



MINUTES

**Quarterly Meeting of the Legal & Legislative Committee
of the State Universities Retirement System
Friday, September 13, 2019, 8:30 a.m.
State Universities Retirement System
1901 Fox Drive – Main Conference Room
Champaign, IL 61820**

The following trustees were present: Dr. Steven Rock, chair, Mr. Aaron Ammons, Mr. John Atkinson, Mr. Richard Figueroa, Ms. Jamie-Clare Flaherty, Dr. Fred Giertz, Mr. Collin Van Meter, Mr. Antonio Vasquez and Mr. Mitch Vogel.

Others present: Mr. Martin Noven, Executive Director; Mr. Douglas Wesley, Chief Investment Officer (CIO); Ms. Ellen Hung, Deputy CIO; Ms. Kim Pollitt and Mr. Shane Willoughby, Senior Investment Officers; Mr. Brian DeLoriae, Investment Officer; Ms. Kelly Valle, Investment Analyst; Ms. Bianca Green, General Counsel; Ms. Emily Vock, Assistant Counsel; Mr. Albert Lee, Associate General Counsel; Ms. Kristen Houch, Head of Legislative Affairs; Ms. Suzanne Mayer, Chief Benefits Officer; Ms. Jackie Hohn, Chief Internal Auditor; Mr. Jefferey Saiger, Chief Technology Officer; Ms. Kelly Carson and Ms. Annette Ackerman, Executive Assistants; and Mr. Steve Zahn of Zahn Governmental Solutions.

Legal & Legislative Committee roll call attendance was taken. Trustee Ammons, present; Trustee Flaherty, absent; Trustee Giertz, present; Trustee Rock, present; and Trustee Lyons, absent.

Trustee Figueroa physically joined the meeting at 8:35 a.m., Trustee Flaherty physically joined the meeting at 8:45 a.m. and Trustee Vasquez physically joined the meeting at 8:45 a.m.

APPROVAL OF MINUTES

Trustee Rock presented the minutes from the Legal & Legislative Committee meeting of June 7, 2019.

Trustee Ammons moved:

- That the minutes from the June 7, 2019, Legal & Legislative Committee meeting be approved as presented.

Trustee Giertz seconded and the motion carried with all trustees present voting in favor.

CHAIRPERSON'S REPORT

Trustee Rock did not have a formal chairperson's report.

UPDATE ON EXTERNAL COUNSEL RFP

Ms. Green provided an update on the progress of the external counsel interviews for all practice areas and stated that the fiduciary and board governance interviews will be conducted in October. Discussion followed concerning the board expectations for interaction between internal staff members and external legal counsel.

LEGISLATIVE UPDATE

Ms. Kristen Houch and Mr. Steve Zahn provided the legislative update. Ms. Houch discussed the FY 2020 budget, as well as the recent legislation affecting SURS.

Ms. Houch notified the board that the veto session is scheduled for October 28 – 30 and November 12 – 14 in Springfield.

Copies of the reports titled “September 2019 Legislative Update” and “September 2019 Legislative Summaries” are incorporated as part of these minutes as [Exhibit 1](#) and [Exhibit 2](#).

RULEMAKING AND COMPLIANCE UPDATES

Status of Pending Rules

Mr. Albert Lee presented an update on the status of our pending rules. SURS recently adopted a set of five rulemakings. The rulemaking process began in March of 2019. The proposed rules went into first notice for public introduction and comment and they were ultimately approved and made effective as of July 26, 2019.

In addition, SURS is still working to present a proposed change to the employee participation rules. External tax counsel is reviewing the initial draft changes and those changes will be sent to the SURS covered employers for their comments and additional discussion.

A copy of the staff memorandum titled “Rulemaking Update” and a copy of the Illinois Register are incorporated as part of these minutes as [Exhibit 3](#) and [Exhibit 4](#).

Compliance Stop Light Report

Ms. Green directed the committee to the updated stoplight report which shows that SURS is in compliance with its reporting requirements.

A copy of the report titled “September Compliance Report” is incorporated as part of these minutes as [Exhibit 5](#).

PUBLIC COMMENT

There were no public comments presented to the Legal & Legislative Committee.

There was no further business brought before the committee and Trustee Ammons moved that the meeting be adjourned. The motion was seconded by Trustee Giertz and carried with all trustees present voting in favor.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'M. Noven', with a long horizontal flourish extending to the right.

Mr. Martin Noven
Secretary, SURS Board of Trustees

MMN: aa

Spring Session 2019

Fiscal Year 2020 Budget

Senate Bill 262 – Fiscal Year 2020 Budget

Sponsors – Senator John J. Cullerton and Representative Gregory Harris

SB 262 contains the Fiscal Year 2020 Budget. It appropriates \$1,854,692,000 for the state contribution to SURS for FY 2020. Of this amount, \$1,639,692,000 comes from the General Revenue Fund and \$215,000,000 comes from the State Pensions Fund. The FY 2020 certified state contribution to SURS is \$1,854,692,000. SB 262 appropriates an amount that is equal to the certified state contribution to SURS for FY 2020.

SB 262 also appropriates \$4,431,113 from the Education Assistance Fund to SURS for deposit into the Community College Health Insurance Security Fund for the state contribution to the College Insurance Program for FY 2020. The College Insurance Program (CIP) provides healthcare for community college retirees. The FY 2020 certified state contribution to the College Insurance Program (CIP) is \$4,431,113. SB 262 appropriates an amount that is equal to the certified contribution to CIP for FY 2020.

Finally, SB 262 appropriates \$177,500 for the Governor's salary for FY 2020. This information is relevant for the calculations under the Governor's salary rule (40 ILCS 5/15-155(j-5)), which requires employers to pay the employer normal cost on the portion of an employee's earnings in excess of the Governor's salary. This amount is equal to the amount of the Governor's salary for FY 2019.

The appropriations to SURS in SB 262 take effect on July 1, 2019.

Status: Governor Pritzker signed into law on June 5, 2019 as Public Act 101-0007 (Senate: 40-19-0; House: 83-35-0).

Senate Bill 1814 – Fiscal Year 2020 Budget Implementation Bill

Sponsors – Senator Heather A. Steans and Representative Gregory Harris

SB 1814 creates the FY 2020 Budget Implementation Act to make changes in State programs that are necessary to implement the State budget for Fiscal Year 2020. It authorizes the use of moneys in the State Pensions Fund as part of the FY 2020 State contribution to SURS and

makes two additional changes: (1) it repeals the 3% rule and re-enacts the 6% rule; and (2) it extends the existing accelerated pension benefit payment options for three years (from June 30, 2021 to June 30, 2024).

Repeal 3% Rule and Re-Enact 6% Rule

SB 1814 amends the State Universities Retirement System and Teachers' Retirement System articles of the Illinois Pension Code to repeal the requirement that employers pay the present value of the resulting increase in benefits attributable to the portion of any salary increases in excess of 3 percent during the participant's final rate of earnings period. Instead, SB 1814 requires employers to pay the present value of the resulting increase in benefits attributable to the portion of any salary increases in excess of 6 percent during the participant's final rate of earnings period. (The 3% rule became effective for academic years on or after July 1, 2018, with the exception of salary increases under contracts and collective bargaining agreements entered into, amended, or renewed before June 4, 2018.)

For members who first became members of SURS (or a reciprocal retirement system) before January 1, 2011 (Tier I members), the final rate of earnings is the four consecutive academic years of employment in which earnings are the highest (or the final 48 months of employment for certain employees). For members who first become members of SURS on or after January 1, 2011 (Tier II members), the final rate of earnings is the eight consecutive academic years of employment out of the last 10 academic years of employment in which earnings are the highest (or the 96 consecutive months of employment out of the last 120 months of employment in which earnings are the highest for certain employees).

Extension of Accelerated Pension Benefit Payment Options

The accelerated pension benefit payment options created by Public Act 100-587 are scheduled to expire on June 30, 2021. These voluntary options allow Tier 1 and Tier 2 vested, inactive members to receive an accelerated pension benefit payment in lieu of any pension benefit from SURS and Tier 1 members to receive an accelerated pension benefit payment in exchange for reduced and delayed automatic annual increases in retirement and survivor annuities. SB 1814 extends these accelerated pension benefit payment options until June 30, 2024 and makes similar changes to accelerated pension benefit payment options under the State Employees' Retirement System and Teachers' Retirement System.

SB 1814 also makes other changes.

Effective Date

SB 1814 takes effect immediately upon becoming law.

Status: Governor Pritzker signed into law on June 5, 2019 as Public Act 101-0010 (Senate: 52-6-0; House: 97-17-1).

Public Acts

House Bill 2029 – Vested Inactive Buyout – Health Insurance Preservation **Sponsors – Representative Mark L. Walker and Senator Omar Aquino**

HB 2029 amends the State Employees Group Insurance Act of 1971 to ensure that members who take the Vested Inactive Buyout on or after June 4, 2018 under the State Employees' Retirement System (SERS), State Universities Retirement System (SURS), and Teachers' Retirement System (TRS) preserve any applicable retiree and survivor health insurance benefits upon receipt of the buyout payment.

Public Act 100-587 created two buyout options for eligible members of the three state-funded retirement systems (SERS, SURS, and TRS): (1) a buyout of pension benefits for vested, inactive members; and (2) a partial buyout of automatic annual increases for Tier I members at retirement. The Vested, Inactive Buyout (or VIB) is a lump-sum payment equal to 60 percent of the present value of the member's pension benefits, in exchange for the member forfeiting all accrued rights and credits under the System. The Tier I AAI Buyout (or AAI Buyout) is a lump-sum payment equal to 70 percent of the difference between the present value of Tier I automatic annual increases and the present value of reduced and delayed automatic annual increases, in exchange for the member receiving reduced and delayed automatic annual increases in retirement and survivor annuities.

Public Act 100-587 amended the definition of an "annuitant" under the State Employees Group Insurance Program to ensure that members who take the Vested Inactive Buyout will receive health insurance benefits upon meeting the age and service credit requirements for retirement, if they are eligible to participate in the State Employees Group Insurance Program. However, Public Act 100-587 failed to amend the definitions of "survivor" under the State Employees Group Insurance Program, "community college benefit recipient" under the College Insurance Program, and "TRS benefit recipient" under the Teachers Retiree Health Insurance Program to clarify that survivors of members in the State Employees Group Insurance Program, as well as members and survivors who are eligible to participate in the College Insurance Program and the Teachers Retiree Health Insurance Program, will receive health insurance benefits once the member meets the age and service credit requirements for retirement.

HB 2029 amends the definitions of "survivor" under the State Employees Group Insurance Program, "community college benefit recipient" under the College Insurance Program, and

“TRS benefit recipient” under the Teachers Retiree Health Insurance Program to ensure that all members who elect the Vested Inactive Buyout, as well as their eligible survivors, will receive any applicable health insurance benefits upon the member meeting the age and service credit requirements for retirement.

HB 2029 takes effect immediately upon becoming law.

Status: Governor Pritzker signed into law on August 9, 2019 as Public Act 101-0242 (House: 116-0-0; Senate: 56-0-0).

House Bill 2460 – Illinois Sustainable Investing Act

Sponsors – Representative William Davis and Senator Iris Y. Martinez

HB 2460 requires every retirement system, pension fund, or investment board subject to the Illinois Pension Code to adopt a written investment policy and file a copy of that policy with the Department of Insurance within 30 days after its adoption. The investment policy must include material, relevant, and decision-useful sustainability factors to be considered by the board, within the bounds of financial and fiduciary prudence, in evaluating investment decisions, including, but not limited to: (1) corporate governance and leadership factors; (2) environmental factors; (3) social capital factors; (4) human capital factors; and (5) business model and innovation factors, as provided under the Illinois Sustainable Investing Act. Whenever a board changes its investment policy, it must file a copy of the new policy with the Department of Insurance within 30 days.

HB 2460 also creates the Illinois Sustainable Investing Act, which describes sustainability factors as including, but not limited to, the following:

- Corporate governance and leadership factors, such as the independence of boards and auditors, the expertise and competence of corporate boards and executives, systemic risk management practices, executive compensation structures, transparency and reporting, leadership diversity, regulatory and legal compliance, shareholder rights, and ethical conduct.
- Environmental factors that may have an adverse or positive financial impact on investment performance, such as greenhouse gas emissions, air quality, energy management, water and wastewater management, waste and hazardous materials management, and ecological impacts.
- Social capital factors that impact relationships with key outside parties, such as customers, local communities, the public, and the government, which may impact investment performance. Social capital factors include human rights, customer welfare, customer privacy, data security, access and affordability, selling practices and product labeling, community reinvestment, and community relations.

- Human capital factors that recognize that the workforce is an important asset to delivering long-term value, including factors such as labor practices, responsible contractor and responsible bidder policies, employee health and safety, employee engagement, diversity and inclusion, and incentives and compensation; and
- Business model and innovation factors that reflect an ability to plan and forecast opportunities and risks, and whether a company can create long-term shareholder value, including factors such as supply chain management, materials sourcing and efficiency, business model resilience, product design and life cycle management, and physical impacts of climate change.

HB 2460 also makes other changes.

HB 2460 takes effect on January 1, 2020.

Status: Governor Pritzker signed into law on August 23, 2019 as Public Act 101-0473 (House: 73-42-0; Senate: 37-19-0).

Senate Bill 75 – Workplace Protections Omnibus

Sponsors – Senator Melinda Bush and Representative Ann M. Williams

SB 75 contains several provisions designed to provide employee protections in the workplace. These include: enhanced protections against discrimination and harassment (including the rights of complainants); protections against non-disclosure clauses; annual training to prevent discrimination and harassment; the right to employment leave due to incidents of sexual violence and gender violence; and the annual reporting of certain settlements and adverse judgments and administrative rulings by employers to the Illinois Department of Human Rights.

Of these provisions, as it relates to SURS, SB 75 modifies the anti-sexual harassment training completed annually by SURS trustees and staff under the State Officials and Employees Ethics Act to encompass other types of harassment and discrimination. Beginning in 2020, each officer, member, and employee must annually complete a harassment and discrimination preventing training program. A person who fills a vacancy in an elective or appointed position must complete his or her initial training within 30 days after commencement of his or her office or employment. The training must include: (1) definitions, descriptions, and examples of sexual harassment, unlawful discrimination, and harassment; (2) details on how an individual can report allegations of sexual harassment, unlawful discrimination, and harassment, including options for making a confidential report to a supervisor, ethics officer, Inspector General, or the Department of Human Rights; (3) definitions, descriptions, and examples of retaliation for reporting sexual harassment, unlawful discrimination, and harassment allegations, including the availability of

whistleblower protections under the Act, the Whistleblower Act, and the Illinois Human Rights Act; and (4) the consequences of a violation of the prohibition on sexual harassment, unlawful discrimination, and harassment and the consequences for knowingly making a false report. Proof of completion of the training must be submitted to the applicable ethics officer and the anti-harassment and discrimination training programs must be overseen by the appropriate Ethics Commission and Inspector General under the Act. (SB 75 also requires lobbyists registered with the Illinois Secretary of State to complete a similar annual training beginning in 2020.)

SB 75 also makes other changes.

SB 75 takes effect on January 1, 2020, except that provisions regarding discrimination and harassment prevention training and complainants' rights take effect immediately upon becoming law.

Status: Governor Pritzker signed into law on August 9, 2019 as Public Act 101-0221 (Senate: 59-0-0; House: 114-0-0).

**Senate Bill 1264 - RUUPA - Trailer Bill for Public Retirement Systems
Sponsors - Senator Omar Aquino and Representative Robert Martwick**

SB 1264 amends the Revised Uniform Unclaimed Property Act to ensure that annuity, pension, and benefit funds held in a fiduciary capacity by or on behalf of a retirement system, pension fund, or investment board under the Illinois Pension Code remain in their respective trusts. SB 1264 also requires pension funds, retirement systems, and investment boards created pursuant to Articles 3, 4, and 22 of the Illinois Pension Code to adhere to certain compliance requirements regarding best practices to locate owners of unclaimed property.

Public retirement systems were previously exempt from the Uniform Distribution of Unclaimed Property Act. However, this exemption did not carry over to the newly created Revised Uniform Unclaimed Property Act, which became effective on January 1, 2018. As a result, under current law, public retirement systems face conflicting requirements under IRS laws and regulations and the new Revised Uniform Unclaimed Property Act. SB 1264 represents a compromise between public retirement systems and the state treasurer's office on the administration of the Revised Uniform Unclaimed Property Act.

Specifically, as it relates to SURS, SB 1264 requires each public retirement system to report the following information by November 1st of each year to the state treasurer's office about property presumed abandoned in an annuity, pension, or benefit fund held in a fiduciary capacity by or on behalf of that public retirement system: (1) the name of the owner and names of any beneficiaries; (2) the last known address, if known; (3) the Social Security number or taxpayer identification number, if known or readily ascertainable; and (4) the

dollar amount. SB 1264 prohibits the payment or delivery of any annuity, pension, or benefit funds held in a fiduciary capacity by or on behalf of a public retirement system to the state treasurer's office. In this manner, SB 1264 allows each public retirement system to keep its assets in its respective trust, while simultaneously enabling the state treasurer's office to assist in reuniting owners with their unclaimed property.

The provisions of SB 1264 (except for the reporting requirements and the additional compliance provisions for Article 3, 4, and 22 pension funds, retirement systems, and investment boards) apply retroactively to January 1, 2018 (the effective date of the Revised Uniform Unclaimed Property Act).

SB 1264 takes effect on January 1, 2020.

Status: Governor Pritzker signed into law on August 23, 2019 as Public Act 101-0546 (Senate: 58-0-1; House: 106-0-0).

Senate Bill 1265 – SURS Technical Corrections Bill

Sponsors – Senator Omar Aquino and Representative Robert Martwick

SB 1265 is an initiative of SURS that makes three technical changes under Article 15 of the Illinois Pension Code.

First, SB 1265 amends the definition of an “employee” under Section 15-107 to mirror a change to the definition of an “employer” under Section 15-106 by Public Act 100-0611 (which allowed certain legacy employees within the newly created Department of Innovation and Technology to continue to participate in SURS). In this manner, SB 1265 ensures consistency between the definitions of “employee” and “employer” under SURS.

Second, SB 1265 amends the definition of “basic compensation” under Section 15-110 to account for the creation of the optional, supplemental defined contribution plan (457 plan) in SURS under Public Act 100-0769. By treating employee contributions to the optional, supplemental defined contribution plan (457 plan) as part of basic compensation, SB 1265 maintains consistency with the treatment of employee contributions to the state's Deferred Compensation Plan (457 plan) which are also treated as part of basic compensation. In this manner, SB 1265 ensures that employees are not penalized for making contributions to the optional, supplemental defined contribution plan in SURS.

Third, SB 1265 corrects a drafting error in Section 15-145. Under Section 15-131, an unmarried disabled child is considered a “survivor” for benefit purposes if his or her mental or physical disability began prior to the age of 18. The same section provides that an unmarried, non-disabled child is considered a “survivor” for benefit purposes if he or she is less than 18 years of age or is less than 22 years of age and a full-time student. Under current law,

the language in Section 15-145 conflicts with the definition of a “survivor” under Section 15-131. By correcting the drafting error in Section 15-145, SB 1265 maintains consistency between the section and the definition of a “survivor” under SURS and reflects SURS’ longstanding interpretation and administration of survivor benefits under the Illinois Pension Code.

SB 1265 takes effect immediately upon becoming law.

Status: Governor Pritzker signed into law on August 9, 2019 as Public Act 101-0321 (Senate: 55-0-0; House: 116-0-0).

Resolutions Adopted

House Joint Resolution 16 – State Sponsored Health Clinic Task Force **Sponsors – Representative Mike Murphy and Senator Andy Manar**

HJR 16 resolves by the Illinois House of Representatives, with concurrence of the Illinois Senate, that the state-sponsored Health Clinic Task Force is created to study the possibility of implementing a state of Illinois sponsored health clinic for state employees, dependents, and retirees, with the purpose of providing quality care and annual savings to the state’s overall group insurance costs.

HJR 16 resolves that the Task Force be comprised of the following members, to serve without compensation: (1) two individuals appointed by the Speaker of the Illinois House of Representatives; (2) two individuals appointed by the Minority Leader of the Illinois House of Representatives; (3) two individuals appointed by the President of the Illinois Senate; (4) two individuals appointed by the Minority Leader of the Illinois Senate; (5) one individual appointed by the governor of Illinois; and (6) one individual appointed by the president/director of a union representing the largest amount of state employees.

HJR 16 resolves that the Department of Central Management Services provide administrative support for the Task Force and that the Task Force submit its final report to the General Assembly no later than December 31, 2019, at which time the Task Force is dissolved.

HJR 16 resolves that suitable copies of the resolution be delivered to the director of the Illinois Department of Central Management Services, the Speaker of the Illinois House of Representatives, the Minority Leader of the Illinois House of Representatives, the President of the Illinois Senate, the Minority Leader of the Illinois Senate, the governor of Illinois, and the president/director of a union representing the largest amount of state employees.

Status: Resolution Adopted in Both Houses on 5/31/19 (House: 113-0-0; Senate: 50-1-0).

House Resolution 6 – Urge Solution to Windfall Elimination Provision

Sponsors – Representative Mary E. Flowers

HR 6 resolves that the Illinois House of Representatives urges President Trump and the United States Congress to continue to work to find a solution to the problems created by the Windfall Elimination Provision.

HR 6 resolves that suitable copies of the resolution be delivered to President Trump, U.S. Senate Majority Leader McConnell, U.S. Senate Minority Leader Schumer, U.S. Speaker of the House Pelosi, U.S. House of Representatives Minority Leader McCarthy, and all members of the Illinois Congressional Delegation.

The Windfall Elimination Provision is a provision of federal law that requires federal Social Security benefits to be offset in order to account for pension benefits provided by the state.

Status: Resolution Adopted in the House on 5/15/19.

House Resolution 343 – Urge Divestment from For-Profit Companies that Contract to Shelter Migrant Children

Sponsors – Representative Elizabeth Hernandez

HR 343 resolves, by the Illinois House of Representatives, that the State of Illinois is urged to divest from any for-profit companies that contract to shelter migrant children.

HR 343 further resolves that suitable copies of the resolution be delivered to the Illinois State Board of Investment, the State Universities Retirement System, the Teachers' Retirement System, and the Office of the Governor.

Status: Resolution Adopted in the House on 5/30/19.

Bills Filed Since June 1, 2019

House Bill 3854 – Maximum Dollar Limit for Retirement Benefits

Sponsors – Representative Allen Skillicorn

HB 3854 amends the General Provisions article of the Illinois Pension Code to limit the total amount of retirement annuity or pension benefits that any person who first becomes a participant in any retirement system or pension fund on or after the effective date of the legislation can receive to \$132,900 in any year. Thereafter, the \$132,900 limit is annually increased by the percentage increase (but not less than zero) in the consumer price index-u, including all previous adjustments.

HB 3854 takes effect immediately upon becoming law.

HB 3854 is similar to HB 3859 of the 101st General Assembly.

Status: Filed with the House Clerk on 7/12/19.

**House Bill 3855 – General Assembly COLA Opt-Out
Sponsors – Representative Maurice A. West, II**

HB 3855 amends the Compensation Review Act to allow members of the General Assembly to elect not to receive cost of living adjustments and to have funds associated with cost of living adjustments paid into the State Pensions Fund. (Through Fiscal Year 2020, the State Pensions Fund is mainly used to make the State's required contribution to the State Universities Retirement System. Beginning in Fiscal Year 2021, the State Pensions Fund is mainly used to reduce the unfunded liabilities of the five state-funded retirement systems.)

HB 3855 takes effect in accordance with the Effective Date of Laws Act.

Status: Filed with the House Clerk on 7/19/19.

**House Bill 3859 – Maximum Dollar Limit for Retirement Benefits
Sponsors – Representative Allen Skillicorn**

HB 3859 amends the General Provisions article of the Illinois Pension Code to limit the total amount of retirement annuity or pension benefits that any person can receive from any pension fund or retirement system to \$132,900 in any year. Thereafter, the \$132,900 limit is annually increased by the percentage increase (but not less than zero) in the consumer price index-u, including all previous adjustments.

HB 3859 applies without regard to whether a person became a member, participant, beneficiary, or annuitant before the effective date of the legislation.

HB 3859 takes effect immediately upon becoming law.

HB 3859 is similar to HB 3854 of the 101st General Assembly.

Status: Filed with the House Clerk on 8/2/19.

House Bill 3860 – Change Automatic Annual Increases to CPI-U

Sponsors – Representative Allen Skillicorn

HB 3860 amends the General Provisions article of the Illinois Pension Code to require the retirement annuity or supplemental annuity of a participant, member, or annuitant in any pension fund or retirement system to be subject to annual increases equal to the annual unadjusted percentage increase in the consumer price index-u (but not less than zero).

HB 3860 applies without regard to whether a participant or a member is in active service on or after the effective date of the legislation.

Currently, Tier I retirees receive a 3 percent compounded automatic annual increase on retirement annuities.

Currently, Tier II retirees receive a simple (non-compounded) annual increase equal to the lesser of 3 percent or ½ of the annual unadjusted percentage increase (but not less than zero) in the consumer price index-u.

HB 3860 takes effect immediately upon becoming law.

Status: Filed with the House Clerk on 8/2/19.

House Bill 3868 – Minimum Retirement Age Increase by 2 Years

Sponsors – Representative Allen Skillicorn

HB 3868 amends the General Provisions article of the Illinois Pension Code to increase the minimum age at which a person is eligible to receive a retirement annuity or pension by two years, using the following schedule: one year beginning on the effective date of the legislation and one additional year beginning five years after the effective date of the legislation.

Currently, Tier 1 members can retire at: any age with at least 30 years of service credit; age 55 with at least 8 years of service credit; age 62 with at least 5 years of service credit; or, if the member is a public safety employee, age 55 with at least 20 years of service credit or age 50 with at least 25 years of service credit.

Currently, Tier 2 members can retire at age 67 with at least 10 years of service credit or at age 62 with at least 10 years of service credit and a benefit reduction equal 0.5% for each full month that the member's age is less than age 67.

HB 3868 takes effect immediately upon becoming law.

Status: Filed with the House Clerk on 8/19/19.

100th General Assembly						
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
HA #1 to HB 139	Rep. Greenwood	SEGIP Re-Enrollment after Nonpayment of Premiums	Allows an annuitant, survivor, or retired employee whose coverage has been terminated under the State Employees Group Insurance Program for nonpayment of premiums to re-enroll in the State Employees Group Insurance Program during the next enrollment period upon repayment of the unpaid premiums.		HA #1 Referred to Rules Committee on 4/9/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=0139&GAID=15&DocTypeID=HB&LegID=113961&SessionID=108&SpecSess=&Session=&GA=101
HB 199	Rep. Flowers	No Investments in Ford Motor Company	Prohibits the state-funded retirement systems from investing in Ford Motor Company and its subsidiaries.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=199&GAID=15&DocTypeID=HB&LegID=114063&SessionID=108&GA=101
HB 280	Rep. Guzzardi	No Investments in Companies that Contract to Build Border Wall	Prohibits the state-funded retirement systems from investing in companies that contract to build a border wall.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=280&GAID=15&DocTypeID=HB&LegID=114403&SessionID=108&GA=101
HB 329	Rep. Jones	University Student Athlete Employees	Requires universities to classify student athletes in the top three financially profitable intercollegiate athletic programs as employees. Authorizes universities to classify student athletes in other intercollegiate athletic programs as employees.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/billstatus.asp?DocNum=329&GAID=15&GA=101&DocTypeID=HB&LegID=114489&SessionID=108
HB 350	Rep. Willis	Repeal 3% Rule and Re-Enact 6% Rule	Requires employers to pay the present value of the portion of any salary increases in excess of 6% (currently, 3%) during a participant's final rate of earnings period.	Identical to SB 60 (Sen. Bertino-Tarrant)	House Re-Referred to Rules Committee on 4/12/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=350&GAID=15&DocTypeID=HB&LegID=114533&SessionID=108&GA=101
HB 816 (ENGR)	Rep. Welch (Sen. Steams)	Fiscal Year 2020 Budget Implementation Bill	Creates the FY 2020 Budget Implementation Act to make changes in State programs that are necessary to implement the State budget for Fiscal Year 2020. Authorizes the use of moneys in the State Pensions Fund as part of the FY 2020 State contribution to SURS. Repeals the 3% rule and re-enacts the 6% rule. Extends the existing accelerated pension benefit payment options for three years (from June 30, 2021 to June 30, 2024).	Similar to SB 1814 (Sen. Steams; Rep. Harris)	House Re-Referred to Rules Committee on 7/2/19; Passed the Senate (51-6-0) on 6/1/19	http://ilga.gov/legislation/billstatus.asp?DocNum=816&GAID=15&GA=101&DocTypeID=HB&LegID=115070&SessionID=108
SA #1 to HB 816	Sen. Steams	Fiscal Year 2020 Budget Implementation Bill	Creates the FY 2020 Budget Implementation Act to make changes in State programs that are necessary to implement the State budget for Fiscal Year 2020. Authorizes the use of moneys in the State Pensions Fund as part of the FY 2020 State contribution to SURS. Repeals the 3% rule and re-enacts the 6% rule. Extends the existing accelerated pension benefit payment options for three years (from June 30, 2021 to June 30, 2024).	Similar to HA #1 to SB 1814 (Rep. Harris)	SA #1 Adopted on 6/1/19	http://ilga.gov/legislation/billstatus.asp?DocNum=816&GAID=15&GA=101&DocTypeID=HB&LegID=115070&SessionID=108
HB 902	Rep. Ammons	Cannabis Legalization Equity Act	Requires 2.5% of the proceeds from the excise tax on cannabis to be transferred to SURS every three months. (Makes the same change for SERS and TRS.)		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=902&GAID=15&DocTypeID=HB&LegID=115234&SessionID=108&GA=101

100th General Assembly						
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
HA #1 to HB 1260	Rep. Zalewski	Sporting Contest Safety and Integrity Act + Sports Wagering Act	Requires the remainder of net proceeds from sports wagering (after proceeds returned to players and amounts owed to the central system provider and licensed agent) to be deposited into the State Lottery Fund and then deposited in equal amounts to the Pension Stabilization Fund, the Common School Fund, and the State Construction Account Fund. Requires moneys collected by the Illinois Gaming Board under the Sports Wagering Act to be deposited into Sports Wagering Fund and then transferred in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #2, HA #3, and HA #4 to HB 1260 (Rep. Zalewski)	HA #1 Referred to Rules Committee on 5/8/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1260&GAID=15&DocTypeID=HB&LegID=115632&SessionID=108
HA #2 to SB 1260	Rep. Zalewski	Sporting Contest Safety and Integrity Act + Sports Wagering Act	Requires moneys collected by the Illinois Gaming Board under the Sports Wagering Act to be deposited into Sports Wagering Fund and then transferred in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #1, HA #3, and HA #4 to HB 1260 (Rep. Zalewski)	HA #2 Referred to Rules Committee on 5/8/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1260&GAID=15&DocTypeID=HB&LegID=115632&SessionID=108&SpecSess=&Session=&GA=101
HA #3 to HB 1260	Rep. Thapedi	Sporting Contest Safety and Integrity Act + Sports Wagering Act	As it relates to SURS, HA #3 is identical to HA #2. Requires moneys collected by the Illinois Gaming Board under the Sports Wagering Act to be deposited into Sports Wagering Fund and then transferred in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #1, HA #2, and HA #4 to HB 1260 (Rep. Zalewski)	HA #3 Re-Referred to Rules Committee on 5/31/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1260&GAID=15&DocTypeID=HB&LegID=115632&SessionID=108
HA #4 to HB 1260	Rep. Thapedi	Sporting Contest Safety and Integrity Act + Sports Wagering Act	As it relates to SURS, HA #4 is identical to HA #3. Requires moneys collected by the Illinois Gaming Board under the Sports Wagering Act to be deposited into Sports Wagering Fund and then transferred in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #1, HA #2, and HA #3 to HB 1260 (Rep. Zalewski)	HA #4 Re-Referred to Rules Committee on 5/31/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1260&GAID=15&DocTypeID=HB&LegID=115632&SessionID=108
HB 1576	Rep. Spain	Downstate Police and Firefighter Pension Funds - Investment Consolidation	Increases the annual compliance fee paid by each pension fund to the Illinois Department of Insurance from \$8,000 to \$16,000. Establishes that certain penalties for non-compliance with the Illinois Pension Code apply to governmental units and pension funds (currently, governmental units) that are subject to any law establishing a pension fund or retirement system for the benefit of employees of the governmental unit.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1576&GAID=15&DocTypeID=HB&LegID=116040&SessionID=108&GA=101
HB 1605	Rep. Lilly	Climate Change Risk Minimization Policy	Requires each pension fund to develop a climate change risk minimization policy by December 31, 2020. Requires the climate change risk minimization policy to be updated annually and published on the pension fund's website. Requires previous versions of the policy to be kept on the pension fund's website for a period of 5 years.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1605&GAID=15&DocTypeID=HB&LegID=116105&SessionID=108&GA=101

100th General Assembly						
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
HB 1625	Rep. Skillicorn	Voluntary Tier III Plan + Opt-Out + No Credit for Unused Vacation and Sick Leave	Requires SURS to prepare and implement a Tier III plan by July 1, 2020. Allows active Tier I and Tier II participants of SURS to elect to participate in the Tier III plan for future service. Allows Tier I and Tier II participants who elect to participate in the Tier III plan to irrevocably elect to terminate all participation in the defined benefit plan. Establishes that a person is not required to participate in SURS and allows active employees to terminate participation in SURS. Prohibits payments for unused vacation and sick leave from counting towards earnings for individuals who first begin participation in SURS on or after the effective date of the legislation. Prohibits unused sick leave from counting toward service credit for individuals who first begin participation in SURS on or after the effective date of the legislation.	Identical to HB 2279 (Rep. Morrison)	House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1625&GA=15&DocTypeID=HB&LegID=116166&SessionID=108&SpecSess=&Session=&GA=101
HB 2029 (ENRL)	Rep. Walker (Sen. Aquino)	Vested Inactive Buyout – Health Insurance Preservation	Ensures that members who take the Vested Inactive Buyout on or after June 4, 2018 under the State Employees' Retirement System, State Universities Retirement System, and Teachers' Retirement System preserve any applicable retiree and survivor health insurance benefits upon receipt of the buyout payment.		Governor Pritzker Signed into Law as Public Act 101-0242 on 8/9/19 (House: 116-0-0; Senate: 56-0-0)	http://ilga.gov/legislation/billstatus.asp?DocNum=2029&GA=15&GA=101&DocTypeID=HB&LegID=117529&SessionID=108
HB 2029 (ENGR)	Rep. Walker (Sen. Aquino)	Vested Inactive Buyout – Health Insurance Preservation	Ensures that members who take the Vested Inactive Buyout under the State Employees' Retirement System, State Universities Retirement System, and Teachers' Retirement System preserve any applicable retiree and survivor health insurance benefits upon receipt of the buyout payment.		Passed the House (111-0-0) on 4/9/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2029&GA=15&DocTypeID=HB&LegID=117529&SessionID=108&GA=101
SA #1 to HB 2029	Sen. Aquino	Vested Inactive Buyout – Health Insurance Preservation	Ensures that members who take the Vested Inactive Buyout on or after June 4, 2018 and before the effective date of the legislation (in addition to members who take the Vested Inactive Buyout on or after the effective date of the legislation) will receive any applicable retiree or survivor health insurance benefits.		SA #1 Adopted on 5/1/19	http://ilga.gov/legislation/billstatus.asp?DocNum=2029&GA=15&GA=101&DocTypeID=HB&LegID=117529&SessionID=108
HB 2054	Rep. Meier	Hydraulic Fracturing Tax Revenues Fund Pensions	Requires all moneys received by the Illinois Department of Revenue under the Hydraulic Fracturing Tax Act to be used only for the payment of pension obligations of the State of Illinois.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2054&GA=15&DocTypeID=HB&LegID=117568&SessionID=108&GA=101
HB 2101	Rep. Smith	Racial Bias, Discrimination, and Harassment Omnibus	Establishes that all persons have a right to work in an environment free from racial discrimination and harassment. Prohibits all persons subject to the State Officials and Employees Ethics Act from racially discriminating against or harassing any person, regardless of any employment relationship or lack thereof. Requires each officer, member, and employee to complete, at least annually, beginning in 2020, a racial bias, discrimination, and harassment training program.	Identical to HB 2547 (Rep. Lilly) and SB 1485 (Sen. Lightford)	House Tabled on 4/3/19	http://ilga.gov/legislation/billstatus.asp?DocNum=2101&GA=15&GA=101&DocTypeID=HB&LegID=117733&SessionID=108

100th General Assembly						
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
HB 2279	Rep. Morrison	Voluntary Tier III Plan + Opt-Out + No Credit for Unused Vacation and Sick Leave	Requires SURS to prepare and implement a Tier III plan by July 1, 2020. Allows active Tier 1 and Tier 2 participants of SURS to elect to participate in the Tier III plan for future service. Allows Tier I and Tier II participants who elect to participate in the Tier III plan to irrevocably elect to terminate all participation in the defined benefit plan. Establishes that a person is not required to participate in SURS and allows active employees to terminate participation in SURS. Prohibits payments for unused vacation and sick leave from counting towards earnings for individuals who first begin participation in SURS on or after the effective date of the legislation. Prohibits unused sick leave from counting toward service credit for individuals who first begin participation in SURS on or after the effective date of the legislation.	Identical to HB 1625 (Rep. Skillicorn)	House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2279&GAID=15&DocTypeID=HB&LegID=118129&SessionID=108&SpecSess=&Session=&GA=101
HB 2394	Rep. Meier	New Revenues Fund State Pension Obligations	Requires any new and additional revenue sources that exceed the fiscal year 2019 revenue forecasts reported by the Commission on Government Forecasting and Accountability in 2018 to be allocated towards the payment of current and continuing State pension obligations. Defines new and additional revenue sources as including, but not limited to, any new tax, fee, or surcharge that is enacted by the General Assembly on or after the effective date of the legislation.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2394&GAID=15&DocTypeID=HB&LegID=118359&SessionID=108&SpecSess=&Session=&GA=101
HB 2440 (ENGR)	Rep. Martwick (Sen. Aquino)	SURS Technical Corrections Bill	SURS initiative that makes three technical changes to Article 15 of the Illinois Pension Code to reflect SURS' longstanding interpretation and administration of benefits.	Identical to SB 1265 (Sen. Aquino)	Senate Re-Referred to Assignments Committee on 5/10/19; Passed the House (111-0-0) on 3/27/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2440&GAID=15&DocTypeID=HB&LegID=118448&SessionID=108&GA=101
HB 2441	Rep. Martwick	State Serial Long Term Pension Obligation Bonds	Authorizes the issuance of \$105.620 billion in State Serial Long Term Pension Obligation Bonds to bring the funded status of the state pension systems up to 90% of assets to liabilities. Requires SERS, SURS, and TRS to deposit 36% of bond proceeds received into investment funds for the purposes of taking advantage of interest arbitrage from the bond proceeds and for making debt service contributions for the bonds. Creates a continuing appropriation for the repayment of principal and interest due on the bonds.	Similar to SB 178 (Sen. Aquino)	House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/fulltext.asp?DocName=&SessionID=108&GA=101&DocTypeID=HB&DocNum=2441&GAID=15&LegID=118449&SpecSess=&Session=
HB 2452	Rep. Martwick	Tier I Social Security Benefit Buyout Option	Creates a voluntary buyout option whereby an eligible SURS member receives 50 percent of the difference between the present value of his or her Tier I retirement benefits and estimated Social Security benefits in exchange for a retirement annuity equal to estimated Social Security benefits with reduced and delayed automatic annual increases.		House Re-Referred to Rules Committee on 4/12/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2452&GAID=15&DocTypeID=HB&LegID=118460&SessionID=108&GA=101

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
HB 2460 (ENRL)	Rep. Davis (Sen. Martinez)	Illinois Sustainable Investing Act	Requires every retirement system, pension fund, or investment board to adopt a written investment policy and file a copy of that policy with the Department of Insurance within 30 days after its adoption. Requires the investment policy to include material, relevant, and decision-useful sustainability factors to be considered by the board, within the bounds of financial and fiduciary prudence, in evaluating investment decisions. Establishes that sustainability factors include, but are not limited to: (1) corporate governance and leadership factors; (2) environmental factors; (3) social capital factors; (4) human capital factors; and (5) business model and innovation factors, as provided under the Illinois Sustainable Investing Act. Establishes that whenever a board changes its investment policy, it must file a copy of the new policy with the Department within 30 days.	Introduced Version Identical to SB 2062 (Sen. Martinez)	Governor Pritzker signed into law as Public Act 101-0473 on 8/23/19 (House: 73-42-0; Senate: 37-19-0)	http://ilga.gov/legislation/BillStatus.asp?DocNum=2460&GAID=15&DocTypeID=HB&LegID=118468&SessionID=108&A=101
HB 2460 (ENGR)	Rep. Davis (Sen. Martinez)	Illinois Sustainable Investing Act	Requires every retirement system, pension fund, or investment board to adopt a written investment policy and file a copy of that policy with the Department of Insurance within 30 days after its adoption. Requires the investment policy to include material, relevant, and decision-useful sustainability factors to be considered by the board, within the bounds of financial and fiduciary prudence, in evaluating investment decisions. Establishes that sustainability factors include, but are not limited to: (1) corporate governance and leadership factors; (2) environmental factors; (3) social capital factors; (4) human capital factors; and (5) business model and innovation factors, as provided under the Illinois Sustainable Investing Act. Establishes that whenever a board changes its investment policy, it must file a copy of the new policy with the Department within 30 days.	Introduced Version Identical to SB 2062 (Sen. Martinez)	Passed the House (66-46-0) on 4/4/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2460&GAID=15&DocTypeID=HB&LegID=118468&SessionID=108&A=101
HA #1 to HB 2460	Rep. Davis	Illinois Sustainable Investing Act	Establishes that the investment policy must include material, relevant, and decision-useful sustainability factors to be considered by the board, within the bounds of financial and fiduciary prudence, in evaluating investment decisions. (In the original bill, the investment policy must include material, relevant, and decision-useful sustainability factors to be applied by the board in evaluating investment decisions.) Makes changes to the Illinois Sustainable Investing Act.	Nearly identical to SA #1 to SB 2062	HA #1 Adopted on 4/4/19	http://www.ilga.gov/legislation/BillStatus.asp?DocNum=2460&GAID=15&DocTypeID=HB&LegID=118468&SessionID=108
HA #2 to HB 2460	Rep. Davis	Illinois Sustainable Investing Act	Modifies the description of human capital factors to include responsible contractor and responsible bidder policies.	Identical to SA #2 to SB 2062	HA #2 Adopted on 4/4/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2460&GAID=15&DocTypeID=HB&LegID=118468&SessionID=108

100th General Assembly						
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
HB 2547	Rep. Lilly	Racial Bias, Discrimination, and Harassment Omnibus	Establishes that all persons have a right to work in an environment free from racial discrimination and harassment. Prohibits all persons subject to the State Officials and Employees Ethics Act from racially discriminating against or harassing any person, regardless of any employment relationship or lack thereof. Requires each officer, member, and employee to complete, at least annually, beginning in 2020, a racial bias, discrimination, and harassment training program.	Identical to HB 2101 (Rep. Smith) and SB 1485 (Sen. Lightford)	House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2547&GAID=15&DocTypeID=HB&LegID=118654&SessionID=108&SpecSess=&Session=&GA=101
HB 2567	Rep. Stava-Murray	Means Matter Suicide Prevention Act	Requires every public official in Illinois to complete a course of training on suicide prevention, beginning in 2020. Defines a "public official" as any person who is elected or appointed to public office in Illinois.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/billstatus.asp?DocNum=2567&GAID=15&GA=101&DocTypeID=HB&LegID=118696&SessionID=108
HB 2592	Rep. Cabello	No Elected Official Participation in Public Retirement Systems	Prohibits a person from participating in any pension fund or retirement system under the Illinois Pension Code with respect to an elected position to which the person was first elected or appointed on or after the effective date of the legislation.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2592&GAID=15&DocTypeID=HB&LegID=118725&SessionID=108&GA=101
HB 2664	Rep. Robinson	RUUPA Trailer Bill for Public Retirement Systems	Ensures that annuity, pension, and benefit funds held in a fiduciary capacity by or on behalf of certain public retirement systems remain in their respective trusts and are not paid or delivered to the State Treasurer's Office under the Revised Uniform Unclaimed Property Act.	Identical to SB 1264 (Sen. Aquino)	House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2664&GAID=15&DocTypeID=HB&LegID=118852&SessionID=108&SpecSess=&Session=&GA=101
HB 2740	Rep. Morrison	Supplemental Defined Contribution Plan	Requires the SURS Board of Trustees to establish and maintain a defined contribution plan to address the retirement preparedness gap for participants in a defined benefit plan who are not on track to maintain their standard of living in retirement. Authorizes Tier I participants and Tier II participants who first became participants before the defined contribution plan was established to voluntarily elect to enroll in the plan. Requires each Tier II participant who becomes a participant on or after the establishment of the defined contribution plan to be automatically enrolled in the plan at a contribution rate that is established by the SURS Board of Trustees, unless he or she opts out within 60 days after the date that he or she becomes a participant.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2740&GAID=15&DocTypeID=HB&LegID=118985&SessionID=108&GA=101
HB 2749	Rep. Morrison	Alternative Retirement Plan – Local Control of Benefits	Authorizes the board of trustees of a community college district that is an employer under Article 15 of the Illinois Pension Code to provide by resolution for an alternative retirement plan, either in addition to or in lieu of the existing plans under SURS, for its eligible new employees. Requires the alternative retirement plan provided by the community college district to be funded with contributions from that community college district and its employees who participate in the alternative retirement plan.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2749&GAID=15&DocTypeID=HB&LegID=118994&SessionID=108&GA=101

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
HB 2902	Rep. Hoffman	Tier II SURS Public Safety Retire at 60	Allows Tier II police officers and Tier II firefighters in SURS to retire at age 60 with 20 years of service, if eligible for the retirement annuity calculation for police officers and firefighters under SURS.	Similar to HB 3256 (Rep. Brady)	House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2902&GAID=15&DocTypeID=HB&LegID=119211&SessionID=108&GA=101
HB 2910	Rep. Martwick	Early Retirement Incentive	Allows certain Tier I members between 55 and 60 years of age under the State Universities Retirement System to elect to retire with an undiscounted retirement annuity up to five years early in exchange for accepting reduced automatic annual increases on retirement annuities and survivor annuities.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2910&GAID=15&DocTypeID=HB&LegID=119219&SessionID=108&GA=101
HB 3131	Rep. Wehrli	Annuitant Database	Requires SURS to establish and post an annuitant database on its website by July 1, 2020. Requires the annuitant database to be a searchable database of the names of all persons receiving an annuity from SURS and the amount of the annuity paid by SURS to that person each month.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=3131&GAID=15&DocTypeID=HB&LegID=119541&SessionID=108&GA=101
HB 3256	Rep. Brady	Tier II SURS Police Officers Retire at 50 or 55	Allows Tier II members under SURS to retire with an undiscounted retirement annuity at age 55 with at least 10 years of service as a police officer or to retire with a discounted retirement annuity at age 50 with at least 10 years of service as a police officer.	Similar to HB 2902 (Rep. Hoffman)	House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=3256&GAID=15&DocTypeID=HB&LegID=119705&SessionID=108&SpecSess=&Session=&GA=101
HA #1 to HB 3308	Rep. Zalewski	Sports Wagering Act	Requires moneys collected by the Illinois Gaming Board under the Sports Wagering Act to be deposited into the Sports Wagering Fund and then transferred in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #2, HA #3, and HA #4 to HB 3308 (Rep. Zalewski)	HA #1 Re-Referred to Rules Committee on 3/29/19	http://www.ilga.gov/legislation/billstatus.asp?DocNum=3308&GAID=15&GA=101&DocTypeID=HB&LegID=119783&SessionID=108
HA #2 to HB 3308	Rep. Zalewski	Sports Wagering Act	Requires moneys collected by the Illinois Gaming Board under the Sports Wagering Act to be deposited into the Sports Wagering Fund. Requires the net profit (i.e., the amount remaining after the deduction of administrative expenses of the Illinois Gaming Board) must be deposited in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #1, HA #3, and HA #4 to HB 3308 (Rep. Zalewski)	HA #2 Re-Referred to Rules Committee on 3/29/19	http://www.ilga.gov/legislation/BillStatus.asp?DocNum=3308&GAID=15&DocTypeID=HB&LegID=119783&SessionID=108
HA #3 to HB 3308	Rep. Thapedi	Sports Wagering Act	Requires the privilege tax on sports wagering revenues and any fees and penalties collected under the Sports Wagering Act to be deposited into the State Gaming Fund and then transferred in equal amounts to the State Construction Account Fund, the Pension Stabilization Fund, and the Common School Fund.	Similar to HA #1, HA #2, and HA #4 to HB 3308 (Rep. Zalewski)	HA #3 Re-Referred to Rules Committee on 3/29/19	http://www.ilga.gov/legislation/BillStatus.asp?DocNum=3308&GAID=15&DocTypeID=HB&LegID=119783&SessionID=108
HA #4 to HB 3308	Rep. Hernandez	Illinois Lottery Sports Wagering Act	Requires the privilege tax on sports wagering revenue to be deposited in equal amounts to the Pension Stabilization Fund, the Common School Fund, and the State Construction Account Fund.	Similar to HA #1, HA #2, and HA #3 to HB 3308 (Rep. Zalewski)	HA #4 Re-Referred to Rules Committee on 3/29/19	http://www.ilga.gov/legislation/BillStatus.asp?DocNum=3308&GAID=15&DocTypeID=HB&LegID=119783&SessionID=108

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
HB 3376	Rep. Batinick	Property Tax Relief and Pension Stabilization Fund Act	Creates the Property Tax Relief and Pension Stabilization Fund Act. Reduces the funding target for each of the five state-funded retirement systems from 90 percent to 70 percent of assets to liabilities in State Fiscal Year 2045. Requires the state to contribute the normal cost and unfunded liability components to the state-funded retirement systems each year. Requires a portion of \$2.4 billion deposited into the Property Tax Relief and Pension Stabilization Fund to be used for state contributions to the state-funded retirement systems.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=3376&GAID=15&DocTypeID=HB&LegID=119954&SessionID=108&GA=101
HB 3428	Rep. Hernandez	No Investments in For-Profit Companies that Contract to Shelter Migrant Children	Prohibits the state-funded retirement systems from investing in for-profit companies that contract to shelter migrant children. Defines "contract to shelter migrant children" as entering into a contract with the federal government to shelter migrant children under the federal Unaccompanied Alien Children Program or a substantially similar federal program.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=3428&GAID=15&DocTypeID=HB&LegID=120024&SessionID=108&GA=101
HB 3518	Rep. Batinick	Tier I Automatic Annual Increase Calculation	Allows Tier I participants in retirement systems and pension funds (other than police and firefighter pension funds) to irrevocably elect to have automatic annual increases on retirement or supplemental annuities calculated as the annual unadjusted percentage increase in the consumer price index-u, except that no increase can be less than one percent or more than five percent.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=3518&GAID=15&DocTypeID=HB&LegID=120161&SessionID=108&GA=101
HB 3524	Rep. Durkin	Tier I Offer and Consideration Pension Reform	Offers each Tier I member the option to elect lower automatic annual increases on his or her retirement and survivors annuities, in exchange for having future salary increases count towards the calculation of his or her pension benefits, receiving a consideration payment equal to 10 percent of his or her employee contributions made before the election, and paying 10 percent less in future employee contributions after the election.		House Re-Referred to Rules Committee on 3/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=3524&GAID=15&DocTypeID=HB&LegID=120185&SessionID=108&GA=101
HB 3718	Rep. Harris	FY 2020 Governor's Introduced Budget	Appropriates \$1,626,692,000 for the state contribution to SURS for FY 2020 (\$1,426,692,000 from the General Revenue Fund and \$200,000,000 from the State Pensions Fund). The FY 2020 certified state contribution to SURS is \$1,854,692,000. Appropriates \$4,431,113 from the Education Assistance Fund to SURS for deposit into the Community College Health Insurance Security Fund for the state contribution to the College Insurance Program for FY 2020. The FY 2020 certified state contribution to the College Insurance Program is \$4,431,113.	Identical to SB 2165 (Sen. J. Cullerton)	House Re-Referred to Rules Committee on 7/2/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=3718&GAID=15&DocTypeID=HB&LegID=120573&SessionID=108&SpecSess=&Session=&GA=101
HB 3854	Rep. Skillicorn	Maximum Dollar Limit for Retirement Benefits	Limits the total amount of retirement annuity or pension benefits that any person who first becomes a participant in any retirement system or pension fund on or after the effective date of the legislation can receive to \$132,900 in any year. Annually increases the \$132,900 limit by the percentage increase (but not less than zero) in the consumer price index-u, including all previous adjustments.	Similar to HB 3859 (Rep. Skillicorn)	Filed with the House Clerk on 7/12/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=3854&GAID=15&DocTypeID=HB&LegID=121775&SessionID=108&GA=101

100th General Assembly						
Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
HB 3855	Rep. West	General Assembly COLA Opt-Out	Allows members of the General Assembly to elect not to receive cost of living adjustments and to have funds associated with cost of living adjustments paid into the State Pensions Fund. (Through Fiscal Year 2020, the State Pensions Fund is mostly used to make the State's required contribution to the State Universities Retirement System. Beginning in Fiscal Year 2021, the State Pensions Fund is mostly used to reduce the unfunded liabilities of the five state-funded retirement systems.)		Filed with the House Clerk on 7/19/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=3855&GAID=15&DocTypeID=HB&LegID=121791&SessionID=108&GA=101
HB 3859	Rep. Skillicorn	Maximum Dollar Limit for Retirement Benefits	Limits the total amount of retirement annuity or pension benefits that any person can receive from any pension fund or retirement system to \$132,900 in any year. Annually increases the \$132,900 limit by the percentage increase (but not less than zero) in the consumer price index-u, including all previous adjustments. Applies without regard to whether a person became a member, participant, beneficiary, or annuitant before the effective date of the legislation.	Similar to HB 3854 (Rep. Skillicorn)	Filed with the House Clerk on 8/2/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=3859&GAID=15&DocTypeID=HB&LegID=121829&SessionID=108&SpecSess=&Session=&GA=101
HB 3860	Rep. Skillicorn	Change Automatic Annual Increases to CPI-U	Requires the retirement annuity or supplemental annuity of a participant, member, or annuitant in any pension fund or retirement system to be subject to annual increases equal to the annual unadjusted percentage increase in the consumer price index-u (but not less than zero). Applies without regard to whether a participant or a member is in active service on or after the effective date of the legislation.		Filed with the House Clerk on 8/2/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=3860&GAID=15&DocTypeID=HB&LegID=121830&SessionID=108&SpecSess=&Session=&GA=101
HB 3868	Rep. Skillicorn	Minimum Retirement Age Increase by 2 Years	Increases the minimum age at which a person is eligible to receive a retirement annuity or pension by two years, using the following schedule: one year beginning on the effective date of the legislation and one additional year beginning five years after the effective date of the legislation.		Filed with the House Clerk on 8/19/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=3868&GAID=15&DocTypeID=HB&LegID=121880&SessionID=108&SpecSess=&Session=&GA=101
SB 60	Sen. Bertino-Tarrant	Repeal 3% Rule and Re-Enact 6% Rule	Requires employers to pay the present value of the portion of any salary increases in excess of 6% (currently, 3%) during a participant's final rate of earnings period.	Identical to HB 350 (Rep. Willis)	Senate Re-Referred to Assignments Committee on 3/22/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=60&GAID=15&DocTypeID=SB&LegID=114513&SessionID=108&GA=101
SB 75 (ENRL)	Sen. Bush (Rep. A. Williams)	Workplace Protections Omnibus	Contains several provisions designed to provide employee protections in the workplace. These include: protections against discrimination and harassment (including the rights of complainants); protections against non-disclosure clauses; annual training to prevent discrimination and harassment; the right to employment leave due to incidents of sexual violence and gender violence; and the annual reporting of certain settlements and adverse judgments and administrative rulings by employers to the Illinois Department of Human Rights.		Governor Pritzker Signed into Law as Public Act 101-0221 on 8/9/19 (Senate: 59-0-0; House: 114-0-0)	http://ilga.gov/legislation/BillStatus.asp?DocNum=0075&GAID=15&DocTypeID=SB&LegID=115041&SessionID=108&SpecSess=&Session=&GA=101

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
SB 178	Sen. Aquino	State Serial Long Term Pension Obligation Bonds	Authorizes the issuance of \$120.015 billion in State Serial Long Term Pension Obligation Bonds to bring the funded status of the state pension systems up to 90% of assets to liabilities. Requires SERS, SURS, and TRS to deposit 36% of bond proceeds received into investment funds for the purposes of taking advantage of interest arbitrage from the bond proceeds and for making debt service contributions for the bonds. Creates a continuing appropriation for the repayment of principal and interest due on the bonds.	Similar to HB 2441 (Rep. Martwick)	Senate Re-Referred to Assignments Committee on 3/22/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=178&GAID=15&DocTypeID=SB&LegID=115993&SessionID=108&GA=101
SB 262 (ENRL)	Sen. J. Cullerton (Rep. Harris)	FY 2020 Budget	Appropriates \$1,854,692,000 for the state contribution to SURS for FY 2020. The FY 2020 certified state contribution to SURS is \$1,854,692,000. Appropriates \$4,431,113 from the Education Assistance Fund to SURS for deposit into the Community College Health Insurance Security Fund for the state contribution to the College Insurance Program for FY 2020. The FY 2020 certified state contribution to the College Insurance Program (CIP) is \$4,431,113.		Governor Pritzker Signed into Law as Public Act 101-0007 on 6/5/19 (Senate: 40-19-0; House: 83-35-0)	http://ilga.gov/legislation/billstatus.asp?DocNum=262&GAID=15&GA=101&DocTypeID=SB&LegID=116189&SessionID=108
SB 1187	Sen. Oberweis	Right to Shop Act	Requires the Director of Central Management Services to conduct an analysis of the cost effectiveness of implementing an incentive-based program for enrollees and retirees of the state group health benefits plan offered under the State Employees Group Insurance Act of 1971. Requires a program found to be cost effective to be implemented as part of the next open enrollment.		Senate Re-Referred to Assignments Committee on 3/28/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1187&GAID=15&DocTypeID=SB&LegID=117660&SessionID=108&GA=101
SB 1264 (ENRL)	Sen. Aquino (Rep. Martwick)	RUUPA Trailer Bill for Public Retirement Systems	Ensures that annuity, pension, and benefit funds held in a fiduciary capacity by or on behalf of a retirement system, pension fund, or investment board under the Illinois Pension Code remain in their respective trusts and are not paid or delivered to the State Treasurer's Office under the Revised Uniform Unclaimed Property Act. Requires pension funds, retirement systems, and investment boards created pursuant to Articles 3, 4, and 22 of the Illinois Pension Code to adhere to certain compliance requirements regarding best practices to locate owners of unclaimed property.		Governor Pritzker signed into law as Public Act 101-0546 on 8/23/19 (Senate: 58-0-1; House: 106-0-0)	http://ilga.gov/legislation/billstatus.asp?DocNum=1264&GAID=15&GA=101&DocTypeID=SB&LegID=117798&SessionID=108
SB 1264 (ENGR)	Sen. Aquino (Rep. Martwick)	RUUPA Trailer Bill for Public Retirement Systems	Ensures that annuity, pension, and benefit funds held in a fiduciary capacity by or on behalf of certain retirement systems, pension funds, or investment boards under the Illinois Pension Code remain in their respective trusts and are not paid or delivered to the State Treasurer's Office under the Revised Uniform Unclaimed Property Act.		Passed the Senate (56-0-1) on 4/10/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1264&GAID=15&DocTypeID=SB&LegID=117798&SessionID=108&SpecSess=&Session=&GA=101
SA #1 to SB 1264	Sen. Aquino	RUUPA Trailer Bill for Public Retirement Systems	Applies the provisions of the underlying bill to every pension fund, retirement system, and investment board under the Illinois Pension Code.		SA #1 Remains in Assignments Committee on 4/9/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1264&GAID=15&DocTypeID=SB&LegID=117798&SessionID=108&SpecSess=&Session=&GA=101

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
HA #1 to SB 1264	Rep. Martwick	RUUPA Trailer Bill for Public Retirement Systems	Ensures that annuity, pension, and benefit funds held in a fiduciary capacity by or on behalf of a retirement system, pension fund, or investment board under the Illinois Pension Code remain in their respective trusts and are not paid or delivered to the State Treasurer's Office under the Revised Uniform Unclaimed Property Act. Requires pension funds, retirement systems, and investment boards created pursuant to Articles 3, 4, and 22 of the Illinois Pension Code to adhere to certain compliance requirements regarding best practices to locate owners of unclaimed property.		HA #1 Adopted on 5/21/19	http://www.ilga.gov/legislation/BillStatus.asp?DocNum=1264&GAID=15&DocTypeID=SB&LegID=117798&SessionID=108
SB 1265 (ENRL)	Sen. Aquino (Rep. Martwick)	SURS Technical Corrections Bill	SURS initiative that makes three technical changes to Article 15 of the Illinois Pension Code to reflect SURS' longstanding interpretation and administration of benefits.	Identical to HB 2440 (Rep. Martwick)	Governor Pritzker Signed into Law as Public Act 101-0321 on 8/9/19 (Senate: 55-0-0; House: 116-0-0)	http://ilga.gov/legislation/BillStatus.asp?DocNum=1265&GAID=15&DocTypeID=SB&LegID=117801&SessionID=108&A=101
HA #1 to SB 1300	Rep. Martwick	Pension Omnibus Bill	Extends the existing accelerated pension benefit payment options for three years (from June 30, 2021 to June 30, 2024). Allows Tier 2 police officers and firefighters to retire at age 60 (instead of age 67) without a reduced retirement annuity. Requires the Governor to designate the Chairperson of the Board of Trustees. Requires SURS to publish a report on accelerated pension benefit payments by December 1, 2019. Makes a technical change related to the accelerated pension benefit payment option for Tier 1 and Tier 2 vested, inactive members.		HA #1 Referred to Rules Committee on 5/30/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1300&GAID=15&DocTypeID=SB&LegID=117910&SessionID=108
HA #2 to SB 1300	Rep. Martwick	Pension Omnibus Bill	Removes provisions from HA #1 that require the Governor to designate the Chairperson of the SURS Board of Trustees and the Chairperson of the Teachers' Retirement System Board of Trustees.		HA #2 Referred to Rules Committee on 5/30/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1300&GAID=15&DocTypeID=SB&LegID=117910&SessionID=108
HA #3 to SB 1300	Rep. Martwick	Pension Omnibus Bill	As it relates to SURS, HA #3 is identical to HA #1 as amended by HA #2. Extends the existing accelerated pension benefit payment options for three years (from June 30, 2021 to June 30, 2024). Allows Tier 2 police officers and firefighters to retire at age 60 (instead of age 67) without a reduced retirement annuity. Requires SURS to publish a report on accelerated pension benefit payments by December 1, 2019. Makes a technical change related to the accelerated pension benefit payment option for Tier 1 and Tier 2 vested, inactive members.	Similar to HA #1 to SB 1300 (Rep. Martwick)	HA #3 Recommends Be Adopted in House Personnel and Pensions Committee on 5/30/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1300&GAID=15&DocTypeID=SB&LegID=117910&SessionID=108
HA #4 to SB 1300	Rep. Martwick	Pension Omnibus Bill	Removes the effective date of the bill, making the bill effective in accordance with the Effective Date of Laws Act. Requires the report on the accelerated pension benefit payment options to be due on or before December 1, 2020 (instead of December 1, 2019).		HA #4 Recommends Be Adopted in Rules Committee on 6/1/19	http://ilga.gov/legislation/billstatus.asp?DocNum=1300&GAID=15&GA=101&DocTypeID=SB&LegID=117910&SessionID=108

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
SB 1485 (ENGR)	Sen. Lightford (Rep. Lilly)	Racial Bias, Discrimination, and Harassment Omnibus	Establishes that all persons have a right to work in an environment free from racial discrimination and harassment. Prohibits all persons subject to the State Officials and Employees Ethics Act from racially discriminating against or harassing any person, regardless of any employment relationship or lack thereof. Requires each officer, member, and employee to complete, at least annually, beginning in 2020, a racial bias, discrimination, and harassment training program.	Identical to HB 2101 (Rep. Smith) and HB 2547 (Rep. Lilly)	House Re-Referred to Rules Committee on 5/10/19; Passed the Senate (48-0-0) on 4/12/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1485&GAID=15&DocTypeID=SB&LegID=118374&SessionID=108&SpecSess=&Session=&GA=101
SB 1505	Sen. Hastings	Judges Keep Tier I Status	Establishes that a person who participates in the Judges' Retirement System prior to January 1, 2011 is deemed to be a person who first became a member or participant of the State Employees' Retirement System, State Universities Retirement System, or Teachers' Retirement System prior to January 1, 2011.		Senate Referred to Assignments Committee on 2/15/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1505&GAID=15&DocTypeID=SB&LegID=118424&SessionID=108&SpecSess=&Session=&GA=101
SB 1671 (ENGR)	Sen. Martinez (Rep. Martwick)	Emerging Manager RFP Exemption	Modifies the definition of "emerging investment manager" to include investment managers with assets under management of less than \$10 million and more than \$20 billion, if they had assets of at least \$10 million but less than \$20 billion at the time of the initial contract with the retirement system, pension fund, or investment board. Exempts contracts for investment services with emerging investment managers from the competitive bidding requirements of the Illinois Pension Code. Allows a retirement system, pension fund, or investment board to contract with an emerging investment manager providing investment services in a multimanager portfolio for at least 2 years under a manager of emerging investment managers program, upon the written recommendation of the manager of emerging investment managers.		House Re-Referred to Rules Committee on 6/28/19; Passed the Senate (57-0-0) on 4/10/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1671&GAID=15&DocTypeID=SB&LegID=118848&SessionID=108&GA=101
SA #1 to SB 1671	Sen. Martinez	Emerging Manager RFP Exemption	Modifies the definition of "emerging investment manager" to include investment managers with assets under management of less than \$10 million and more than \$20 billion, if they had assets of at least \$10 million but less than \$20 billion at the time of the initial contract with the retirement system, pension fund, or investment board. Exempts contracts for investment services with emerging investment managers from the competitive bidding requirements of the Illinois Pension Code. Allows a retirement system, pension fund, or investment board to contract with an emerging investment manager providing investment services in a multimanager portfolio for at least 2 years under a manager of emerging investment managers program, upon the written recommendation of the manager of emerging investment managers.		SA #1 Adopted on 4/10/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1671&GAID=15&DocTypeID=SB&LegID=118848&SessionID=108&SpecSess=&Session=&GA=101

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
SB 1698 (ENGR)	Sen. Martinez (Rep. Martwick)	Public Retirement Systems Broadcast Open Meetings	Requires certain public pension funds and retirement systems to broadcast and maintain audio and video of their open meetings in real-time on their websites.		House Re-Referred to Rules Committee on 5/10/19; Passed the Senate (48-0-0) on 3/28/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1698&GA=101
HA #1 to SB 1698	Rep. Martwick	Public Retirement Systems Broadcast Open Meetings	Re-inserts the provisions of the introduced bill but exempts the Chicago Policemen's Pension Fund from the requirements of the legislation (in addition to Downstate Policemen's Pension Funds and Downstate Firefighters' Pension Funds).		HA #1 Re-Referred to House Rules Committee on 5/10/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1698&GA=101
HA #1 to SB 1784	Rep. Hoffman	Public Retirement Systems – Personal Information Disclosures	Exempts information prohibited from being disclosed under the Illinois Pension Code from inspection and copying under the Freedom of Information Act. Prohibits a pension fund or retirement system from disclosing the following information of any members or participants of any pension fund or retirement system: (1) the individual's home address (including ZIP code and county); (2) the individual's date of birth; (3) the individual's home and personal phone number; (4) the individual's personal email address; (5) personally identifying member or participant deduction information; or (6) any membership status in a labor organization or other voluntary association affiliated with a labor organization or labor federation (including whether employees are members of such organization, the identity of such organization, whether or not employees pay or authorize the payment of any dues or moneys to such organization, and the amounts of such dues or moneys). Establishes that this prohibition does not apply to disclosures: (1) required under the Freedom of Information Act; (2) for purposes of conducting public operations or business; or (3) to a labor organization or an exclusive representative.		HA #1 Recommends Be Adopted in House Labor and Commerce Committee on 5/30/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1784&GA=101
SB 1814 (ENRL)	Sen. Steans (Rep. Harris)	Fiscal Year 2020 Budget Implementation Bill	Creates the FY 2020 Budget Implementation Act to make changes in State programs that are necessary to implement the State budget for Fiscal Year 2020. Authorizes the use of moneys in the State Pensions Fund as part of the FY 2020 State contribution to SURS. Repeals the 3% rule and re-enacts the 6% rule. Extends the existing accelerated pension benefit payment options for three years (from June 30, 2021 to June 30, 2024).	Similar to SA #1 to HB 816 (Sen. Steans)	Governor Pritzker Signed into Law as Public Act 101-0010 on 6/5/19 (Senate: 52-6-0; House: 97-17-1)	http://ilga.gov/legislation/BillStatus.asp?DocNum=1814&GA=101

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
SB 1827 (ENGR)	Sen. Morrison (Rep. Morgan)	Uniform Statement of Economic Interests	Creates a uniform statement of economic interests. Requires all filers to disclose: (1) assets with a value of more than \$25,000; (2) sources of income that generated in excess of \$10,000; (3) each creditor of a debt in excess of \$25,000; (4) each debtor of a debt in excess of \$25,000; (5) each lobbyist registered with any unit of government in Illinois with whom the filer maintains an economic relationship or who is a member of the filer's family; and (6) each source and type of gifts or honoraria in excess of \$1,000. Applies to statements of economic interest filed in 2020 and each year thereafter.		House Re-Referred to Rules Committee on 5/10/19; Passed the Senate (56-0-0) on 3/13/19	http://ilga.gov/legislation/billstatus.asp?DocNum=1827&GAID=15&GA=101&DocTypeID=SB&LegID=119421&SessionID=108
SB 1846	Sen. Schimpf	Veteran-Owned Businesses Utilization	Requires public retirement systems, pension funds, and investment boards to utilize veteran-owned businesses for certain contracts and services.		Senate Re-Referred to Assignments Committee on 3/22/19	http://www.ilga.gov/legislation/BillStatus.asp?DocNum=1846&GAID=15&DocTypeID=SB&LegID=119492&SessionID=108&SpecSess=&Session=&GA=101
SA #1 to SB 1846	Sen. Schimpf	Veteran-Owned Businesses Utilization	Makes technical changes.		SA #1 Re-Referred to Assignments Committee on 3/22/19	http://www.ilga.gov/legislation/BillStatus.asp?DocNum=1846&GAID=15&DocTypeID=SB&LegID=119492&SessionID=108&SpecSess=&Session=&GA=101
SB 1948	Sen. Tracy	3% Rule Exemptions	Creates exemptions to the 3% rule created by Public Act 100-0587 for overload work, overtime, and promotions.	Similar to SB 2145 (Sen. Villivalam)	Senate Re-Referred to Assignments Committee on 3/28/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1948&GAID=15&DocTypeID=SB&LegID=119852&SessionID=108&GA=101
SB 1952 (ENGR)	Sen. Manar (Rep. Willis)	Repeal 3% Rule and Re-Enact 6% Rule	Requires employers to pay the present value of the portion of any salary increases in excess of 6% (currently, 3%) during a participant's final rate of earnings period. Makes other changes.	Similar to SB 60 (Sen. Bertino-Tarrant) and HB 350 (Rep. Willis)	Passed the Senate (51-5-0) on 4/10/19	http://www.ilga.gov/legislation/BillStatus.asp?DocNum=1952&GAID=15&DocTypeID=SB&LegID=119869&SessionID=108&GA=101
SA #1 to SB 1952	Sen. Manar	Repeal 3% Rule and Re-Enact 6% Rule	As it relates to SURS, SA #1 to SB 1952 is identical to the underlying bill.		SA #1 Adopted on 4/10/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=1952&GAID=15&DocTypeID=SB&LegID=119869&SessionID=108&SpecSess=&Session=&GA=101
HA #1 to SB 1952	Rep. Willis	Repeal 3% Rule and Re-Enact 6% Rule	Removes provisions of the bill that repeal the 3% rule and re-enact the 6% rule.		HA #1 Adopted on 5/15/19	http://ilga.gov/legislation/billstatus.asp?DocNum=1952&GAID=15&GA=101&DocTypeID=SB&LegID=119869&SessionID=108

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
SB 2060 (ENGR)	Sen. Martinez (Rep. Martwick)	Emerging Investment Manager Goals – Total Fees Paid	Requires the goals for the policy for the utilization of emerging investment managers to be based on the percentage of total dollar amount of fees paid under (currently, the dollar amount of) investment service contracts let to minority-owned businesses, women-owned businesses, and businesses owned by a person with a disability. Requires the annual report to the Governor and the General Assembly to include the total dollar amount of fees paid under investment contracts with emerging investment managers (currently, the percentage of the assets under the investment control of emerging investment managers) for the three separate goals. Establishes that it must be the aspirational goal for a retirement system, pension fund, or investment board to use emerging investment managers for not less than 20% of fees paid in each asset class (currently, funds under management).		House Re-Referred to Rules Committee on 5/10/19; Passed the Senate (56-0-0) on 4/10/19	http://ilga.gov/legislation/billstatus.asp?DocNum=2060&GAID=15&GA=101&DocTypeID=SB&LegID=120137&SessionID=108
SA #1 to SB 2060	Sen. Martinez	Emerging Investment Manager Goals – Total Fees Paid	Requires the goals for the policy for the utilization of emerging investment managers to be based on the percentage of total dollar amount of fees paid under (currently, the dollar amount of) investment service contracts let to minority-owned businesses, women-owned businesses, and businesses owned by a person with a disability. Requires the annual report to the Governor and the General Assembly to include the total dollar amount of fees paid under investment contracts with emerging investment managers (currently, the percentage of the assets under the investment control of emerging investment managers) for the three separate goals. Establishes that it must be the aspirational goal for a retirement system, pension fund, or investment board to use emerging investment managers for not less than 20% of fees paid in each asset class (currently, funds under management).		SA #1 Adopted on 4/10/19	http://ilga.gov/legislation/billstatus.asp?DocNum=2060&GAID=15&GA=101&DocTypeID=SB&LegID=120137&SessionID=108
SB 2062	Sen. Martinez	Illinois Sustainable Investing Act	Requires every retirement system, pension fund, or investment board to adopt a written investment policy and file a copy of that policy with the Department of Insurance within 30 days after its adoption. Requires the investment policy to include material, relevant, and decision-useful sustainability factors to be applied by the board in evaluating investment decisions, including, but not limited to: (1) corporate governance and leadership factors; (2) environmental factors; (3) social capital factors; (4) human capital factors; and (5) business model and innovation factors, as provided under the Illinois Sustainable Investing Act. Establishes that whenever a board changes its investment policy, it must file a copy of the new policy with the Department within 30 days.	Identical to HB 2460 as Introduced (Rep. Davis)	Senate Re-Referred to Assignments Committee on 4/12/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2062&GAID=15&DocTypeID=SB&LegID=120139&SessionID=108&GA=101
SA #1 to SB 2062	Sen. Martinez	Illinois Sustainable Investing Act	Establishes that the investment policy must include material, relevant, and decision-useful sustainability factors to be considered by the board, within the bounds of financial and fiduciary prudence, in evaluating investment decisions. (In the original bill, the investment policy must include material, relevant, and decision-useful sustainability factors to be applied by the board in evaluating investment decisions.) Makes changes to the Illinois Sustainable Investing Act.	Nearly identical to HA #1 to HB 2460	SA #1 Adopted on 3/20/19	http://www.ilga.gov/legislation/BillStatus.asp?DocNum=2062&GAID=15&DocTypeID=SB&LegID=120139&SessionID=108&SpecSess=&Session=&GA=101

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
SA #2 to SB 2062	Sen. Martinez	Illinois Sustainable Investing Act	Modifies the description of human capital factors to include responsible contractor and responsible bidder policies.	Identical to HA #2 to HB 2460	SA #2 Postponed in Senate State Government Committee on 4/4/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2062&GAID=15&DocTypeID=SB&LegID=120139&SessionID=108&SpecSess=&Session=&GA=101
SB 2145	Sen. Villivalam	3% Rule Exemptions	Creates exemptions to the 3% rule created by Public Act 100-0587 for participants who are 10 or more years away from retirement eligibility, overload work, overtime, and promotions.	Similar to SB 1948 (Sen. Tracy)	Senate Referred to Assignments Committee on 2/15/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2145&GAID=15&DocTypeID=SB&LegID=120333&SessionID=108&GA=101
SB 2165	Sen. J. Cullerton	FY 2020 Governor's Introduced Budget	Appropriates \$1,626,692,000 for the state contribution to SURS for FY 2020 (\$1,426,692,000 from the General Revenue Fund and \$200,000,000 from the State Pensions Fund). The FY 2020 certified state contribution to SURS is \$1,854,692,000. Appropriates \$4,431,113 from the Education Assistance Fund to SURS for deposit into the Community College Health Insurance Security Fund for the state contribution to the College Insurance Program for FY 2020. The FY 2020 certified state contribution to the College Insurance Program is \$4,431,113.	Identical to HB 3718 (Rep. Harris)	Senate Referred to Assignments Committee on 2/27/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=2165&GAID=15&DocTypeID=SB&LegID=120497&SessionID=108&SpecSess=&Session=&GA=101
HJRCA 14	Rep. Skillicorn	No State Tax on Retirement Income	Amends Article IX, Section 3 of the Illinois Constitution to prohibit a State tax on retirement income. Defines "retirement income" as income derived from a pension or any other retirement plan.		House Referred to Rules Committee on 1/29/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=14&GAID=15&DocTypeID=HJRCA&LegID=115882&SessionID=108&GA=101
HJRCA 19	Rep. Skillicorn	Repeal Constitutional Pension and Retirement Rights	Repeals Article XIII, Section 5 of the Illinois Constitution (Pension and Retirement Rights).	Identical to HJRCA 20 (Rep. Sosnowski)	House Referred to Rules Committee on 2/13/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=0019&GAID=15&DocTypeID=HJRCA&LegID=118229&SessionID=108&SpecSess=&Session=&GA=101
HJRCA 20	Rep. Sosnowski	Repeal Constitutional Pension and Retirement Rights	Repeals Article XIII, Section 5 of the Illinois Constitution (Pension and Retirement Rights).	Identical to HJRCA 19 (Rep. Skillicorn)	House Referred to Rules Committee on 2/13/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=0020&GAID=15&DocTypeID=HJRCA&LegID=118255&SessionID=108&SpecSess=&Session=&GA=101
HJRCA 21	Rep. Mazzochi	Protect Accrued and Payable Pension and Retirement Benefits	Amends Article XIII, Section 5 of the Illinois Constitution to apply to benefits that are already accrued and payable under the public pension systems and public retirement systems. Establishes that nothing in Article XIII, Section 5 of the Illinois Constitution limits the power of the General Assembly to make changes to future benefit accruals or benefits not yet payable, including for existing members of any public pension or public retirement system.	Identical to SJRCA 9 (Sen. Weaver)	House Referred to Rules Committee on 2/13/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=21&GAID=15&DocTypeID=HJRCA&LegID=118583&SessionID=108&GA=101

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Bill Number	Sponsor	Short Title	Short Summary	Notes	Status	Link
SJRCA 9	Sen. Weaver	Protect Accrued and Payable Pension and Retirement Benefits	Amends Article XIII, Section 5 of the Illinois Constitution to apply to benefits that are already accrued and payable under the public pension systems and public retirement systems. Establishes that nothing in Article XIII, Section 5 of the Illinois Constitution limits the power of the General Assembly to make changes to future benefit accruals or benefits not yet payable, including for existing members of any public pension or public retirement system.	Identical to HJRCA 21 (Rep. Mazzochi)	Senate Referred to Assignments Committee on 2/15/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=0009&GAID=15&DocTypeID=SJRCA&LegID=118819&SessionID=108&SpecSess=&Session=&GA=101
HJR 16	Rep. Murphy (Sen. Manar)	State Sponsored Health Clinic Task Force	Resolves that the State Sponsored Health Clinic Task Force is created to study the possibility of implementing a State of Illinois sponsored health clinic for state employees, dependents, and retirees, with the purpose of providing quality care and annual savings to the State's overall group insurance costs.		Resolution Adopted in Both Houses on 5/31/19 (House: 113-0-0; Senate: 50-1-0)	http://ilga.gov/legislation/BillStatus.asp?DocNum=0016&GAID=15&DocTypeID=HJR&LegID=115111&SessionID=108&SpecSess=&Session=&GA=101
HR 6	Rep. Flowers	Urge Solution to Windfall Elimination Provision	Resolves that the Illinois House of Representatives urges President Trump and the United States Congress to continue to work to find a solution to the problems created by the Windfall Elimination Provision.		Resolution Adopted in the House on 5/15/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=6&GAID=15&DocTypeID=HR&LegID=13794&SessionID=108&GA=101
HR 27	Rep. McSweeney	Oppose Educational Pension Cost Shift	Resolves that the Illinois House of Representatives states its belief that an educational pension cost shift is financially wrong and would only serve to shift pension burdens from the State to the status of an unfunded mandate.	Similar to HR 117 (Rep. Skillicorn)	House Re-Referred to Rules Committee on 7/2/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=27&GAID=15&DocTypeID=HR&LegID=114477&SessionID=108&GA=101
HR 32	Rep. McSweeney	Oppose Tax on Retirement Income	Resolves that the Illinois House of Representatives states its belief that the Illinois Income Tax Act should not be amended to permit taxing retirement income.	Identical to SR 127 (Sen. T. Cullerton)	House Re-Referred to Rules Committee on 7/2/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=32&GAID=15&DocTypeID=HR&LegID=114482&SessionID=108&GA=101
HR 117	Rep. Skillicorn	Oppose Educational Pension Cost Shift	Resolves, by the Illinois House of Representatives, that the normal cost of pensions for state educators is the responsibility of the state and that the General Assembly should not use the current budget crisis as a reason to shift its financial responsibility for state pension costs to local taxpayers.	Similar to HR 27 (Rep. McSweeney)	House Referred to Rules Committee on 2/19/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=117&GAID=15&DocTypeID=HR&LegID=118783&SessionID=108&GA=101
HR 343	Rep. Hernandez	Urge Divestment from For-Profit Companies that Contract to Shelter Migrant Children	Resolves, by the Illinois House of Representatives, that the State of Illinois is urged to divest from any for-profit companies that contract to shelter migrant children. Resolves that suitable copies of the resolution be delivered to the Illinois State Board of Investment, the State Universities Retirement System, the Teachers' Retirement System, and the Office of the Governor.		Resolution Adopted in the House on 5/30/19	http://www.ilga.gov/legislation/BillStatus.asp?DocNum=0343&GAID=15&DocTypeID=HR&LegID=121330&SessionID=108&SpecSess=&Session=&GA=101
SR 127	Sen. T. Cullerton	Oppose Tax on Retirement Income	Resolves that the Illinois Senate states its belief that the Illinois Income Tax Act should not be amended to permit taxing retirement income.	Identical to HR 32 (Rep. McSweeney)	Senate Referred to Assignments Committee on 2/20/19	http://ilga.gov/legislation/BillStatus.asp?DocNum=127&GAID=15&DocTypeID=SR&LegID=120447&SessionID=108&GA=101



MEMORANDUM

To: Legal & Legislative Committee
From: Albert J. Lee, Associate General Counsel
Date: August 30, 2019
Re: 3rd Quarter 2019 Rulemaking Update

SURS recently adopted final rules concerning the Freedom of Information Act (“FOIA”), Governor’s Salary Rule, the Vested Inactive Buyout, Tier 1 AAI Buyout, and Qualified Illinois Domestic Relations Orders (“QILDROs”). SURS proposed the rules on March 8, 2019 (43 Ill. Reg. 3163). The Joint Committee on Administrative Rules (“JCAR”) certified that it had no objections to the rulemaking on June 11, 2019. The Secretary of State published the adopted rules in the August 9, 2019 issue of the Illinois Register (43 Ill. Reg. 8562), effective July 26, 2019. The rules are summarized below.

FOIA – 80 Ill. Adm. Code § 1600.110 - This updates the SURS email address used to receive FOIA requests to “FOIA_Officers@surs.org” and the telephone number for verbal FOIA requests to the general SURS office line at 217-378-8800.

Governor’s Salary Rule – 80 Ill. Adm. Code § 1600.271 – This implements changes to the Governor’s Salary Rule. Public Act 100-23, effective July 6, 2017, added Section 15-155(j-5) of the Illinois Pension Code to require employers to pay pension costs to the extent an employee’s earnings exceed the Governor’s salary. Public Act 100-624 amended the Governor’s Salary Rule in several ways. First, part-time earnings are no longer to be “grossed up” to their full-time equivalents for comparison purposes. Second, the comparison year is based on the State fiscal year, rather than the “school” or “academic” year. Third, employers are exempt from paying pension costs if they have already paid the costs under a different rule. Finally, SURS is authorized to pursue unpaid bills over three years old through the State Comptroller.

Vested Inactive (“VIB”) and AAI Buyouts – New 80 Ill. Adm. Code §§ 1600.460, 1600.461 – This implements lump-sum accelerated pension benefit payments (“buyouts”) created by Public Act 100-587, effective June 4, 2018, under Sections 15-185.5 and 15-185.6 of the Illinois Pension Code. The buyouts are to be offered from July 1, 2019 until June 30, 2021 (extended to June 30, 2024 under Public Act 101-10). The VIB is paid in lieu of any future SURS pension benefits for inactive members who have enough service credit to be vested in retirement benefits. The AAI Buyout is paid in lieu of a portion of a Tier 1 retiree’s 3% automatic annual increases. The VIB is 60% of the present value of future benefits and the AAI Buyout is 70% of the present value of the forfeited automatic annual increases. Staff anticipates amending this rule in the future to incorporate the date extension in Public Act 101-10.

QILDROs – 80 Ill. Adm. Code § 1600.625 – This makes changes to the QILDRO rules to accommodate buyouts. If the parties to a divorce proceeding intend to divide either the VIB or the AAI Buyout, SURS must receive a stipulated court order directing how the buyout is to be divided.

STATE UNIVERSITIES RETIREMENT SYSTEM

NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Universities Retirement
- 2) Code Citation: 80 Ill. Adm. Code 1600
- 3)

<u>Section Numbers:</u>	<u>Adopted Actions:</u>
1600.110	Amendment
1600.271	Amendment
1600.460	New Section
1600.461	New Section
1600.625	Amendment
- 4) Statutory Authority: 40 ILCS 5/15-177
- 5) Effective Date of Rules: July 26, 2019
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) The adopted rules are on file at the SURS office and are available for public inspection.
- 9) Notice of Proposal published in the *Illinois Register*: 43 Ill. Reg. 3163; March 8, 2019
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Differences between Proposal and Final Version: A subsection label was changed.
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? Yes
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any rulemakings pending on this Part? No
- 15) Summary and Purpose of Rulemaking: The amendments to Sections 1600.205, 1600.270, and the new addition of Section 1600.271 are intended to implement legislative changes to Article 15 of the Illinois Pension Code [40 ILCS 5/15] made by PA 99-897, effective January 1, 2017, and PA 100-23, effective July 6, 2017, in addition to making minor stylistic corrections.

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- 16) Information and questions regarding these adopted rules shall be directed to:

Albert Lee, Associate General Counsel
State Universities Retirement System
1901 Fox Drive
Champaign IL 61820

217/378-8861 or 217/378-8813

The full text of the Adopted Amendments begins on the next page:

STATE UNIVERSITIES RETIREMENT SYSTEM

NOTICE OF ADOPTED AMENDMENTS

TITLE 80: PUBLIC OFFICIALS AND EMPLOYEES
SUBTITLE D: RETIREMENT SYSTEMS
CHAPTER II: STATE UNIVERSITIES RETIREMENT SYSTEMPART 1600
UNIVERSITIES RETIREMENT

SUBPART A: GENERAL

Section

1600.100	Definitions
1600.110	Freedom of Information Act
1600.120	Open Meetings Act
1600.130	Procurement
1600.140	Compliance with the Internal Revenue Code
1600.145	Compliance with Final 415 Treasury Regulations
1600.150	Group Trust Provisions

SUBPART B: CONTRIBUTIONS AND SERVICE CREDIT

Section

1600.202	Return to Employment
1600.203	Independent Contractors
1600.205	Earnings Subject to Withholding and Crediting
1600.210	Crediting Interest on Participant Contributions and Other Reserves
1600.220	Election to Make Contributions Covering Leave of Absence at Less Than 50% Pay
1600.230	Election to Pay Contributions Based upon Employment that Preceded Certification as a Participant
1600.240	Election to Make Contributions Covering Periods of Military Leave Protected under USERRA
1600.241	Survivor Benefits for Members Who Die While on Military Leave Protected under USERRA
1600.250	Sick Leave Accrual Schedule
1600.260	Part-time/Concurrent Service Adjustment
1600.270	Employer Contributions for Benefit Increases Resulting from Earnings Increases Exceeding 6%
1600.271	Employer Contributions for Earnings in Excess of the Governor's Salary
1600.275	Employer Contributions for Employing Affected Annuitants

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SUBPART C: SURVIVORS AND BENEFICIARIES

Section

- 1600.300 Effective Beneficiary Designations
- 1600.305 Full-Time Student Survivors Insurance Beneficiaries
- 1600.310 Dependency of Beneficiaries
- 1600.320 Disability Claims Procedure (Renumbered)

SUBPART D: BENEFIT CALCULATION AND PAYMENT

Section

- 1600.400 Determination of Final Rate of Earnings Period
- 1600.410 Twenty Percent Limitation on Final Rate of Earnings Increases
- 1600.420 Making Preliminary Estimated Payments
- 1600.430 Excess Benefit Arrangement
- 1600.431 Indirect Payments to Minors and Legally Disabled Persons
- 1600.432 Indirect Payments to Child Survivors Through the Surviving Spouse
- 1600.440 Voluntary Deductions from Annuity Payments
- 1600.450 Overpayment Recovery
- [1600.460 Accelerated Pension Benefit Payment In Lieu of Any Pension Benefit](#)
- [1600.461 Accelerated Pension Benefit Payment for a Reduction and Delay in AAI](#)

SUBPART E: DISABILITY CLAIMS AND ADMINISTRATIVE REVIEW

Section

- 1600.500 Administrative Staff Determinations and Rules for Appeal – Nature and Requirements of Formal Hearings
- 1600.510 Employer-Related Determinations and Rules for Appeal
- 1600.550 Disability Claims Procedure

SUBPART F: QUALIFIED ILLINOIS DOMESTIC RELATIONS ORDERS

Section

- 1600.600 Definitions
- 1600.605 Requirements for a Valid Qualified Illinois Domestic Relations Order
- 1600.610 Invalid Orders
- 1600.615 Filing a QILDRO with the System
- 1600.620 Modified QILDROs

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1600.625	Benefits Affected by a QILDRO
1600.630	Effect of a Valid QILDRO
1600.635	QILDROs Against Persons Who Became Members Prior to July 1, 1999
1600.640	Alternate Payee's Address
1600.645	Electing Form of Payment
1600.650	Automatic Annual Increases
1600.655	Expiration of a QILDRO
1600.660	Reciprocal Systems QILDRO Policy Statement
1600.665	Providing Benefit Information for Divorce Purposes

SUBPART G: BOARD TRUSTEE ELECTION

Section	
1600.700	Nomination of Candidates
1600.705	Election Date/Election Day – Defined
1600.710	Petitions
1600.715	Eligible Voters
1600.720	Election Materials
1600.725	Casting Votes
1600.730	Return of Ballots and Ballot Counting Process
1600.735	Certification of Ballot Counting
1600.740	Challenges to Election Results
1600.745	Candidate Informational Communication
1600.750	Filling a Vacancy in the Term of an Elected Trustee

AUTHORITY: Implementing and authorized by Section 15-177 of the Illinois Pension Code [40 ILCS 5/15-177].

SOURCE: Amended September 2, 1977; amended at 2 Ill. Reg. 31, p.53, effective July 30, 1978; amended at 7 Ill. Reg. 8139, effective June 29, 1983; codified at 8 Ill. Reg. 19683; amended at 11 Ill. Reg. 15656, effective September 9, 1987; amended at 13 Ill. Reg. 18939, effective November 21, 1989; amended at 14 Ill. Reg. 6789, effective April 20, 1990; emergency amendment at 21 Ill. Reg. 4864, effective March 26, 1997, for a maximum of 150 days; amended at 21 Ill. Reg. 6095, effective May 2, 1997; amended at 21 Ill. Reg. 11962, effective August 13, 1997; amended at 21 Ill. Reg. 12653, effective August 28, 1997; amended at 22 Ill. Reg. 4116, effective February 9, 1998; amended at 23 Ill. Reg. 13667, effective November 1, 1999; amended at 25 Ill. Reg. 10206, effective July 30, 2001; amended at 28 Ill. Reg. 2292, effective January 23, 2004; expedited correction at 28 Ill. Reg. 7575, effective January 23, 2004; amended at 29 Ill. Reg. 2729, effective March 1, 2005; amended at 29 Ill. Reg. 11819, effective July 12,

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2005; amended at 29 Ill. Reg. 14060, effective September 1, 2005; amended at 29 Ill. Reg. 14351, effective September 6, 2005; amended at 30 Ill. Reg. 6170, effective March 21, 2006; amended at 30 Ill. Reg. 7778, effective April 5, 2006; amended at 30 Ill. Reg. 9911, effective May 9, 2006; amended at 30 Ill. Reg. 17509, effective October 19, 2006; amended at 31 Ill. Reg. 4267, effective February 22, 2007; amended at 31 Ill. Reg. 4927, effective March 12, 2007; recodified at 31 Ill. Reg. 10194; amended at 32 Ill. Reg. 16515, effective September 25, 2008; emergency amendment at 33 Ill. Reg. 6525, effective April 27, 2009, for a maximum of 150 days; emergency expired September 23, 2009; amended at 33 Ill. Reg. 10757, effective July 1, 2009; amended at 33 Ill. Reg. 16755, effective November 23, 2009; amended at 34 Ill. Reg. 9523, effective June 25, 2010; amended at 35 Ill. Reg. 10952, effective June 22, 2011; amended at 36 Ill. Reg. 3938, effective February 22, 2012; amended at 37 Ill. Reg. 1309, effective January 15, 2013; amended at 37 Ill. Reg. 3866, effective March 15, 2013; amended at 37 Ill. Reg. 10698, effective June 26, 2013; amended at 37 Ill. Reg. 15517, effective September 12, 2013; amended at 38 Ill. Reg. 5659, effective February 11, 2014; emergency amendment at 38 Ill. Reg. 11376, effective May 9, 2014, for a maximum of 150 days; amended at 38 Ill. Reg. 16375, effective July 17, 2014; amended at 38 Ill. Reg. 17457, effective July 30, 2014; amended at 39 Ill. Reg. 8317, effective June 1, 2015; amended at 40 Ill. Reg. 8437, effective June 3, 2016; amended at 41 Ill. Reg. 11606, effective September 1, 2017; amended at 41 Ill. Reg. 15353, effective December 5, 2017; amended at 42 Ill. Reg. 19078, effective October 5, 2018; amended at 43 Ill. Reg. 8562, effective July 26, 2019.

SUBPART A: GENERAL

Section 1600.110 Freedom of Information Act

- a) Purpose. This Section establishes policies and procedures specific to SURS concerning requests for information made under FOIA.
- b) Freedom of Information Officer. The Freedom of Information Officer is the staff member at SURS responsible for responding to all requests for information on behalf of SURS as the "public body" under FOIA and is also responsible for maintaining all records required to be kept under FOIA and this Section. The Freedom of Information Officer shall be the SURS General Counsel or a designee of the SURS Executive Director. Denials issued by the Freedom of Information Officer shall inform the requester of the right of review by the Public Access Counselor under Section 9.5 of FOIA or by a court under Section 11 of FOIA.
- c) Time and Place for Requests or Inspection. Records subject to FOIA shall be made available for inspection and copying at SURS principal office (see Section

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1600.100) on weekdays between the hours of 8:00 a.m. and 4:30 p.m., excluding days during which the office is closed to the public. Written requests shall be directed to the Freedom of Information Officer or a designee in the SURS Legal Department by mail that is addressed to the SURS principal office by facsimile at (217)378-9801, or by email to FOIA_Officers@surs.org-general_counsel@surs.org. Oral requests for inspection or copying may be made in person or by phone at [217-378-8800](tel:217-378-8800)(~~217-378-8838~~).

- d) Fees. Subject to a waiver or reduction of the fee if warranted under Section 6 of FOIA, fees may be imposed on the requester to recover costs of document production or reproduction according to the following schedule:
- 1) Photostatic copying of paper documents:
 - A) Black and white copies shall be charged after the first 50 pages at \$0.05 per page;
 - B) Color copies shall be charged at \$0.13 per page.
 - 2) Printing of electronic documents or microfilmed/microfiched documents shall be charged at \$0.05 per page.
 - 3) Physical storage on electronic, tape or other media, shipping and facsimile transmission costs shall be charged to the extent those costs are incurred. Electronic transmission via e-mail shall be provided at no charge.
- e) Exemptions. Consistent with Section 7 of FOIA, the following public records shall be exempt from inspection and copying: personal information that includes any personally identifying or identifiable information other than names or benefit amounts, including, but not necessarily limited to, Social Security numbers and addresses of participants and annuitants, and names and Social Security numbers and addresses of beneficiaries.

(Source: Amended at 43 Ill. Reg. 8562, effective July 26, 2019)

SUBPART B: CONTRIBUTIONS AND SERVICE CREDIT

Section 1600.271 Employer Contributions for Earnings in Excess of the Governor's Salary

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- a) Purpose and Applicability. This Section implements Section 15-155(j-5) of the Code. Section 15-155(j-5) and this Section shall not apply to any participant's earnings to the extent the employer pays the employer normal cost for those earnings. For purposes of Section 15-155(j-5), the terms stated in subsections (b) through (i) shall have the meanings ascribed in this Section.
- b) State Fiscal Year. The "State fiscal year" shall mean the 12-month period beginning July 1. ~~Measurement Year. "Academic year" and "school year" shall mean the 12-month period beginning on July 1 and shall be collectively referred to in this Section as the "measurement year".~~
- c) Governor's Salary. The "amount of the salary set by law for the Governor that is in effect on July 1 of that fiscal year" shall be the salary for the Governor set by law by the General Assembly as of July 1 of the State fiscal measurement year or, in its absence, the most recent salary for the Governor set by law by the General Assembly.
- d) FTE-Earnings Exclusions. ~~—"A participant's earnings for any school year, determined on a full-time equivalent basis" ("FTE earnings") shall equal the total earnings in the measurement year divided by the average of the percent times of employment reported by the employer during the measurement year.1)The employer shall report percent time with each submission of payroll information duly certified to be correct and in compliance with all applicable State and federal laws pursuant to Section 15-111(c) of the Code.2)The average percent time calculation shall only take into account periods during which services were actually rendered or periods during which the employee was on an approved leave of absence at a percentage of pay greater than 0% (as adjusted by any voluntary employee contributions made for those periods).3)Earnings do not include payments made under a collective bargaining agreement for unused sick leave or payments made for unused vacation.~~
- e) Excess Earnings. The "amount of earnings in excess of the amount of the salary set for the Governor" ("excess earnings") shall be equal to the difference between the ~~FTE~~ earnings and the Governor's salary as defined in subsection (c) ~~and that difference multiplied by the average percent time described by subsection (d)(2).~~
- f) Employer Normal Cost. The "employer normal cost" shall mean the employer normal cost described in Section 15-155 ~~of the Code~~, expressed as a total percentage of payroll, approved by the Board for the State fiscal measurement

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year. *This amount shall be computed by the System on the basis of the actuarial assumptions and tables used in the most recent actuarial valuation of the System that is available at the time of the computation.* [40 ILCS 5/15-155(j-5)]

- g) Employer Contribution Amount. The employer contribution amount shall be equal to the excess earnings under subsection (e) multiplied by the employer normal cost percentage under subsection (f).
- h) Multiple or Concurrent Employers. In the event that an employee has been employed by two or more employers during a State fiscal measurement year, earnings shall be measured and the employer contribution amount shall be calculated on an employer-by-employer basis.
- i) Employer Billing
- 1) Billing. *Whenever it determines that a payment is or may be required under Section 15-155(j-5) of the Code, the System shall calculate the amount of the payment and bill the employer for that amount. The bill shall specify the ~~calculation~~ calculations used to determine the amount due.* [40 ILCS 5/15-155(j-5)] No bills shall be issued for de minimis employer contribution amounts that are \$25 or less. The System shall issue the bill during the September immediately following the end of the State fiscal measurement year to which the bill relates.
 - 2) Request for Recalculation. *If the employer disputes the amount of the bill, it may, within 30 days after ~~issuance~~ receipt of the bill, apply to the System in writing for a recalculation. The application must specify in detail the grounds of the dispute. Upon receiving a timely application for recalculation, the System shall review the application and, if appropriate, recalculate the amount due.* An employer shall be deemed to have been in receipt of the bill on the date the bill is issued.
 - 3) Payment. *The employer contributions required under this subsection (i) may be paid in the form of a lump sum within 90 days after ~~issuance~~ receipt of the bill. If the employer contributions are not paid within 90 days after ~~issuance~~ receipt of the bill, then interest will be charged at a rate equal to the System's annual actuarially assumed rate of return on investment compounded annually from the 91st day after receipt of the bill. ~~All payments~~ Payments must be ~~received~~ ~~on~~ ~~cluded~~ within 3*

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years after the issuance~~employer's receipt~~ of the bill. [40 ILCS 5/15-155(j-5)]

- 4) Comptroller Intercept. *If the employer fails to make complete payment, including applicable interest, within 3 years, then the System may, after giving notice to the employer, certify the delinquent amount to the State Comptroller, and the Comptroller shall deduct the certified delinquent amount from State funds payable to the employer and pay them instead to the System. [40 ILCS 5/15-155(j-5)] In the case of an employer that is a community college district, Section 15-155.1(b) of the Code shall also apply to delinquent amounts including interest after the 3-year period.*
- 54) Appeals of the Recalculation. The employer may appeal a recalculation pursuant to Section 1600.510.

(Source: Amended at 43 Ill. Reg. 8562, effective July 26, 2019)

SUBPART D: BENEFIT CALCULATION AND PAYMENT

Section 1600.460 Accelerated Pension Benefit Payment In Lieu of Any Pension Benefit

- a) Purpose. This Section implements Section 15-185.5 of the Code providing for an accelerated pension benefit payment in lieu of any pension benefit, to be referred to in this Section as the "Vested Inactive Buyout" or "VIB".
- b) Definitions. For purposes of Section 15-185.5(a), the following terms shall have the meanings specified in this subsection (b).
- 1) Eligible Person. An eligible "person" shall mean a person who satisfies the following conditions.
- A) The person has terminated all service, meaning the person has terminated employee status under Section 15-107 of the code as of the date SURS receives the VIB application and has continuously remained in non-employee status as of the date SURS receives the election to accept the VIB offer.
- B) The person has accrued sufficient service credit to be eligible to receive a retirement annuity under Article 15, meaning the person

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must meet the applicable retirement eligibility requirements under Section 15-135 of the Code solely with respect to service credit as of the date SURS receives the VIB application. For this purpose, service credit shall include only service credited under Article 15. No service credited at a reciprocal retirement system or pension fund shall count under this subsection (b)(1)(B).

C) The person has not received any retirement annuity under Article 15, meaning the person must not have received any retirement annuity or Preliminary Estimated Payments as of the date SURS receives the VIB application.

D) The person has not made the election under Section 15-185.6.

E) The person is not a participant in the Self-Managed Plan under Section 15-158.2.

2) Implementation Date. "Implementation date" means the earliest date upon which the Board authorizes eligible persons to begin irrevocably electing the accelerated pension benefit payment option under Section 15-185.5. The Board shall endeavor to make such participation available as soon as possible after June 4, 2018 and shall establish an implementation date by Board resolution. [40 ILCS 5/15-185.5(a)]

3) Pension Benefit. The "pension benefit" upon which the VIB shall be calculated shall consist of one or more of the following benefits, as applicable:

A) Traditional Benefit Package

i) Tier 1 Members. Retirement benefits under the applicable provisions of Section 15-136 of the Code and, if a permanent survivor (as defined under subsection (b)(4)) exists, survivors insurance benefits under Section 15-145 of the Code, subject to the minimum total survivors annuity payable under Section 15-146(b) of the Code.

ii) Tier 2 Members. Retirement benefits under the applicable provisions of Section 15-136 and, if a permanent survivor

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(as defined under subsection (b)(4)) exists, survivors insurance benefits under Section 15-145.1 of the Code.

B) Portable Benefit Package

i) Tier 1 Members. Retirement benefits based on the actuarial equivalent of a single-life annuity described under Section 15-136.4(b) of the Code with automatic annual increases under Section 15-136.4(l).

ii) Tier 2 Members. Retirement benefits based on the actuarial equivalent of a single-life annuity described under Section 15-136.4(b) with automatic annual increases under Section 15-136(d-5).

C) Refund of Survivors Contributions. If the eligible person has no permanent survivor as of the VIB application date, then the refund that would have been payable as of the assumed retirement date under Section 15-154(c) of the Code.

D) Refund of Additional Contributions. The refund that would be payable as of the assumed retirement date under Section 15-154(d), if applicable.

E) Refund of Excess Service Credit. The refund that would be payable as of the assumed retirement date under Section 15-154(e) for excess or waived service credit.

F) Refund of Police and Firefighter Contributions. The refund that would be payable as of the assumed retirement date under Section 15-154(f), if the eligible person elects to waive the application of Rule 4 of Section 15-136 of the Code.

4) Permanent Survivor. For purposes of this Section, the term "permanent survivor" shall mean a person who:

A) is living as of the earlier of the assumed retirement date or the date on which the VIB offer is issued; and

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- B) is the youngest (i.e., has the longest actuarially assumed life expectancy) from among the following:
- i) a "surviving spouse" under Section 15-127 of the Code (without regard to any one-year minimum marriage requirement) or an "eligible spouse" under Section 15-136.4(a) (without regard to any one-year minimum marriage requirement); or
 - ii) a "child" under Section 15-129 of the Code who is unmarried and dependent upon the person by reason of a physical or mental disability that began prior to the date the child attained age 18.

 - If the child is age 18 or older as of the application date, the child will be deemed to be disabled on the basis of a written certificate from one or more licensed and practicing physicians stating that the child is unable to engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment that can be expected to result in death or that has lasted or can be expected to last for a continuous period of not less than 12 months. The physician's determination of disability shall be determined in accordance with 20 CFR 416.905 through 416.911.
 - If the child is under age 18 as of the application date, the child will be deemed to be disabled on the basis of a written certificate from one or more licensed and practicing physicians stating that the child has a medically determinable physical or mental impairment or combination of impairments that causes marked and severe functional limitations, and that can be expected to cause death or that has lasted or can be expected to last for a continuous period of not less than 12 months. The physician's determination of disability shall be

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determined in accordance with 20 CFR 416.905 through 416.911.

- c) VIB Application. Beginning on the implementation date, an eligible person may apply for a VIB calculation in writing in the form prescribed by SURS, subject to the following conditions:
- 1) Application Deadline. SURS must receive the application by June 30, 2021. However, in no event shall SURS accept an application less than 12 months prior to the date on which the eligible person must begin receiving Required Minimum Distributions under Section 1-116.1 of the Code and IRC section 401(a)(9).
 - 2) Termination of Application
 - A) A pending application shall terminate prior to SURS' receipt of the election to receive the VIB on the earliest of the eligible person's:
 - i) revocation of the application;
 - ii) re-employment;
 - iii) death;
 - iv) required beginning date for Required Minimum Distributions under Section 1-116.1; or
 - v) election to receive an Automatic Annual Increase Buyout (AAI Buyout) under Section 15-185.6 and Section 1600.461 of this Part.
 - B) No election to accept a VIB offer shall be effective upon or after the termination of a pending application.
 - C) The eligible person may not withdraw or revoke a pending application as of the date SURS receives the completed VIB election form.

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- 3) Other Benefits. The eligible person may not apply for a refund, disability benefit, or disability retirement annuity while a VIB application is pending.

- d) VIB Offer Amount. After receipt of a VIB application, SURS shall calculate the VIB offer amount as soon as practicable. The VIB offer amount shall be 60% of the present value of the applicable pension benefit payable as of the assumed retirement date. The calculation shall be subject to the following conditions:
 - 1) Actuarial Assumptions
 - A) All actuarial tables used to calculate the VIB offer amount shall use actuarial assumptions most recently adopted by the Board as of the time of the calculation.
 - B) The present value date shall be the first of the month on or immediately following the date that SURS receives the VIB application.
 - C) The discount rate used to calculate the present value of any benefit shall be the prescribed rate of interest.
 - D) The effective rate of interest for fiscal years prior to the fiscal year containing the date of the calculation shall be the historical rates set by the Board or the State Comptroller, as applicable. The effective rate of interest for fiscal years inclusive of and after the fiscal year containing the date of the calculation shall be the last known effective rate of interest set by the Board or the State Comptroller, as applicable.
 - 2) Service Credit
 - A) All service credit purchases must have been completed by the date SURS receives the VIB application.
 - B) Service credit for unused, unpaid sick leave under Section 15-113.4 of the Code shall apply only if the eligible person was an employee within 60 days immediately preceding the assumed retirement date.

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- 3) Assumed Retirement Date. The assumed retirement date shall be the retirement annuity commencement date determined as follows.
 - A) If the eligible person has attained the earliest applicable retirement age under Section 15-135 of the Code as of the date SURS receives the VIB application, the VIB offer amount shall be based on a retirement annuity that commences on the first of the month on or immediately following the date that SURS receives the VIB application (subject to any applicable early age reductions under Section 15-136).
 - B) If the eligible person has not attained the earliest applicable retirement age under Section 15-135 as of the date SURS receives the VIB application, the VIB offer amount shall be based on a retirement annuity that commences on the first of the month following the birthday on which the person will have attained the earliest applicable retirement age under Section 15-135 (subject to any applicable early age reductions under Section 15-136).
- 4) Survivor Benefits. The assumed dates of death of the eligible person and eligible permanent survivor with respect to any assumed survivor benefit shall be based on the most recent mortality assumptions adopted by the Board as of the date of the calculation.
- 5) Frequency. No more than one VIB offer amount shall be calculated in a State fiscal year.
- 6) Appeals. An eligible person may seek an appeal of the calculation of the VIB offer amount within 35 days after the issuance of the offer, in accordance with Section 1600.500.
- e) VIB Election. The election to accept the VIB offer shall be made in the manner and form prescribed by SURS. SURS may require additional documentation or proof to verify any fact or record necessary for the administration of the election.
 - 1) Election Deadline. The eligible person shall elect to accept the VIB offer within 120 days after the date the VIB offer was issued. If no election is

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submitted by the deadline, the eligible person shall be deemed to have rejected the VIB offer.

- 2) Election Date. The date of the election to accept the VIB offer shall be the date SURS receives the completed VIB election form.
- 3) Survivor Consent. The election shall be accompanied by written and notarized consent of any permanent survivor. If a permanent survivor who was identified in the VIB application no longer qualifies as a permanent survivor, then the election shall be, instead, accompanied by documentation proving the disqualifying condition as follows:
 - A) Death. Death shall be proven by a certified copy of the death certificate.
 - B) Divorce. A dissolution of marriage shall be proven by a certified copy of the judgment of dissolution of marriage or civil union.
 - C) Child's Non-Disability. A child's non-disability shall be proven by a written certificate from one or more licensed and practicing physicians stating that the child is no longer disabled under subsection (b)(4)(B)(ii).
- 4) Effect of Acceptance. Upon SURS' receipt of the election to accept the VIB offer amount, the eligible person shall be subject to the following conditions:
 - A) The election to accept the VIB offer shall be irrevocable unless:
 - i) the State Comptroller fails to remit the full VIB amount to SURS within a year after SURS has submitted a voucher under Section 15-185.5(f); or
 - ii) SURS has knowledge of specific and articulable facts, taken together with rational inferences from those facts, that would lead a reasonable person to believe that the election to accept the VIB was made under fraud, duress, undue influence, illegality or incapacity.

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- B) The eligible person may not elect to proceed under the Retirement Systems Reciprocal Act [40 ILCS 5/Art. 20] with respect to any service to which the VIB pertains.
 - C) The eligible person may not purchase service credit under Article 15 of the Code with respect to any service credit attributable to the VIB or any accelerated pension benefit payment under Section 14-147.5, 14-147.6, 16-190.5 or 16-190.6 of the Code.
 - D) The eligible person shall no longer be a participant of SURS and forfeits all accrued rights and credits in SURS and no other benefit shall be paid under Article 15 based on those forfeited rights and credits, including any retirement, survivor or other benefit; except, to the extent that participation, benefits or premiums under the State Employees Group Insurance Act of 1971 are based on the amount of service credit.
 - E) The VIB may not be repaid to SURS, and the forfeited rights and credits may not under any circumstances be reinstated.
 - F) If the eligible person returns to participation under Article 15, any benefits under SURS earned as a result of that return to participation shall be based solely on the person's credits and creditable service arising from the return to participation. Upon return to participation, the person shall be considered a new employee subject to all the qualifying conditions for participation and eligibility for benefits applicable to new employees, except the person shall retain the same Tier status and program elections previously made under Section 15-134.5 of the Code.
 - G) An election to accept the VIB offer shall be deemed to be a waiver of any appeal rights under Section 1600.500 with respect to the VIB.
- f) VIB Voucher and Payment
- 1) As soon as administratively practicable after SURS' receipt of the election to accept the VIB offer, SURS shall submit one or more vouchers to the State Comptroller for the payment of the VIB. SURS shall pay the VIB as

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soon as administratively practicable after SURS' receipt of the VIB amount from the State Comptroller. In no event shall SURS pay the VIB without having received the amounts sufficient to pay the VIB in full from the State Comptroller.

- 2) The VIB shall be paid in the form of a direct rollover to an "eligible retirement plan" as defined under Section 1600.140(h)(6) (including any supplemental defined contribution plan administered by SURS) to the extent permissible under IRC section 401(a)(31), except for any amounts attributable to Required Minimum Distributions under Section 1-116.1 of the Code or amounts paid under the Excess Benefit Arrangement under Section 1600.430 of this Part. The eligible person may not elect to receive any portion of the direct rollover as cash.
- 3) If the eligible person dies after having elected to accept the VIB offer amount, but prior to payment of the VIB, the VIB shall be payable to the eligible person's estate.

(Source: Added at 43 Ill. Reg. 8562, effective July 26, 2019)

Section 1600.461 Accelerated Pension Benefit Payment for a Reduction and Delay in AAI

- a) Purpose. This Section implements Section 15-185.6 of the Code providing for an accelerated pension benefit payment for a reduction and delay in an automatic annual increase (AAI) to a retirement annuity and an annuity benefit payable as a result of death, to be referred to in this Section as the "AAI Buyout".
- b) Definitions. For purposes of Section 15-185.6(a), the following terms shall have the meanings specified in this subsection (b).
 - 1) Eligible Person. An "eligible person" shall mean a person who satisfies the following conditions:
 - A) The person is a Tier 1 member.
 - B) The person has submitted an application for a retirement annuity under Article 15.

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- C) The person has met the age and service requirement for receiving a retirement annuity under Article 15, meaning the person must meet the applicable retirement eligibility requirements under Section 15-135 of the Code with respect to age and service credit accrued under Article 15 and, if the person elects to retire under the Retirement Systems Reciprocal Act, any service credit of a participating reciprocal system.
- D) The person has not received any retirement annuity under Article 15, meaning the retirement date specified in the retirement application cannot be prior to the date SURS receives the application for a retirement annuity.
- E) The person has not made the election under Section 15-185.5 of the Code.
- F) The person is not a participant in the Self-Managed Plan under Section 15-158.2 of the Code.
- 2) Implementation Date. "Implementation date" means the earliest date upon which the Board authorizes eligible persons to begin irrevocably electing the accelerated pension benefit payment option under Section 185.6. The Board shall endeavor to make such participation available as soon as possible after June 4, 2018 and shall establish an implementation date by Board resolution. [40 ILCS 5/15-185.6(a)]
- 3) Assumed Annuities. The AAI Buyout shall be based on one or more of the following assumed annuities, as applicable:
- A) Traditional Benefit Package. Retirement benefits under the applicable provisions of Section 15-136 of the Code and, if a permanent survivor (as defined under subsection (b)(4)) exists, survivors insurance benefits under Section 15-145 of the Code, subject to the minimum total survivors annuity payable under Section 15-146(b) of the Code.
- B) Portable Benefit Package. Retirement benefits based on the actuarial equivalent of a single-life annuity described under Section 15-136.4(b).

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- 4) Permanent Survivor. For purposes of this Section, the term "permanent survivor" shall mean a person who:
- A) is living as of the earlier of the assumed retirement date or the date on which the AAI Buyout offer is issued; and
- B) is the youngest (i.e., has the longest actuarially assumed life expectancy) from among the following:
- i) a "surviving spouse" under Section 15-127 of the Code (without regard to any one-year minimum marriage requirement); or
- ii) a "child" under Section 15-129 of the Code who is unmarried and dependent upon the person by reason of a physical or mental disability which began prior to the date the child attained age 18.
- If the child is age 18 or older as of the application date, the child will be deemed to be disabled on the basis of a written certificate from one or more licensed and practicing physicians stating that the child is unable to engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment that can be expected to result in death or that has lasted or can be expected to last for a continuous period of not less than 12 months. The physician's determination of disability shall be determined in accordance with 20 CFR 416.905 through 416.911.
 - If the child is under age 18 as of the application date, the child will be deemed to be disabled on the basis of a written certificate from one or more licensed and practicing physicians stating that the child has a medically determinable physical or mental impairment or combination of impairments that causes marked and severe functional

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limitations, and that can be expected to cause death or that has lasted or can be expected to last for a continuous period of not less than 12 months. The physician's determination of disability shall be determined in accordance with 20 CFR 416.905 through 416.911.

- c) AAI Buyout Application. Beginning on the implementation date, an eligible person may apply for an AAI Buyout calculation in writing in the form prescribed by SURS, subject to the following conditions:
- 1) Application Deadline. SURS must receive the AAI Buyout application by the retirement date specified on the completed retirement application, which can be no later than June 30, 2021.
 - 2) Termination of Application
 - A) A pending application shall terminate on the earliest of the eligible person's:
 - i) revocation of the application;
 - ii) cancellation or suspension of the retirement annuity under Section 15-139 of the Code;
 - iii) death; or
 - iv) an election to receive a Vested Inactive Buyout under Section 15-185.5 of the Code and Section 1600.460 of this Part.
 - B) No election to accept an AAI Buyout offer shall be effective upon or after the termination of a pending application.
 - C) The eligible person may not withdraw or revoke a pending application as of the date SURS receives the completed AAI Buyout election form.
- d) AAI Buyout Offer Amount

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- 1) After receipt of an AAI Buyout application, SURS shall calculate the AAI Buyout offer amount as soon as practicable.
- 2) The AAI Buyout offer amount shall be 70% of the difference of:
 - A) the present value of the automatic annual increases to the assumed annuities under Sections 15-136(d), 15-136.4(l), and 15-145(j) of the Code, as applicable; and
 - B) the present value of the automatic annual increases to the assumed annuities, using the formula provided under Section 15-185.6(b-5) of the Code.
- 3) The calculation shall be subject to the following conditions:
 - A) Actuarial Assumptions
 - i) All actuarial tables used to calculate the AAI Buyout offer amount shall use actuarial assumptions most recently adopted by the Board as of the time of the calculation.
 - ii) The present value date shall be the retirement date.
 - iii) The discount rate used to calculate the present value shall be the prescribed rate of interest.
 - B) Survivor Benefits. The assumed dates of death of the eligible person and eligible permanent survivor or contingent annuitant, as applicable, with respect to any assumed survivors insurance benefit or survivor portion of a joint and survivor annuity, as applicable, shall be based on the most recent mortality assumptions adopted by the Board as of the date of the calculation. The AAI to a survivors insurance annuity or the survivor portion of a joint and survivor annuity, as applicable, calculated under Section 15-185.6(b-5) of the Code, shall commence on the January 1 occurring on or after the first anniversary of the commencement of the survivors insurance annuity or survivor portion of a joint and survivor annuity.

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- C) Frequency. No more than one AAI Buyout offer amount shall be calculated in a State fiscal year.
 - D) Appeals. An eligible person may seek an appeal of the calculation of the AAI Buyout offer amount within 35 days after the issuance of the offer in accordance with Section 1600.500.
- e) AAI Buyout Election. The election to accept the AAI Buyout offer shall be made in the manner and form prescribed by SURS. SURS may require additional documentation or proof to verify any fact or record necessary for administration of the election.
- 1) Election Deadline. The eligible person shall elect to accept the AAI Buyout offer within 120 days after the date the AAI Buyout offer was issued. If no election is submitted by the deadline, the eligible person shall be deemed to have rejected the AAI Buyout offer.
 - 2) Election Date. The date of the election to accept the AAI Buyout offer shall be the date SURS receives the completed AAI Buyout election form.
 - 3) Termination from Employment. The eligible person must not return to work as an employee under Section 15-107 of the Code until after the date SURS receives the completed AAI Buyout election form.
 - 4) Survivor Consent. The election shall be accompanied by written and notarized consent of any permanent survivor or contingent annuitant, as applicable. If a permanent survivor who was identified in the AAI Buyout application no longer qualifies as a permanent survivor, the election shall be, instead, accompanied by documentation proving the disqualifying condition as follows:
 - A) Death. Death shall be proven by a certified copy of the death certificate.
 - B) Divorce. A dissolution of marriage shall be proven by a certified copy of the judgment of dissolution of marriage or civil union.

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- C) Child's Non-Disability. A child's non-disability shall be proven by a written certificate from one or more licensed and practicing physicians stating that the child is no longer disabled under subsection (b)(4)(B)(ii).
- 5) Effect of Acceptance. Upon SURS' receipt of the election to accept the AAI Buyout offer amount, the eligible person shall be subject to the following conditions:
- A) The election to accept the AAI Buyout offer shall be irrevocable unless:
- i) the State Comptroller fails to remit the full AAI Buyout amount to SURS within a year after SURS has submitted a voucher under Section 15-185.6(d-5); or
- ii) SURS has knowledge of specific and articulable facts, taken together with rational inferences from those facts, that would lead a reasonable person to believe that the election to accept the AAI Buyout was made under fraud, duress, undue influence, illegality or incapacity.
- B) An eligible person who participates in the Traditional Benefit Package and who elects to accept the AAI Buyout offer may not elect to receive a survivors contribution refund under Section 15-154(c) of the Code if a survivors insurance beneficiary exists as of the retirement date. If no survivors insurance beneficiary exists as of the retirement date, the survivors contribution refund shall be payable to the eligible person.
- C) An eligible person who elects to accept the AAI Buyout offer shall be deemed to have waived the right to any supplemental payments under Section 15-136.3 and Section 15-146(d) of the Code.
- D) An election to accept the AAI Buyout offer shall be deemed to be a waiver of any appeal rights under Section 1600.500 with respect to the AAI Buyout and all underlying calculations.

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- 6) Effect of Rejection. Upon SURS' receipt of a rejection of the AAI Buyout offer amount or upon the failure to make an election within the deadline specified under subsection (e)(1), SURS shall pay automatic annual increases as provided under Sections 15-136(d), 15-136.4(l), and 15-145(j) of the Code, as applicable.
- f) AAI Buyout Voucher and Payment
- 1) As soon as administratively practicable after the SURS' receipt of the election to accept the AAI Buyout offer amount, SURS shall submit one or more vouchers to the State Comptroller for the payment of the AAI Buyout. SURS shall pay the AAI Buyout as soon as administratively practicable after the SURS' receipt of the AAI Buyout amount from the State Comptroller. In no event shall SURS pay the AAI Buyout without having received the amounts sufficient to pay the AAI Buyout in full from the State Comptroller.
- 2) The AAI Buyout shall be paid in the form of a direct rollover to an "eligible retirement plan" as defined under Section 1600.140(h)(6) (including any supplemental defined contribution plan administered by SURS) to the extent permissible under IRC section 401(a)(31), except for any amounts attributable to Required Minimum Distributions under Section 1-116.1 of the Code or amounts paid under the Excess Benefit Arrangement under Section 1600.430. The eligible person may not elect to receive any portion of the direct rollover as cash.
- 3) The AAI Buyout may not be repaid to SURS. However, if the retirement annuity is cancelled under Section 15-139(a) of the Code after the eligible person is paid the AAI Buyout offer amount, the eligible person shall repay to SURS that amount, plus any applicable interest under Section 1600.450.
- 4) If the eligible person who has received the AAI Buyout returns to participation under Article 15, the calculation of any future automatic annual increase in all retirement and survivor annuities under Section 15-139(c) shall be calculated in accordance with Section 15-185.6(b-5).

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- 5) If the eligible person dies after having elected to accept the AAI Buyout offer, but prior to payment of the AAI Buyout, the AAI Buyout shall be payable to the eligible person's estate.

(Source: Added at 43 Ill. Reg. 8562, effective July 26, 2019)

SUBPART F: QUALIFIED ILLINOIS DOMESTIC RELATIONS ORDERS

Section 1600.625 Benefits Affected by a QILDRO

- a) A QILDRO may apply only to the following benefits administered by SURS:
- 1) A monthly retirement benefit;
 - 2) A single-sum retirement benefit;
 - 3) A termination refund;
 - 4) A partial member's refund; and
 - 5) A death benefit.
- b) If a QILDRO specifies a dollar amount payable to an alternate payee from any partial member's refund that becomes payable, the aggregate amount paid to the alternate payee from all partial member's refunds shall not exceed the dollar amount specified in the QILDRO.
- c) A QILDRO shall not apply to any of the following:
- 1) A monthly survivor benefit;
 - 2) A disability benefit;
 - 3) A disability retirement annuity; and
 - 4) An error refund.

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- d) Any option under a paragraph pertaining to a benefit that is left blank shall be interpreted to not apply to the order. If all options under a particular benefit are blank, then the alternate payee shall not receive any portion of the benefit.
- e) Any QILDRO or QILDRO Calculation Court Order purporting to apply to any accelerated pension benefit payment under Section 15-185.5 or 15-185.6 of the Code shall be accompanied by a stipulated court order directing the manner and amounts of the division of the payment. If the payment would diminish any amounts payable to any other alternate payee having priority over the current alternate payee, payment shall not be divided unless all affected parties have entered into stipulated court orders directing the manner of the division of the payment.

(Source: Amended at 43 Ill. Reg. 8562, effective July 26, 2019)

SEPTEMBER COMPLIANCE REPORT (2019)

Report Owner: Compliance Officer		* indicates new requirement/change in requirement		EOQ= End of Quarter	EOM=End of Month	Status	Notes
Department	Report	Filed With	Frequency	Statute/Rule	Last Filed	Next Due	
Administration	Travel Exception Report	IHETCB	Quarterly	80 IL 2900.105	7/3/2019	10/31/2019	
Administration	Drivers License & Insurance Certification	U of I	Annual	625 ILCS 5/7-203 & JCAR 44 Sec 5040.500	6/30/2019	6/30/2020	Note: We still need Trustee Figueroa's form.
Administration	Certification of Board Training Requirement	DFPR-Public Pension/Insurance Div	Annual	40 ILCS 5/1/113.18	6/27/2018	6/30/2020	
Administration	Notice of Regularly Scheduled Board Meetings	SURS Lobby and SURS website	Annual	5 ILCS 120.202	Sep-18	Dec-19	
Administration	Oath of Office	Internal	Ad Hoc	40 ILCS 5/15-159(h)	As needed	As needed	
Administration	Disclosure of Appointee Interest in State Contracts	SOS	Ad Hoc	5 ILCS 420/3A.30	As needed	As needed	
Administration	Trustee Indemnification Agreements	Internal & Fiduciary Council	Ad Hoc	40 ILCS 5/1-107 & Board Governance Bylaws 1.1	As needed	As needed	
Administration	IPad User Agreements	Internal	Ad Hoc	Internal Requirement	As needed	As needed	
Audit	Deceased Annuitant Reporting	Internal	Quarterly	30 ILCS 805/8.40	5/20/2019	9/30/2019	
Audit	Fiscal Year Audit Completion Report	Internal - Filed with the Executive Director	Annual	Fiscal Control & Auditing Act	9/12/2018	9/30/2019	
Audit	FCIAA Internal Control Certification	Auditor General	Annual	FCIAA	4/25/2018	5/1/2020	
Audit	Two Year Audit Plan	Internal/ ED approval/A & R Committee	Annual	30 ILCS 10/2003	6/6/2019	6/30/2020	
Audit	Submission of System Audit (due after FY end)	Governor - submitted by SURS and by the Auditor General	Annual	State Auditing Act and 30 ILCS 5/3-14 and 40 ILCS 5/15-174	FY17 - 1/19/2018	FY18	
Finance	CAFR	Internal	Annual	40 ILCS 5/15-150	12/14/2018	12/31/2019	
Finance	GAAP	Comptroller	Annual (by 10/15)	PA 097-1055/ Financial Reporting Standards Board Act	final sent 10/26/2018	10/15/2019	We did not have final information until 10/22 to complete the report.
Finance	Public Accountability Report	Comptroller	Annual (by 10/15)	SAMS	8/8/2018	10/15/2019	
Finance	IRS Form 941-Employer Fed Tax Return Form	IRS	Quarterly	IRS CODE	7/19/2019	10/31/2019	
Finance	IRS Form 945 Annual Return of Withheld Federal Tax	IRS	Annual	IRS Code	1/29/2019	1/31/2020	
Finance	Cash Receipts and Disbursement Reports	Comptroller	Quarterly	SAMS	7/31/2019	10/31/2019	
Finance	Agency Fixed Asset Report	Comptroller	Quarterly	SAMS	7/31/2019	10/31/2019	
Finance	Accounts Receivable	Comptroller	Quarterly	SAMS	7/30/2019	10/31/2019	
Finance	Report on SMP Participation Rate	COGFA	Annual	40 ILCS 5/15	10/31/2018	11/1/2019	
Finance	Cert. of State Contribution and CIP	Governor, CMS, and Comptroller	Annual (final by 1/15)	40 ILCS 5/14A	1/14/2019	1/15/2020	
Finance	Department of Insurance Report	Pension Division of Illinois Dept. of Ins.	Annual	40 ILCS 5/1A-109	12/27/2018	12/31/2019	
Finance	IRS Form 1099R (FIRE)	IRS	Annual	IRS CODE	4/29/2019	4/1/2020	We received a filing extension to 5/1/19
Finance	IRS 1042: Withholding US income of Foreign Persons	IRS	Annual	IRS CODE	3/11/2019	3/15/2020	
Finance	Report on Voluntary Deductions	Comptroller	Annual (by 3/31)	5 ILCS 340/8	1/18/2019	3/31/2020	
Finance	Comptroller Agency Invoice	Comptroller	Annual	PA 98-0228	9/21/2017	No longer required	This report is no longer required due to the filing of the monthly Debt Transparency Reports.
Finance	Fee Imposition Report	Comptroller	Annual by 9/1	15 ILCS 405/16.2	7/31/2019	7/31/2020	
Finance	5 year review of 90% funding target	COGFA	5 years	40 ICLS 1-103.3	12/31/2015	12/31/2020	
Finance	Certification of Overpayments	Internal and Board of Trustees	Determined Internally	80 Ill Adm. Code Sec.1600.450	As needed	As needed	
Finance	IRS W-3	IRS	Annual	IRS Code	1/28/2018	1/31/2020	
Finance	Information to COGFA	COGFA	Ad Hoc/At will	40 ILCS 5/22-803	As needed	As needed	
Finance	Debt Transparency Report	Comptroller	Monthly (due on the 10th)	30 ILCS 105/9.08	7/31/2019	9/10/2019	
Finance	Illinois Revised Unclaimed Property Act	Treasurer's Office	Annual report due 11/1	765 ILCS 1026/15-403	1st due 11/1/20	annually	
Finance/Mem Serv	Fin. Stmt's to Participants/ Serv. Cred Stmt's	Annuitants	As requested	40 ILCS 5/15-175	Ongoing	ongoing	
Finance/Mem Serv	Reports to Reciprocals	Recip Systems	As requested	40 ILCS 5/20-126	Ongoing	ongoing	
Human Resources	Separation Report	State Universities Civil Service System	Monthly (w/n 10 days EOM)	Civil Service Rule 250.30	8/5/2019	9/10/2019	
Human Resources	Monthly Wage Report	IDES taxnet Online	Monthly (EXCEPT 1/4/7/10)	IDES PA 97-0689	8/22/2019	9/30/2019	
Human Resources	Form UI-3	IDES taxnet Online	Quarterly (1/31, 4/30, 7/31/10/31)	IDES PA 97-0689	7/12/2019	10/31/2019	
Human Resources	Report of Employee Served	State Universities Civil Service System	Quarterly (w/n 10 days EOQ)	Civil Service Rule 250.30	7/11/2019	10/10/2019	
Human Resources	Exempt Employees Report	State Universities Civil Service System	Quarterly	110 ILCS 70/36e	7/11/2019	10/10/2019	
Human Resources	Occupational Ethnic and Gender Report	State Universities Civil Service System	Quarterly (w/n 10 days EOQ)	Civil Service Rule 250.3.1	7/11/2019	10/10/2019	
Human Resources	Agency Workforce Report	Secretary of State; Office of Governor	Annual	5 ILCS 410/20	12/27/2018	12/31/2019	
Human Resources	TA-2	Legislative Audit Commission	Bi-Annually	St. Fin. Act 30 ILCS 105/12-3	7/9/2019	1/15/2020	
Human Resources	I-9 Eligibility	Internal In File	Ad Hoc w/n 20 days of hire	Dept. Homeland Security	Time of Hire	As needed	
Information Technology	Data Breach Report - Personal Info Protection Act	Notice to resident "in the most expedient time possible and without unreasonable delay."	Ad Hoc	815 ILCS 530/10	Eff. 1/1/20	As needed	

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Human Resources	SSA 1945	Internal In File	Ad Hoc w/n 20 days of hire	SSA	Time of Hire	As needed	
Investments	Investment Update Report	Online Surs.org	75 days after month end	Internal procedure - monthly	June filed 9/5/19	July due 9/30/19	Delay here due to No. Trust reporting
Investments	Investments Information Report - Monthly	Online Surs.org	Monthly (by the 15th)	30 ILCS 237/10 PA 93-0499	8/15/2019	9/15/2019	
Investments	Report to Gov. on MWDB Firms/HR/Vendors	Governor	Annual	40 ILCS 5/1-109	12/20/2018	1/1/2020	
Investments	Consultant Report on MWDB searches*	SURS Board of Trustees	Annual	40 ILCS 5/1-113.22	Both - 12/31/18	1/1/2020	These are filed in the same document with the item below.
Investments	Consultant Report on Economic Opportunities*	SURS Board of Trustees	Annual	40 ILCS 5/1-113.23	Both - 12/31/18	1/1/2020	
Investments	Quinquennial Rep. US Owned Foreign Securities**	Federal Reserve Bank	Only upon request 5 years	22 USC Sec 3101	2012	Not required for 2019	*req. threshold for reporting not met for 2018. Next reporting year = 2022 and only if we meet the reporting threshold.
Investments	Restricted Companies Divestiture Reporting*	Illinois Investment Policy Board	Annual	40 ILCS 5/1-110.16	3/28/2019	4/1/2020	
Investments	Illinois Finance Entity/High Risk Home Loan Act Cert.	DFPR-Public Pension/Insurance Div	Annual	40 ILCS 5/1-110.10	8/30/2018	9/30/2019	
Investments	Invest in Illinois	Governor	Annual	PA 96-0753	8/30/2018	9/1/2019	
Investments	Annual SEC ADV's from Financial managers	SEC	Annual-120 days from FY end	US Investment Advisors Act of 1940	Ongoing	Ongoing	*not all managers are required to file by 4/1
Investments	Investment Manager Fee Disclosure	SURS.org website	Quarterly	40 ILCS 5/1-113.14	6/28/2019	9/30/2019	
Investments	Written Investment Policies	Illinois Department of Insurance	Ad Hoc	40 ILCS 5/1-113.6	As needed	As needed	
Investments	IS Policy Exemptions, Forms and Contract Summaries	Illinois Procurement Policy Board	Ad Hoc	40 ILCS 5/1-113.14	As needed	As needed	
Investments	Qualified FOF Mgmt. Services Contract Summaries	SURS.org website	Ongoing	40 ILCS 5/1-113.15	As needed	As needed	
Investments	Annual Certification of Fiduciary Duty and Insurance	Internal on file	Annual	contract provision	8/16/2018	9/1/2019	
Investments	Investment Policy - Initial Filing and Updates	Illinois Department of Insurance	Ad Hoc	40 ILCS 5/1-113.17	1/30/2020	w/30 days of update	
Investments	Illinois Sustainable Investing Act Report	Illinois Department of Insurance	Annual	30 ILCS 238	1/31/2020	annually	
Legal & Ethics	Anti-Discrimination and Harassment Training for Lobbyists	OEIG	Annual	25 ILCS 170/4.7	Annually - effective 8/9/19	Annually	
Legal & Ethics	Ethics Training for Registered Lobbyists	Secretary of State	Annual	25 ILCS 170/4.5	1/2/2018	12/31/2019	
Legal & Ethics	Lobbying Expenditure Report (20th)	Secretary of State	Monthly	25 ILCS 170/6	8/19/2019	9/20/2019	
Legal & Ethics	Lobbying Expenditure Report (5th)	Secretary of State	Monthly	25 ILCS 170/6	8/1/2019	9/5/2019	
Legal & Ethics	Statement of Economic Interests (Board and Execs)	Secretary of State	Annual	5 ILCS 420/4A-101	5/1/2019	5/1/2020	
Legal & Ethics	Annual Ethics Training for Trustees	Internal-Cert of Completion to EO	Annual	5 ILCS 430/5-10	6/30/2019	6/30/2020	
Legal & Ethics	Ethics Training Plan/Ethics Training State Employees-Part 1- staff only online	Office of the Inspector General	Annual	5 ILCS 430/5-10	6/28/2019	6/30/2020	
Legal & Ethics	ARDC Registration	ARDC	Annual	128 Ill.2d 351, 538 NE 2d 1152	12/26/2018	12/31/2019	
Legal & Ethics	FOIA Officer Training & Annual Certification	IAG	Annual	5 ILCS 140/3.5	Dec-18	12/31/2019	
Legal & Ethics	Ethics Officer Designation Notice	IL Executive Ethics Commission	As Needed/Ad Hoc	2 IL 1620.83	As needed	as needed	
Legal & Ethics	Revolving Door Policy Sign off	Executive Inspector General	As Needed/Ad Hoc	5 ILCS 430/5-5-45	Time of Hire	As needed	
Legal & Ethics	Ex Parte Communications Reports	Executive Ethics Commission	As Needed/Ad Hoc	5 ILCS 430/5-50	9/13/2017	As needed	
Legal & Ethics	OMA Trustee Training	IAG	One Time	5 ILCS 120/1.05	current various dates	As needed	
Legal & Ethics	QILDRO Forms Electronically Available	SURS.org website	Ongoing requirement	40 ILCS 5/1-119	current	as updated	
Legal & Ethics	OMA Officer Training	IAG	Annual	5 ILCS 120/1.05	MPB 12/26/2018	12/31/2019	
Legal & Ethics	Annual Completed Ethics Training and Reporting-Staff and Board -online & paper format	OEIG	Annual	5 ILCS 430/5-10	12/27/2018	12/31/2019	
Legal & Ethics	Ethics Orientation for State of Illinois Employees-Paper	OEIG	W/n 30 days of hire	5 ILCS 430/5-10	As needed	As needed	
Legal & Ethics	Cybersecurity Training for Staff and Trustees	Dept. of Innovation and Technology	Annual	20 ILCS 450/25	6/30/2018	TBD 2019	
Legal & Ethics	Sexual Harassment Training for Staff and Trustees	OEIG	Annual	5 ILCS 450/5-10.5	11/12/2018	TBD 2019	
Legal & Ethics	Anti-Discrimination and Harassment Training for Staff and Trustees	OEIG	Annual - & w/in 30 days of commencing elected or appointed position/office	5 ILCS 430/5-10.5	Annually - effective 8/9/19	Annually	