	4/1/2021 9/30/2021 9/1/2021	
Investments	Annual SEC ADV's from Financial managers	SEC	Annual-120 days from FY end	US Investment Advisors Act of 1940	Ongoing	Ongoing	*not all managers are required to file by 4/1
Investments Investments Investments Investments Investments Investments	Investment Manager Fee Disclosure Written Investment Policies IS Policy Exemptions, Forms and Contract Summaries Qualified FOF Mgmt. Services Contract Summaries Annual Certification of Fiduciary Duty and Insurance Investment Policy - Initial Filing and Updates	SURS.org website Illinois Department of Insurance Illinois Procurement Policy Board SURS.org website Internal on file Illinois Department of Insurance	Quarterly Ad Hoc Ad Hoc Ongoing Annual Ad Hoc	40 ILCS 5/1-113.14 40 ILCS 5/1-113.6 40 ILCS 5/1-113.14 40 ILCS 5/1-113.15 contract provision 40 ILCS 5/1-113.17	9/1/2020 As needed As needed As needed 9/1/2020 1/30/2020	12/31/2020 As needed As needed As needed 9/1/2021 w/30 days of update annually	
Investments	Illinois Sustainable Investing Act Report	Illinois Department of Insurance	Annual	30 ILCS 238	1/31/2020		
Legal & Ethics	Anti-Discrimination and Harassment Training for Lobbyists	OEIG	Annual	25 ILCS 170/4.7	No longer required	No longer required	
Legal & Ethics	Ethics Training for Registered Lobbyists	Secretary of State	Annual	25 ILCS 170/4.5	No longer required	No longer required	SURS is no longer registered as a lobbyist. This item will be removed.
Legal & Ethics	Lobbying Expenditure Report (20th)	Secretary of State	Monthly	25 ILCS 170/6	No longer required	No longer required	
Legal & Ethics	Lobbying Expenditure Report (5th)	Secretary of State	Monthly	25 ILCS 170/6	No longer required	No longer required	
Legal & Ethics	Statement of Economic Interests (Board and Execs)	Secretary of State	Annual	5 ILCS 420/4A-101	8/3/2020	5/1/2021	Ext. to 8/3 for 2020
Legal & Ethics	Annual Ethics Training for Trustees	Internal-Cert of Completion to EO	Annual	5 ILCS 430/5-10	7/25/2020	6/30/2021	
Legal & Ethics	Ethics Training Plan/Ethics Training State Employees- Part 1- staff only online	Office of the Inspector General	Annual	5 ILCS 430/5-10	6/30/2020	6/30/2021	
Legal & Ethics	ARDC Registration	ARDC	Annual	128 Ill.2d 351, 538 NE 2d 1152	12/31/2019	12/31/2020	
Legal & Ethics	FOIA Officer Training & Annual Certification	IAG	Annual	5 ILCS 140/3.5	Jan-19	12/31/2020	
Legal & Ethics	Ethics Officer Designation Notice	IL Executive Ethics Commission	As Needed/Ad Hoc	2 IL 1620.83	As needed	as needed	
Legal & Ethics	Revolving Door Policy Sign off	Executive Inspector General	As Needed/Ad Hoc	5 ILCS 430/5-5-45	Time of Hire	As needed	
Legal & Ethics	Ex Parte Communications Reports	Executive Ethics Commission	As Needed/Ad Hoc	5 ILCS 430/5-50	9/13/2017	As needed	
Legal & Ethics	OMA Trustee Training	IAG	One Time	5 ILCS 120/1.05	current various dates	As needed	
Legal & Ethics	QILDRO Forms Electronically Available	SURS.org website	Ongoing requirement	40 ILCS 5/1-119	current	as updated	

# NOVEMBER COMPLIANCE REPORT (2020)

Legal & Ethics	OMA Officer Training	IAG	Annual	5 ILCS 120/1.05	BTG 12/30/2019	12/31/2020	
Legal & Ethics	Annual Completed Ethics Training and Reporting- Staff and Board -online & paper format	OEIG	Annual	5 ILCS 430/5-10	12/31/2019	12/31/2020	
Legal & Ethics	Ethics Orientation for State of Illinois Employees-Paper	OEIG	W/n 30 days of hire	5 ILCS 430/5-10	As needed	As needed	
Legal & Ethics	Cybersecurity Training for Staff and Trustees	Dept. of Innovation and Technology	Annual	20 ILCS 450/25	12/31/2019	12/31/2020	
Legal & Ethics	Sexual Harassment Training for Staff and Trustees	OEIG	Annual	5 ILCS 450/5-10.5	12/31/2019	12/31/2020	
Legal & Ethics	Anti-Discrimination and Harassment Training for Staff and Trustees	OEIG	Annual - & w/in 30 days of commencing elected or appointed position/office	5 ILCS 430/5-10.5	Annually - effective in 2020	12/31/2020	